

Lecture 07/04/20

## Further details on boundedness

1.  $X, d_1, d_2, A \subseteq X, d_1 \leq c d_2, c > 0.$

If  $A$  is bounded w.r.t.  $d_2$  then  $A$  is also bounded w.r.t.  $d_1$ .

**Corollary.** Suppose that  $\exists c_1, c_2 > 0 : c_1 d_2 \leq d_1 \leq c_2 d_2$  ✓ (K)  
[  
-  $d_1 \leq c^* d_2$  for  
-  $d_2 \leq c_* d_1, c^*, c_* > 0$ ]

then  $A$  is bounded w.r.t.  $d_1$  iff  
 $A$  is bounded w.r.t.  $d_2$

(i.e.  $d_1, d_2$  completely "agree" w.r.t. boundedness)

E.g.  $X = \mathbb{R}^d, d_I, d_{\max}, d_{\infty}$  and we know that every possible pair of them satisfies a relation of the previous type (K). Hence  $d_I, d_{\max}, d_{\infty}$  completely "agree" w.r.t. boundedness on  $\mathbb{R}^d$ .

## 2. Boundedness and Metric Subspaces

Remember: i.  $(X, d)$  metric space,  $A \subseteq X$   
we can "restrict"  $d$  to  $A$  (obtaining  $d_A$ ) hence we obtain  $(A, d_A)$  as a metric subspace of the previous.

ii.  $x \in A$ ,  $\varepsilon > 0$ ,  $O_d(x, \varepsilon) = O_{d_f}(x, \varepsilon) \cap A$

(something similar holds for closed balls)

iii.  $A$  is bounded iff  $\exists x \in A, \varepsilon > 0 : O_d(x, \varepsilon) \supseteq A$ .

Lemma.  $(A, d_f)$  is bounded iff  $A$  is bounded w.r.t.  $d$ .

(If true it means that we may only consider the cases of whether a metric (sub-) space is bounded.)

Proof.

a. if  $A, d_A$  is bounded then  $A$  is a bounded subset of  $X$ .

b. if  $A$  is a bounded subset of  $X$  then  $A, d_A$  is bounded.

a. we know that  $A, d_A$  is bounded  $\Leftrightarrow \exists x \in A, \varepsilon > 0 :$

$O_{d_A}(x, \varepsilon) \supseteq A$  but we have that  $O_{d_A}(x, \varepsilon) =$

$O_d(x, \varepsilon) \cap A \rightarrow O_d(x, \varepsilon) \supseteq A \Leftrightarrow A$  is a bounded subset of  $X$ .

b. we know that  $A$  is a bounded subset of  $X \Leftrightarrow$

$\exists x \in A, \varepsilon > 0 : O_d(x, \varepsilon) \supseteq A$ . Consider  $O_{d_A}(x, \varepsilon) :=$

$O_d(x, \varepsilon) \cap A \supseteq A$ . Hence  $A, d_A$  is bounded.  $\square$

3. "Fragility of boundedness w.r.t. limit,"

Consider  $X = \mathbb{R}$ , for  $n = 1, 2, 3, \dots$  Consider the  $\mathbb{N}^*$

following  $d_n: \mathbb{R} \times \mathbb{R} \rightarrow \mathbb{R}$ , defined by:

$$x, y \in \mathbb{R}, \quad d_n(x, y) = \begin{cases} |x - y|, & |x - y| < n \\ n, & |x - y| \geq n \end{cases}$$

$$= \min(d_a(x, y), n) = \begin{cases} d_a(x, y), & d_a(x, y) < n \\ n, & d_a(x, y) \geq n \end{cases}$$

Exer. Show that  $d_n$  is a well defined metric for any  $n = 1, 2, \dots$

(If  $n=0$  was allowed,  $d_0$  would be a pseudo-metric)

Hence we have essentially constructed a "sequence," of metric spaces,  $(\mathbb{R}, d_1), (\mathbb{R}, d_2), (\mathbb{R}, d_3), \dots, (\mathbb{R}, d_n), \dots$

a. Consider  $\mathbb{R}, d_n$ , let  $x \in \mathbb{R}$ , and  
bounded

Consider furthermore

$$\text{O}_{d_n}(x, n+L) = \{y \in \mathbb{R}: d_n(x, y) < n+L\} \\ = \mathbb{R}.$$

