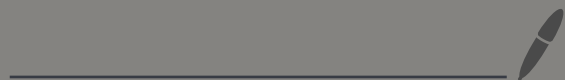


## lecture 5

- Sub-Examples of Uniform Boundedness
- Boundedness and Metric Subspaces
- Boundedness lost in the limit
- Function Spaces (again)
- Another look at the definition
- Total boundedness



\* Uniform boundedness: an analytically convenient way to characterize ( $d_{\text{sup}}$ -) boundedness.

► Remember that we work in  $B(X, \mathbb{R}), d_{\text{sup}}$ .

-  $A \subseteq B(X, \mathbb{R})$  is uniformly bounded iff  $\sup_{f \in A, x \in Y} |f(x)| < \infty$

-  $A$   $d_{\text{sup}}$ -bounded iff  $A$  uniformly bounded.  $\square$

Subexamples:

1.  $Y = \mathbb{N}$ ,  $B(\mathbb{N}, \mathbb{R})$  space of bounded real sequences equipped with the uniform

Metric.  $e_i$  is represented as a function  $\mathbb{N} \rightarrow \mathbb{R}$ :

Let  $A := \{ e_i := (0, 0, \dots, 1, 0, \dots, 0, \dots) \}_{i \in \mathbb{N}}$   $e_i(n) = \begin{cases} 1, & i=n \\ 0, & i \neq n \end{cases}$   
 $\hookrightarrow i^{\text{th}}$  position

Then  $\sup_{f \in A} \sup_{x \in Y} |f(x)| = \sup_{i \in \mathbb{N}} \sup_{n \in \mathbb{N}} |e_i(n)|$

$$= \sup_{i \in \mathbb{N}} \sup_{n \in \mathbb{N}} \left| \begin{cases} 0 & i \neq n \\ 1 & i = n \end{cases} \right| = \sup_{i \in \mathbb{N}} \sup_{n \in \mathbb{N}} 1 = 1 < \infty$$

Hence  $A$  uniformly bounded  $\Leftrightarrow d_{\text{sup}}$  bounded subset of  $B(\mathbb{N}, \mathbb{R})$ .  $\square$

2.  $X = [0, 1]$ ,  $B([0, 1], \mathbb{R})$ ,  $d_{\text{sup}}$

e.g.  $x \in [0, 1]$   
 $g(x) = Lx \in A_L$

$L > 0$ ,  $A_L = \{f: [0, 1] \rightarrow \mathbb{R}, f(0) = 0, \forall x, y \in [0, 1], |f(x) - f(y)| \leq L|x - y|\}$

We have proven that  $\emptyset \neq A_L \subseteq B([0, 1], \mathbb{R})$

Is it uniformly bounded?

$$\sup_{f \in A_L} \sup_{x \in [0, 1]} |f(x)| = \sup_{f \in A_L} \sup_{x \in [0, 1]} |f(x) - f(0)| \leq$$

$$\sup_{f \in A_L} \sup_{x \in [0, 1]} L|x| = L \sup_{x \in [0, 1]} x = L \cdot 1 = L < +\infty \Rightarrow A_L \text{ unif. bounded}$$

$\Leftrightarrow A_L$   $d_{\text{sup}}$ -bounded.

3. (Counter-) Sub-example: The previous framework but

$A = A_{\mathbb{N}} = \{f_n: [0, 1] \rightarrow \mathbb{R}, f_n(x) = nx, n \in \mathbb{N}\} \subseteq B([0, 1], \mathbb{R})$

$$\sup_{f \in A_{\mathbb{N}}} \sup_{x \in [0, 1]} |f(x)| = \sup_{n \in \mathbb{N}} \sup_{x \in [0, 1]} |f_n(x)| = \sup_{n \in \mathbb{N}} \sup_{x \in [0, 1]} |nx| = \sup_{n \in \mathbb{N}} n \sup_{x \in [0, 1]} x$$

$\hat{=} \sup_{n \in \mathbb{N}} n = +\infty \Rightarrow A_{\mathbb{N}}$  is not uniformly bounded  $\Rightarrow A_{\mathbb{N}}$  is not  $d_{\text{sup}}$ -bounded



$\forall n \in \mathbb{N} \cup \{0\} \quad f_n([0, 1]) = [0, n]$   
 Not a bounded subset of  $\mathbb{R}$



