

# ΒΕΛΤΙΣΤΟΠΟΙΗΣΗ ΕΠΕΡΩΤΗΣΕΩΝ

Γιάννης Κωτίδης

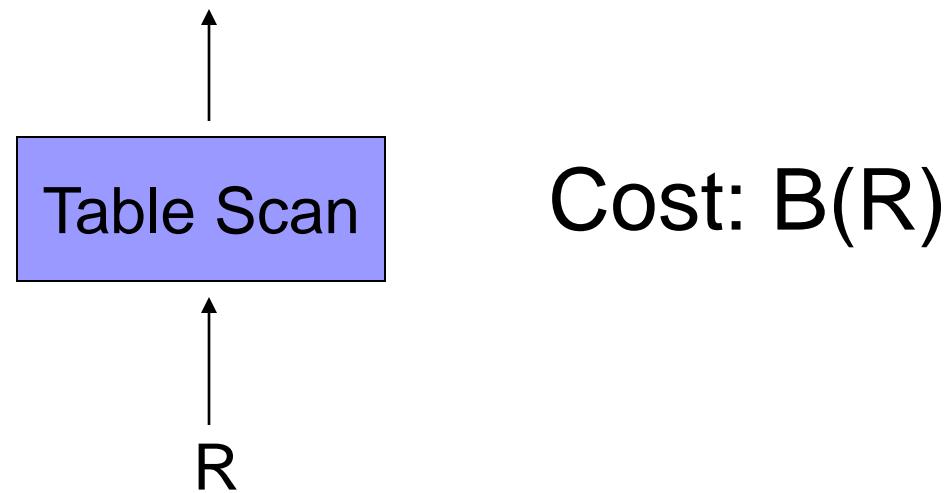
# Σύνθετο παράδειγμα

- ΣΔΒΔ με 2 αλγόριθμους σύζευξης
  - NLJ
  - Sort-Merge join
- Δύο access methods
  - Table Scan
  - Index Seek (we assume B+tree indexes that are dense & in memory)
- Κόστος: αριθμός I/O

# Υπενθύμιση

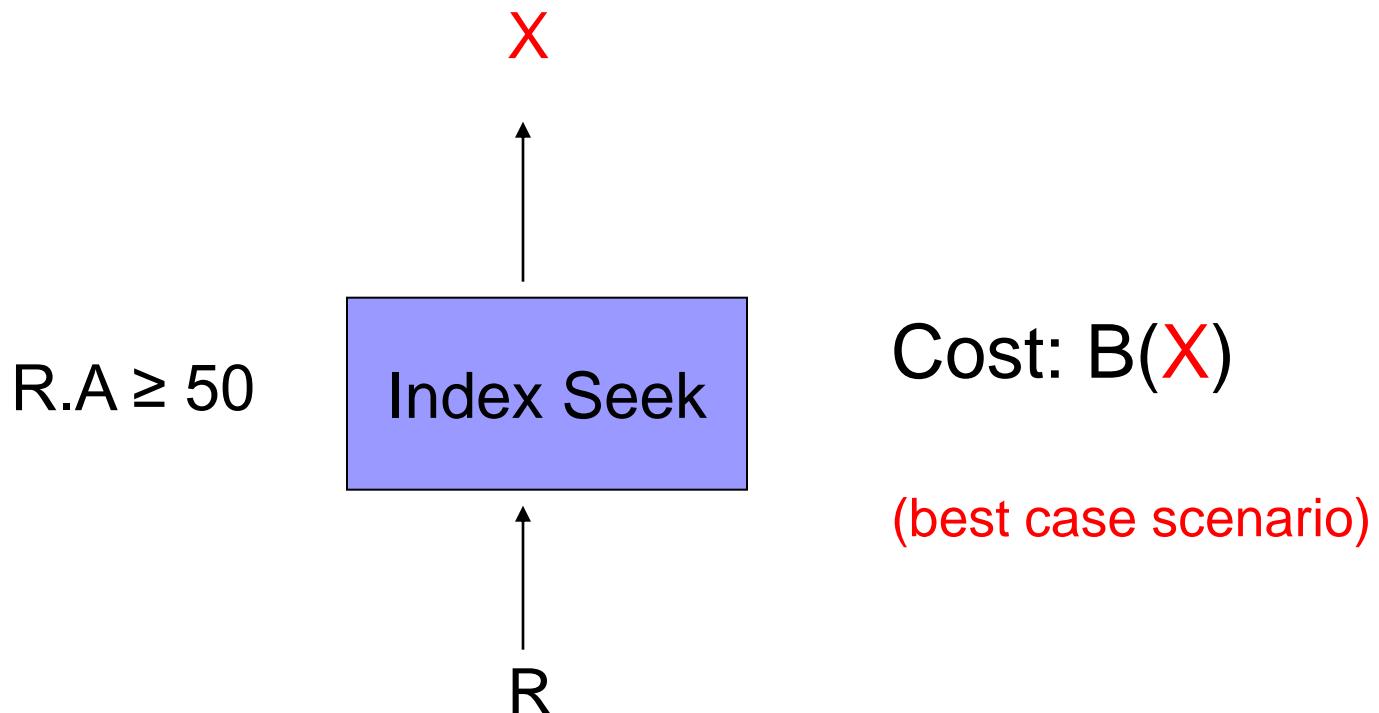
- $T(R)$  : # εγγραφών της  $R$
- $B(R)$  : # σελίδων της  $R$