Hence  $\mathbb{R}$  is bounded w.r.t.  $d_n$   $\forall n = 1, 2, \dots$

b. What happens to  $d_n(x, y)$  as  $n \rightarrow +\infty$ ?  
fixed

We have that eventually (for large enough  $n$ )

$$d_n(x,y) = |x-y|, \text{ hence } \lim_{n \rightarrow +\infty} d_n(x,y) = |x-y| = d_u(x,y)$$

Since  $x, y$  were arbitrary we have that

$$\forall x, y \in \mathbb{R} \quad d_n(x,y) \xrightarrow{n \rightarrow +\infty} d_u(x,y)$$

(in some way - compare with the notion of pointwise convergence  
-  $d_n$  converges to  $d_u$ )

Hence in some way  $\mathbb{R}, d_u$  is some kind of limit of the previous sequence of metric spaces.

c.  $\mathbb{R}, d_u$  is not bounded

Hence we have an example for which boundedness is "lost in the limit".

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4. We have in many cases worked with  $\underline{\mathbb{R}}, d_{\sup}$

$$\{f: \mathbb{X} \rightarrow \mathbb{R}, \text{ bounded}\}$$

-  $f$  is bounded  $\Leftrightarrow f(\mathbb{X})$  is a bounded subset of  $\mathbb{R}$  w.r.t  $d_u$ .

-  $\circled{d_{\sup}}(f, g) = \sup_{x \in \mathbb{X}} |f(x) - g(x)| = \sup_{x \in \mathbb{X}} d_u(f(x), g(x)).$

We can now easily generalize the previous construction.  
Suppose that we have a metric space  $(\mathbb{X}, d)$

- $f: Y \rightarrow X$  will be considered bounded w.r.t.  $d$   
iff  $f(Y)$  is a bounded subset of  $X$  w.r.t.  $d$   
i.e.  $\exists x \in X, \varepsilon > 0 : O_d(x, \varepsilon) \supseteq f(Y)$ , hence  
 $B(X, X)$  is well defined. (always  $\neq \emptyset$ -constant functions)

- We can analogously define the analogue of  $d_{\sup}$  in this extended setting:

$$f, g \in B(Y, X), d^*_{\sup}(f, g) = \sup_{x \in X} d(f(x), g(x))$$

Exe. Show that  $d^*_{\sup}$  is a well-defined metric.

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5.  $(X, d)$ ,  $\varepsilon > 0$ ,  $Z \subseteq X$ . Consider the following collection of open balls:

$$\mathcal{O}(Z, \varepsilon) = \{ O_d(x, \varepsilon) : x \in Z \}$$

The relevant collection of closed balls is

$$\bar{\mathcal{O}}(Z, \varepsilon) = \{ O_d[x, \varepsilon] : x \in Z \}$$

When  $Z$  is finite then the collections above are finite

When  $A \subseteq X$ , we say that  $A$  is covered by  $\mathcal{O}(z, \varepsilon)$  iff  $\bigcup_{x \in A} O_d(x, \varepsilon) \supseteq A$

(analogously for  $\bar{\mathcal{O}}(z, \varepsilon)$ ).

Theorem.  $A$  is bounded w.r.t.  $d$  iff  $\exists \varepsilon > 0$

w.r.t. which there exists a finite  $\mathcal{O}(z, \varepsilon)$  that covers  $A$ .

[we can equivalently use closed balls - Exe!]

Proof.

a. Suppose that  $A$  is bounded  $\Leftrightarrow \exists x, \varepsilon > 0$ :

$O_d(x, \varepsilon) \supseteq A$ . Hence  $\mathcal{O}(z, \varepsilon) = \{O_d(x, \varepsilon)\}$ ,

$$Z = \{x\}.$$

b. Suppose that there exists  $\varepsilon > 0$  w.r.t. there exists a finite  $\mathcal{O}(z, \varepsilon)$  that covers  $A$ .