~~Further details on boundness~~

we have already seen this

~~1.  $X, d_1, d_2, A \subseteq X, d_1 \leq c d_2, c > 0.$~~

~~If  $A$  is bounded w.r.t.  $d_2$  then  $A$  is also bounded w.r.t.  $d_1$ .~~

~~Corollary. Suppose that  $\exists c_1, c_2 > 0: c_1 d_2 \leq d_1 \leq c_2 d_2 \quad (\ast)$~~

~~(  
-  $d_1 \leq c^* d_2$  for  
-  $d_2 \leq C^* d_1, c^*, C^* > 0$ )~~

~~then  $A$  is bounded w.r.t.  $d_1$  iff~~

~~$A$  is bounded w.r.t.  $d_2$~~

~~(i.e.  $d_1, d_2$  completely "agree" w.r.t. boundness)~~

~~E.g.  $X = \mathbb{R}^d, d_1, d_{\max}, d_1$  and we know that every possible pair of them satisfies a relation of the previous type  $(\ast)$ . Hence  $d_1, d_{\max}, d_1$  completely "agree" w.r.t. boundness on  $\mathbb{R}^d$ .~~

2. Boundness and Metric Subspaces

Remember: i.  $(X, d)$  metric space,  $A \subseteq X$

we can "restrict"  $d$  to  $A$  (obtaining  $d_A$ ) hence

we obtain  $(A, d_A)$  as a metric subspace of the previous.

ii.  $x \in A, \varepsilon > 0, O_{d_A}(x, \varepsilon) = O_d(x, \varepsilon) \cap A$   
 (something similar holds for closed balls)

iii.  $A$  is bounded iff  $\exists x \in A, \varepsilon > 0 : O_{d_A}(x, \varepsilon) \supseteq A$ .

Lemma.  $(A, d_A)$  is bounded iff  $A$  is bounded w.r.t.  $d$ .

(It true it means that we may only consider the cases of whether a metric (sub-) space is bounded.)

Proof.

a. if  $(A, d_A)$  is bounded then  $A$  is a bounded subset of  $X$ .

b. if  $A$  is a bounded subset of  $X$  then  $(A, d_A)$  is bounded.

a. we know that  $(A, d_A)$  is bounded  $\Leftrightarrow \exists x \in A, \varepsilon > 0 :$

$O_{d_A}(x, \varepsilon) \supseteq A$  but we have that  $O_{d_A}(x, \varepsilon) = O_d(x, \varepsilon) \cap A$   
 $O_d(x, \varepsilon) \cap A \supseteq A \Leftrightarrow O_d(x, \varepsilon) \supseteq A \Leftrightarrow A$  is a bounded subset of  $X$ .  
 [have  $O_{d_A}(x, \varepsilon) \supseteq A \Leftrightarrow O_d(x, \varepsilon) \cap A \supseteq A \Leftrightarrow O_d(x, \varepsilon) \supseteq A$ ]

b. we know that  $A$  is a bounded subset of  $X \Leftrightarrow$

$\exists x \in A, \varepsilon > 0 : O_d(x, \varepsilon) \supseteq A$ . Consider  $O_{d_A}(x, \varepsilon) = O_d(x, \varepsilon) \cap A \supseteq A$ . Hence  $(A, d_A)$  is bounded.  $\square$

Useful Appendix:

$d_A$ : the restriction of  $d$  on  $A \times A$ . if  $x \in A, \varepsilon > 0$   
 $O_{d_A}(x, \varepsilon) = \{y \in A : d_A(x, y) < \varepsilon\} = \{y \in X : d(x, y) < \varepsilon\} \cap A = O_d(x, \varepsilon) \cap A$

$O_{d_A}(x, \varepsilon) = \dots = O_d(x, \varepsilon) \cap A$  |  $\mathbb{R}^2, d_E, A = \{(x, y) : x, y > 0\}$

3. "Fragility of boundedness w.r.t. limit,"