# Κόστος: Table Scan



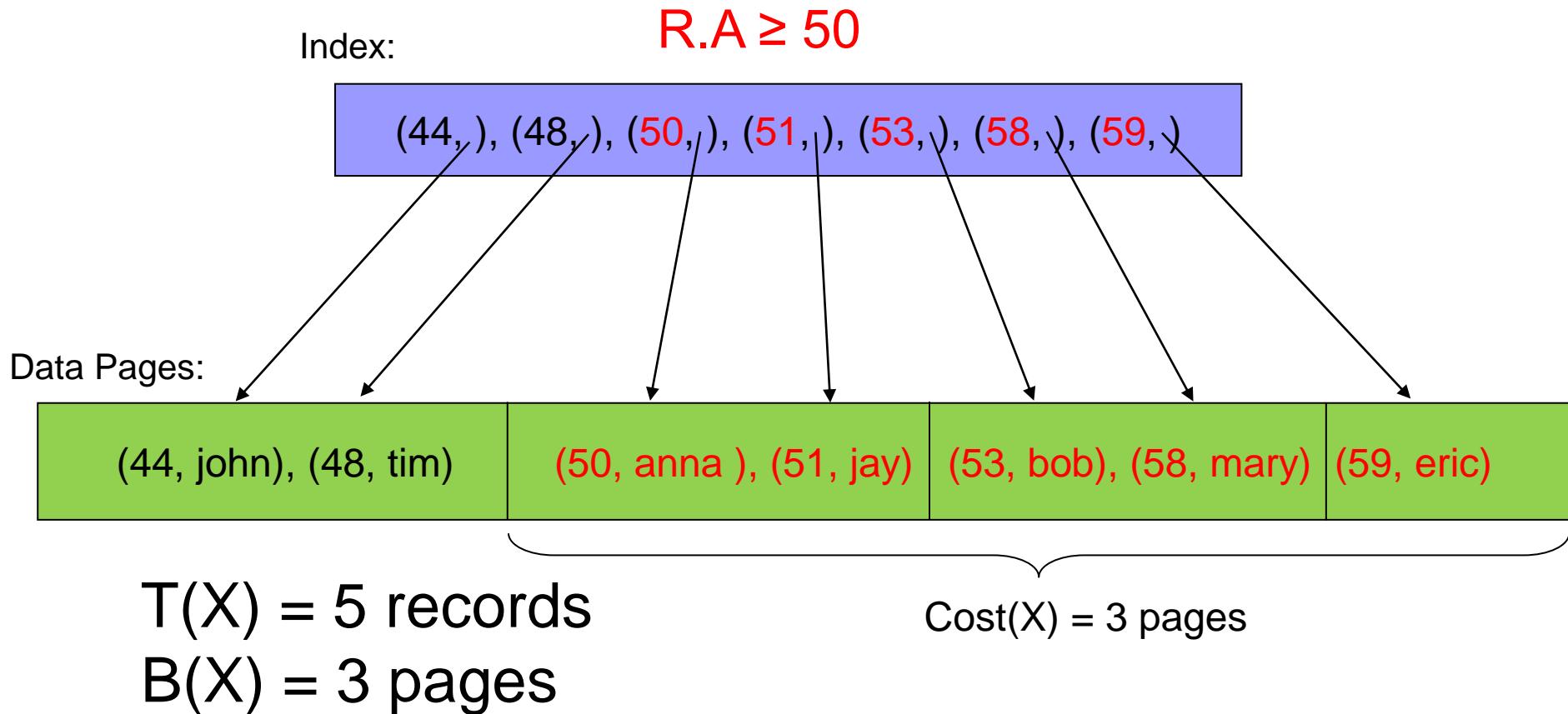
# Κόστος Index Seek

(ευρετήριο συστάδων-clustered index)