$\mathcal{O}(z, \varepsilon)$  has the form  $\{O_d(x_1, \varepsilon), O_d(x_2, \varepsilon), \dots, O_d(x_n, \varepsilon)\}$  for some  $n \in \mathbb{N}^*$ . ( $Z = \{x_1, x_2, \dots, x_n\}$ )

We will try to construct a "large enough" ball that covers  $\bigcup_{i=1}^n O_d(x_i, \varepsilon)$ . Without loss of generality choose  $x_L$  as center.

Let  $\delta := \max_{i=1,\dots,n} d(x_1, x_i) + \varepsilon + L > 0$

$n \in \mathbb{N}^*$   $\Rightarrow$  this is well defined

We have that  $O_d(x_1, \delta) \supseteq \bigcup_{i=1}^n O_d(x_i, \varepsilon)$ , this is

due to that: let  $y \in \bigcup_{i=1}^n O_d(x_i, \varepsilon) \Rightarrow y \in O_d(x_i, \varepsilon)$

for some  $i$ , that is  $d(x_i, y) < \varepsilon$  for some  $i$ .

We have that  $d(x_1, y) \leq d(x_1, x_i) + d(x_i, y) < \varepsilon$

$\leq \max_{i=1,\dots,n} d(x_1, x_i) + \varepsilon$

$< \max_{i=1,\dots,n} d(x_1, x_i) + \varepsilon + L = \delta$

Hence  $d(x_1, y) < \delta \Leftrightarrow y \in O_d(x_1, \delta) \Rightarrow$

$O_d(x_1, \delta) \supseteq \bigcup_{i=1}^n O_d(x_i, \varepsilon) \supseteq A$

Hence  $A \subseteq O_d(x_1, \delta)$  hence  $A$  is bounded.  $\square$

## Total Boundness

**Definition.**  $A$  is totally bounded (w.r.t.  $d$ ) iff there

$\exists Z$  finite such that the collection  $O(Z, \varepsilon)$  covers  $A$ .

[Dually we can consider collections of closed balls].

**Remark.** The definition allows the dependence of  $Z$  on  $\varepsilon$ . Hence both the centers and the cardinality of  $Z$  is allowed to change with  $\varepsilon$ .

**Remark.** When  $A$  is totally bounded then  $\forall \varepsilon > 0$   $Z$  can be chosen as a subset of  $A$  (i.e. the ball centers can be chosen to lie inside  $A$ ).

**Proof.** Suppose that  $A$  is totally bounded. Let  $\varepsilon > 0$ .

Due to the previous for  $\delta = \frac{\varepsilon}{2}$  there exists a finite  $Z$  such that the collection  $\mathcal{O}(Z, \varepsilon/2)$  covers  $A$ . The collection  $\mathcal{O}(Z, \varepsilon/2)$  is the set of open balls  $\{O_d(x_1, \varepsilon/2), O_d(x_2, \varepsilon/2), \dots, O_d(x_n, \varepsilon/2)\}$  ( $Z = \{x_1, x_2, \dots, x_n\}$  for  $n$  that may depend on  $\varepsilon/2$ )

If  $Z \subseteq A$  then the collection  $\mathcal{O}(Z, \varepsilon)$  covers  $A$  since  $O_d(x_i, \varepsilon/2) \subseteq O_d(x_i, \varepsilon)$   $\forall i = 1, \dots, n$ . If  $Z \not\subseteq A$  then:

Suppose that  $x_1 \notin A$  without loss of generality.

Since  $\bigcup_{i=1}^n \overline{O_d(x_i, \frac{\varepsilon}{2})} \supseteq A$  we consider  $O_d(x_1, \frac{\varepsilon}{2}) \cap A$ . If this is empty then the ball  $O_d(x_1, \frac{\varepsilon}{2})$  is not needed in the covering and thereby  $x_1$  can be discarded from  $Z$  and we can move on to the next element of  $Z$  that does not lie in  $A$ .

So suppose that  $O_d(x_1, \frac{\varepsilon}{2}) \cap A$  is not empty.

Let  $y \in O_d(x_1, \frac{\varepsilon}{2})$ . Consider  $\underline{O_d(y, \varepsilon)}$ . We have that if  $z \in O_d(x_1, \frac{\varepsilon}{2}) \cap A$  then  $z \in \underline{O_d(y, \varepsilon)}$ .

We will continue it next time.