Consider  $X = \mathbb{R}$ , for  $n = 1, 2, 3, \dots$  Consider the

following  $d_n: \mathbb{R} \times \mathbb{R} \rightarrow \mathbb{R}$ , defined by:

$$x, y \in \mathbb{R}, \quad d_n(x, y) := \begin{cases} |x-y|, & |x-y| < n \\ n, & |x-y| \geq n \end{cases} = \begin{cases} |x-y|, & d_e(x, y) < n \\ n, & d_e(x, y) \geq n \end{cases}$$

$$= \min(d_e(x, y), n) = \begin{cases} d_e(x, y), & d_e(x, y) < n \\ n, & d_e(x, y) \geq n \end{cases}$$

Exer. Show that  $d_n$  is a well defined metric for any  $n = 1, 2, \dots$

(if  $n=0$  were allowed,  $d_0$  would be a pseudo-metric)

Hence we have essentially constructed a "sequence," of metric spaces  $(\mathbb{R}, d_1), (\mathbb{R}, d_2), (\mathbb{R}, d_3), \dots, (\mathbb{R}, d_n), \dots$

a. Consider  $\mathbb{R}, d_n$ , let  $x \in \mathbb{R}$ , and for  $\varepsilon = n+L$

Consider furthermore

$$B_{d_n}(x, n+L) = \{y \in \mathbb{R} : d_n(x, y) < n+L\} = \mathbb{R}$$

we have that  $\max_{z, y} d_n(z, y) = n$

Hence  $\mathbb{R}$  is bounded w.r.t.  $d_n \forall n = 1, 2, \dots$

b. What happens to  $d_n(x, y)$  as  $n \rightarrow +\infty$ ?

fixed

bounded

We have that eventually (for large enough  $n$ )

$$d_n(x, y) = |x - y|, \text{ hence } \lim_{n \rightarrow \infty} d_n(x, y) = |x - y| = d(x, y)$$

Since  $x, y$  were arbitrary we have that  $\hookrightarrow$  Pointwise Convergence (to be explained) ✓

$$\forall x, y \in \mathbb{R} \quad d_n(x, y) \xrightarrow{n \rightarrow \infty} d(x, y)$$

(in some way - compare with the notion of pointwise convergence -  $d_n$  converges to  $d$ )

Hence in some way  $\mathbb{R}, d$  is some kind of limit of the previous sequence of metric space.

c.  $\mathbb{R}, d$  is not bounded

Hence we have an example for which boundedness is "lost in the limit".

### Function Spaces (again)

4. We have in many cases worked with  $\mathbb{B}(Y, \mathbb{R})$ ,  $d_{\text{sup}}$

$$\{f: Y \rightarrow \mathbb{R}, \text{ bounded}\}$$

-  $f$  is bounded  $\Leftrightarrow f(Y)$  is a bounded subset of  $\mathbb{R}$  w.r.t  $d$ .

$$d_{\text{sup}}(f, g) = \sup_{x \in Y} |f(x) - g(x)| = \sup_{x \in Y} d(f(x), g(x)).$$

We can now easily generalize the previous construction  
Suppose that we have a metric space  $(X, d)$

-  $f: Y \rightarrow X$  will be considered bounded w.r.t.  $d$

iff  $f(Y)$  is a bounded subset of  $X$  w.r.t.  $d$

i.e.  $\exists z \in X, \varepsilon > 0: O_d(z, \varepsilon) \supseteq f(Y)$ , hence

$B(Y, X)$  is well defined. (always  $\neq \emptyset$  - constant functions)

- We can analogously define the analogue of  $d_{\text{sup}}$  in this extended setting:

$$f, g \in B(Y, X), \quad d_{\text{sup}}^*(f, g) = \sup_{x \in Y} d(f(x), g(x))$$

$$\begin{aligned} d_{\text{sup}}(f, g) &= \\ &= \sup_{x \in Y} |f(x) - g(x)| \\ &= \sup_{x \in Y} d_u(f(x), g(x)) \end{aligned}$$

Exe. Show that  $d_{\text{sup}}^*$  is a well-defined metric.

[ $\rightarrow$  When  $X = \mathbb{R}$ ,  $d = d_u$  then we recover our "usual category of examples"]

### An Equivalent Definition (useful)

5.  $(X, d)$ ,  $\varepsilon > 0$ ,  $Z \subseteq X$ . Consider the following collection of open balls:

A set of balls of a given  $\varepsilon$

$$O(Z, \varepsilon) = \{ O_d(x, \varepsilon), \forall x \in Z \}$$

Parameterized by the centers in  $Z$

The relevant collection of closed balls is

$$\bar{O}(Z, \varepsilon) = \{ O_d[x, \varepsilon], \forall x \in Z \}$$

When  $Z$  is finite then the collections above are termed finite



Definition:

When  $A \subseteq X$ , we say that  $A$  is covered

by  $\mathcal{O}(Z, \varepsilon)$  iff  $\bigcup_{x \in Z} O_d(x, \varepsilon) \supseteq A$

(analogously for  $\bar{\mathcal{O}}(Z, \varepsilon)$ ).

an equivalent def. will lead us to total boundedness

(Another characterization of boundedness)

Theorem.  $A$  is bounded w.r.t.  $d$  iff  $\exists \varepsilon > 0$

w.r.t. which there exists a finite  $\mathcal{O}(Z, \varepsilon)$  that covers  $A$ .

[we can equivalently use closed balls - Exe!]

Proof.

a. Suppose that  $A$  is bounded  $\Leftrightarrow \exists x, \varepsilon > 0$ :

$O_d(x, \varepsilon) \supseteq A$ . Hence  $\mathcal{O}(Z, \varepsilon) = \{O_d(x, \varepsilon)\}$ ,  $Z = \{x\}$ .

b. Suppose that there exists  $\varepsilon > 0$  w.r.t. there exists a finite  $\mathcal{O}(Z, \varepsilon)$  that covers  $A$ .

$\mathcal{O}(Z, \varepsilon)$  has the form  $\{O_d(x_1, \varepsilon), O_d(x_2, \varepsilon), \dots, O_d(x_n, \varepsilon)\}$  for some  $n \in \mathbb{N}^*$ . ( $Z = \{x_1, x_2, \dots, x_n\}$ )

Construct a single encompassing ball

We will try to construct a "large enough" ball that covers  $\bigcup_{i=1}^n O_d(x_i, \varepsilon)$ . Without loss of generality choose  $x_1$  as center

$$\text{let } \delta := \max_{i=1, \dots, n} d(x_1, x_i) + \varepsilon + L > 0$$

~~well defined~~ this is a finite set of distances  
 this is well defined

$\forall y \in \bigcup_{i=1}^n O_d(x_i, \varepsilon) \Rightarrow y \in O_d(x_1, \delta)$

We have that  $O_d(x_1, \delta) \supseteq \bigcup_{i=1}^n O_d(x_i, \varepsilon)$  this is

due to that: let  $y \in \bigcup_{i=1}^n O_d(x_i, \varepsilon) \Rightarrow y \in O_d(x_i, \varepsilon)$

for some  $i$ , that is  $\overline{d(x_i, y)} < \varepsilon$  for some  $i$ .

We have that  $\underline{d(x_1, y)} \leq \underline{d(x_1, x_i) + d(x_i, y)} < \varepsilon$

$$\leq \max_{i=1, \dots, n} d(x_1, x_i)$$

$$< \max_{i=1, \dots, n} d(x_1, x_i) + \varepsilon$$

$$< \max_{i=1, \dots, n} d(x_1, x_i) + \varepsilon + L = \delta$$

Hence  $d(x_1, y) < \delta \Leftrightarrow y \in O_d(x_1, \delta) \Rightarrow$

$$O_d(x_1, \delta) \supseteq \bigcup_{i=1}^n O_d(x_i, \varepsilon) \supseteq A$$

hence  $A \subseteq O_d(x_1, \delta)$  hence  $A$  is bounded.  $\square$

End of  
decrease 5.

## Total Boundedness

**Definition.**  $A$  is totally bounded (w.r.t.  $d$ ) iff  $\forall \varepsilon > 0$

$\exists Z$  finite such that the collection

$O(Z, \varepsilon)$  covers  $A$ .

[Dually we can consider collections of closed balls].

**Remark.** The definition allows the dependence of  $Z$  on  $\varepsilon$ . Hence both the centers and the cardinality of  $Z$  is allowed to change with  $\varepsilon$ .

**Remark.** When  $A$  is totally bounded then  $\forall \varepsilon > 0$   $Z$  can be chosen as a subset of  $A$  (i.e. the ball centers can be chosen to lie inside  $A$ ).

**Proof.** Suppose that  $A$  is totally bounded. Let  $\varepsilon > 0$ .

Due to the previous for  $\delta = \varepsilon/2$  there exists a finite  $Z$  such that the collection  $\bigcup (Z, \varepsilon/2)$  covers  $A$ . The collection  $\bigcup (Z, \varepsilon/2)$  is the set

of open balls  $\{O_D(x_1, \varepsilon/2), O_D(x_2, \varepsilon/2), \dots, O_D(x_n, \varepsilon/2)\}$

( $Z = \{x_1, x_2, \dots, x_n\}$  for  $n$  that may depend on  $\varepsilon/2$ ).

If  $Z \subseteq A$  then the collection  $\bigcup (Z, \varepsilon)$  covers  $A$  since

$O_D(x_i, \varepsilon/2) \subseteq O_D(x_i, \varepsilon) \quad \forall i = 1, \dots, n$ . If  $Z \not\subseteq A$  then:

Suppose that  $x_1 \notin A$  without loss of generality.

Since  $\bigcup_{i=1}^n \mathcal{O}_d(x_i, \frac{\epsilon}{2}) \supseteq A$  we consider

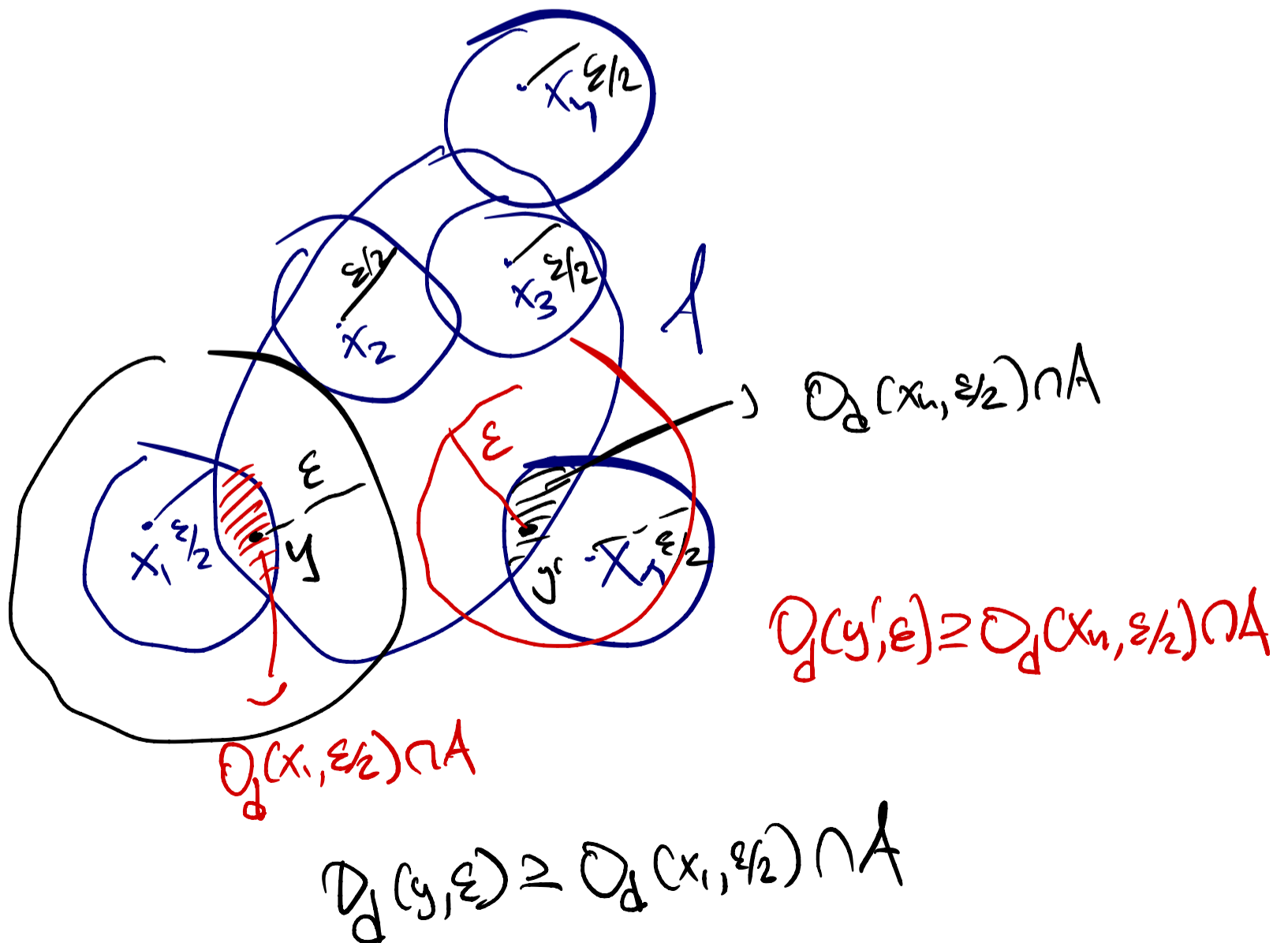
$\mathcal{O}_d(x_1, \frac{\epsilon}{2}) \cap A$ . If this is empty then the ball

$\mathcal{O}_d(x_1, \frac{\epsilon}{2})$  is not needed in the covering and thereby  $x_1$  can be discarded from  $Z$  and we can move on to the next element of  $Z$  that does not lie in  $A$ .

So suppose that  $\mathcal{O}_d(x_1, \frac{\epsilon}{2}) \cap A$  is not empty.

Let  $y \in \mathcal{O}_d(x_1, \frac{\epsilon}{2})$ . Consider  $\mathcal{O}_d(y, \epsilon)$ . We have that if  $z \in \mathcal{O}_d(x_1, \frac{\epsilon}{2}) \cap A$  then  $z \in \mathcal{O}_d(y, \epsilon)$ .

~~not used in next line.~~





Obviously due to monotonicity we have that

$O_d(x_i, \varepsilon) \supseteq O_d(x_i, \varepsilon/2) \cap A$ . Hence we have constructed a finite collection of open balls of radius  $\varepsilon$  with:  
i. centers inside  $A$  and ii. the collection covers  $A$ .  
The result follows since  $\varepsilon$  is arbitrary.  $\square$

**Lemma.** The previous hold if instead of covers by open balls we had considered covers of closed balls.

**Proof.** (covers of open balls  $\Rightarrow$  covers of closed balls)

Suppose  $A$  is t.b. (based on covers of open balls) and  $\varepsilon > 0$ . There exists a cover of open balls, say,

$$O_2(Z) = \left\{ O_d(x_1, \varepsilon), O_d(x_2, \varepsilon), \dots, O_d(x_n, \varepsilon) \right\}$$

such that  $\bigcup_{i=1}^n O_d(x_i, \varepsilon) \supseteq A$ . We have that  $O_d(x_i, \varepsilon) \subseteq$

$O_d[x_i, \varepsilon] \quad \forall i=1, 2, \dots, n \Rightarrow \bigcup_{i=1}^n O_d[x_i, \varepsilon] \supseteq A$ . Hence

$\{ O_d[x_1, \varepsilon], O_d[x_2, \varepsilon], \dots, O_d[x_n, \varepsilon] \}$  is the required

collection. (Hence the definition of t.b. using open

balls implies the definition of t.b. using closed balls)

(covers of closed balls  $\Rightarrow$  covers of open balls)

Suppose that  $A$  satisfies the definition of t.b.  
w.r.t. covers of closed balls. Let  $\varepsilon > 0$ : we know

that there exists a <sup>finite</sup> collection of closed balls

$\{ \mathcal{O}_d[x_1, \varepsilon/2], \mathcal{O}_d[x_2, \varepsilon/2], \dots, \mathcal{O}_d[x_n, \varepsilon/2] \}$  that covers

$A$ . We know that  $\mathcal{O}_d(x_i, \varepsilon) \supseteq \mathcal{O}_d[x_i, \varepsilon/2] \forall i=1, \dots, n$

$\Rightarrow \bigcup_{i=1}^n \mathcal{O}_d(x_i, \varepsilon) \supseteq \bigcup_{i=1}^n \mathcal{O}_d[x_i, \varepsilon/2] \supseteq A$  hence the

collection  $\{ \mathcal{O}_d(x_1, \varepsilon), \mathcal{O}_d(x_2, \varepsilon), \dots, \mathcal{O}_d(x_n, \varepsilon) \}$  is the  
required one. Since  $\varepsilon$  is arbitrary the result follows.  $\square$

Further useful results:

•  $X, d$  will be considered totally bounded iff

$X$  is totally bounded w.r.t. as a subset of itself.

•  $A \subseteq X$  is totally bounded w.r.t.  $d$ , iff

$A, d_A$  is totally bounded (Proof - Exercise!).

Use the result on the choice of the ball centers -  
it is similar to the proof of the analogous result  
for boundedness).

Lemma If  $A$  is totally bounded w.r.t.  $d$  then

it is also bounded w.r.t.  $d$ .

Proof. [checks the definitions using the covers!]

Lemma. If  $A$  is finite then it is totally bounded. (this holds for any metric!)

Proof. If  $A = \emptyset$  then  $A$  is trivially t.b. Suppose that  $A \neq \emptyset$ , i.e. it is of the form

$$A = \{x_1, x_2, \dots, x_n\}, \quad x_i \in X \quad \forall i=1, \dots, n$$

For  $\varepsilon > 0$ , consider  $\{O_d(x_1, \varepsilon), O_d(x_2, \varepsilon), \dots, O_d(x_n, \varepsilon)\}$

Since  $x_i \in O_d(x_i, \varepsilon) \quad \forall i=1, \dots, n$ , we have that

$$\bigcup_{i=1}^n O_d(x_i, \varepsilon) \supseteq A. \quad \text{Since } \varepsilon \text{ is arbitrary}$$

the result follows.  $\square$

Lemma. If  $A$  is t.b. w.r.t.  $d$  and  $B \subseteq A$  then  $B$  is also t.b. w.r.t.  $d$ .

Proof.  $\forall \varepsilon > 0$  the cover of open balls covers  $A$  it necessarily covers  $B$ .

Dually: if  $A$  is not t.b. w.r.t.  $d$  and  $B \supseteq A$  then also  $B$  is not t.b. w.r.t.  $d$



( $A$  is not t.b. iff  $\exists \varepsilon > 0$ : every finite collection of open balls of radius  $\varepsilon$  cannot cover  $A$ ).

## Examples and Counter-examples

1.  $X, d_d$  ( $X, d_d$  is always bounded)

We will show that:  $X, d_d$  is totally bounded iff it is finite.

Proof a. (Finite  $\Rightarrow$  t.b.)

(see above).

b. (if  $X, d_d$  is t.b. then it is finite).

Suppose that  $X$  is infinite. Let  $\varepsilon = 1/2$ .

(Reminder  $\bigcirc_{d_d}(x, 1/2) = \{x\} \forall x \in X$ ). This directly

implies that the only way to cover  $X$

by open balls of radius  $1/2$  is:  $\bigcup_{x \in X} \bigcirc_{d_d}(x, 1/2)$

//

$$\bigcup_{x \in X} \{x\} = X$$

but the collection  $\{\bigcirc_{d_d}(x, 1/2), x \in X\}$  is not

finite since  $X$  is infinite. The result follows.  $\square$

Hence the previous implies via example that total boundedness is strictly stronger than boundedness.

2. Is  $\mathbb{R}, d_u$  totally bounded? No since it is not even bounded. The same holds for  $\mathbb{R}^d, d_I$ .

(the interesting question here is when  $A \subseteq \mathbb{R}^d$  is t.b. w.r.t.  $d_I$ ).

3. Remember  $B(\mathbb{N}, \mathbb{R}), d_{\text{sup}}$  (bounded real sequences with the uniform metric).

$$A = \left\{ f_n \in B(\mathbb{N}, \mathbb{R}), f_n(m) = \begin{cases} 1 & m=n \\ 0 & m \neq n, m \in \mathbb{N} \end{cases} \right\}$$

Reminder: infinite dimensional vector representation of  $f_n$

$$(0, 0, \dots, 1, 0, \dots, 0, \dots)$$

↓  
(n+1)<sup>th</sup> position

e.g.  $f_0$   $(1, 0, 0, \dots, 0, \dots)$

We have proven that  $A$  is bounded w.r.t.

$d_{\text{sup}}$  (we have used uniform boundedness).

Is  $A$  totally bounded w.r.t.  $d_{\text{sup}}$ ?  $A$  is not totally bounded due to that: we know that if  $A$  were t.b. then the centers of the covering balls can be chosen to belong to  $A$ .

Also we have that  $d_{\text{sup}}(f_n, f_m) = \begin{cases} 0, & n=m \\ 1, & n \neq m. \end{cases}$

$A$  is obviously infinite. For  $\varepsilon = 1/2$

$\bigcirc_{d_{\text{sup}}}(f_m, 1/2) \cap A = \{f_m\}$ . Hence in

order to be able to cover  $A$  with open balls

of the form  $\bigcirc_{d_{\text{sup}}}(f_m, 1/2)$ ,  $m \in \mathbb{N}$  we need

as many of those balls as there are elements of.

But  $A$  is infinite. Hence  $A$  is not totally bounded w.r.t.  $d_{\text{sup}}$ .  $\square$

In order to construct examples the following notion will be useful:

# Covering Numbers and Metric Entropy.

We will use without loss of generality covers by closed balls.

Frameworks:  $X, d$ ,  $A \subseteq X$ ,  $\varepsilon > 0$

**Definition:** The covering number of  $A$  w.r.t.  $\varepsilon$  and  $d$ ,  $N(\varepsilon, d, A) := \min \#$  of closed balls of radius  $\varepsilon$  that cover  $A$ .

**Remark:** it is possible to prove that  $N(\varepsilon, d, A)$  is unique  $\forall \varepsilon, d, A$ .

$\ln N(\varepsilon, d, A)$  is the metric entropy number w.r.t.  $\varepsilon$  and  $d$ .

• It is easy to show that  $A$  is t.b. w.r.t.  $d$  iff  $N(\varepsilon, d, A) \in \mathbb{N}$  (i.e. it is  $< \infty$ )  $\forall \varepsilon > 0$ .

(dually  $A$  is not t.b. w.r.t.  $d$  iff  $\exists \varepsilon > 0$ :  $N(\varepsilon, d, A) = \infty$ )

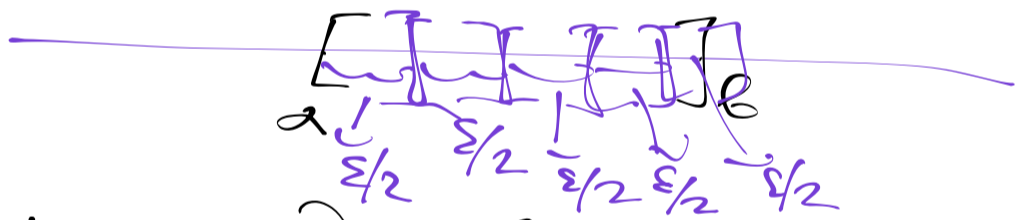
• It is easy to see that  $N(\varepsilon, d, A)$  is decreasing

w.r.t.  $\varepsilon$  for fixed  $d, A$ .

- Generally, as  $\varepsilon \downarrow 0$ ,  $N(\varepsilon, d, A) \rightarrow +\infty$   
 $(\varepsilon \rightarrow 0)$   
 $\varepsilon > 0$  for fixed  $d, A$   
 Even when  $A$  is t.b.

- What is very informative on the complexity of  $A$  as a metric (sub-)space is the rate at which  $N(\varepsilon, d, A)$  (or equivalently  $\ln N(\varepsilon, d, A)$ ) diverges as  $\varepsilon \downarrow 0$ .

E.g.  $X = \mathbb{R}$ ,  $d = d_u$   $[a, b] = \bigcup_{d_u} \left[ \frac{a+b}{2}, \frac{b-a}{2} \right]$



$$N(\varepsilon, d_u, [a, b]) = \begin{cases} 1, & \varepsilon \geq \frac{b-a}{2} \\ \text{o γηρότερος} \\ \text{πολλοί} \geq \frac{b-a}{2\varepsilon}, & \varepsilon < \frac{b-a}{2} \end{cases} < +\infty$$

$\forall \varepsilon > 0$ . Hence  $[a, b]$  is t.b. w.r.t.  $d_u$

Hence we have shown that  $\forall x \in \mathbb{R}, \varepsilon > 0, \bigcup_{d_u} [x, \varepsilon]$

is totally bounded w.r.t.  $d_u$ . Hence if  $A \subseteq \mathbb{R}$

is bounded w.r.t.  $d_u$  then it is also t.b. w.r.t.  $d_u$ .

Hence **Boundness  $\Leftrightarrow$  Total Boundness inside  $\mathbb{R}, d_u$**