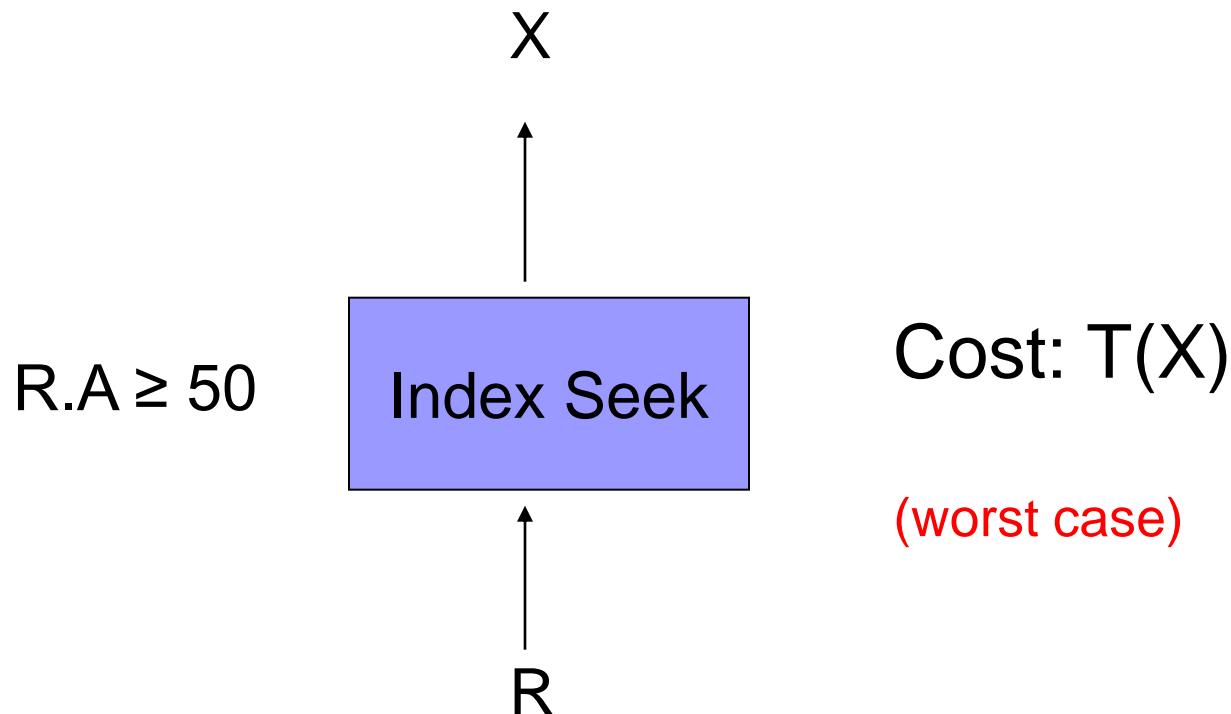


# Index Seek (Conceptual)

## (ευρετήριο συστάδων-clustered index)

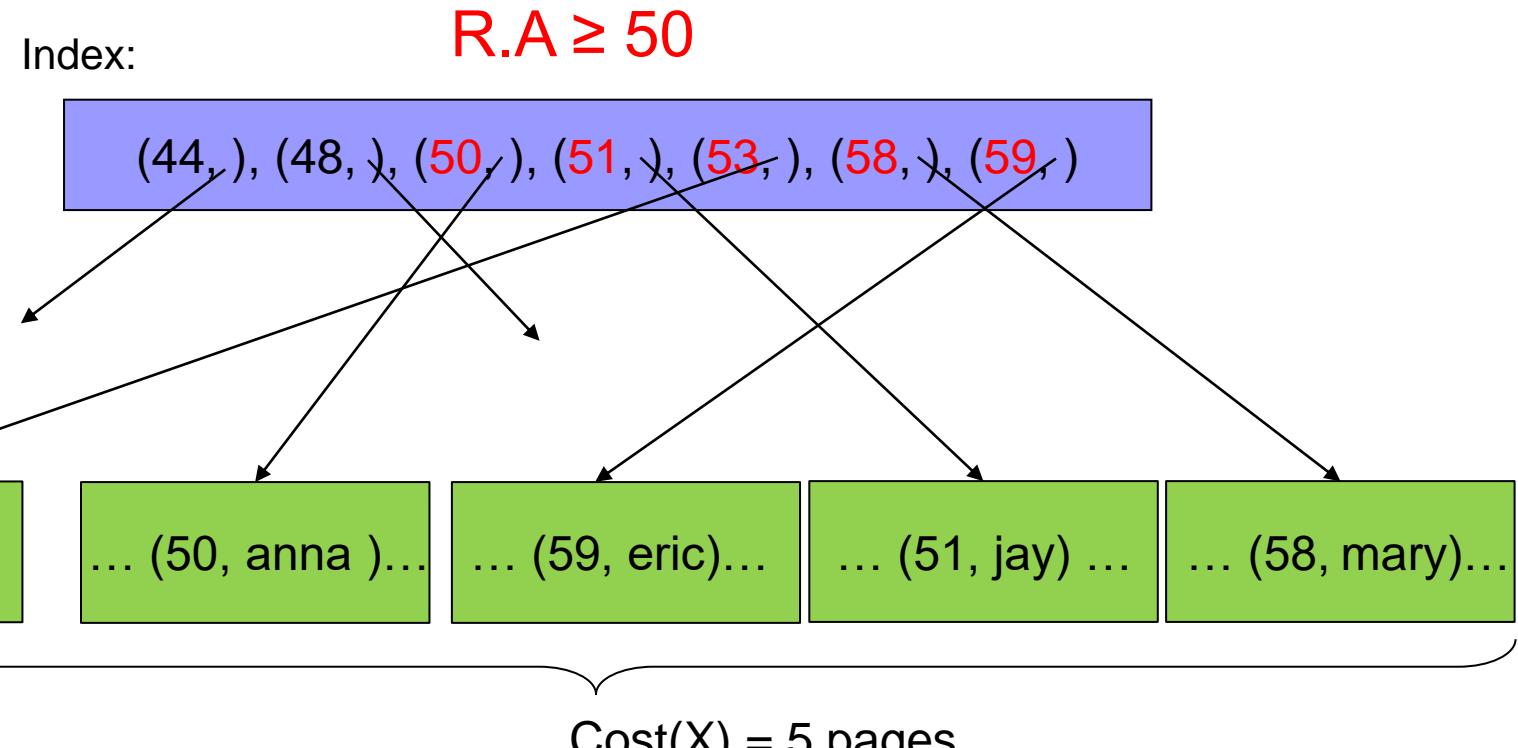


# Κόστος Index Seek (non-clustered index)



# Index Seek (Conceptual)

## (non-clustered index)



$T(X) = 5 \text{ records}$

# Παράδειγμα

- $B(R) = 1000, T(R)=5000$
- $V(R,A) = 500$

select \* from R where R.A=761;

- Cost(no-index) = ?
- Cost(non-clustering index on R.A) = ?
- Cost(clustering index on R.A) = ?
- Size of the result in pages = ?

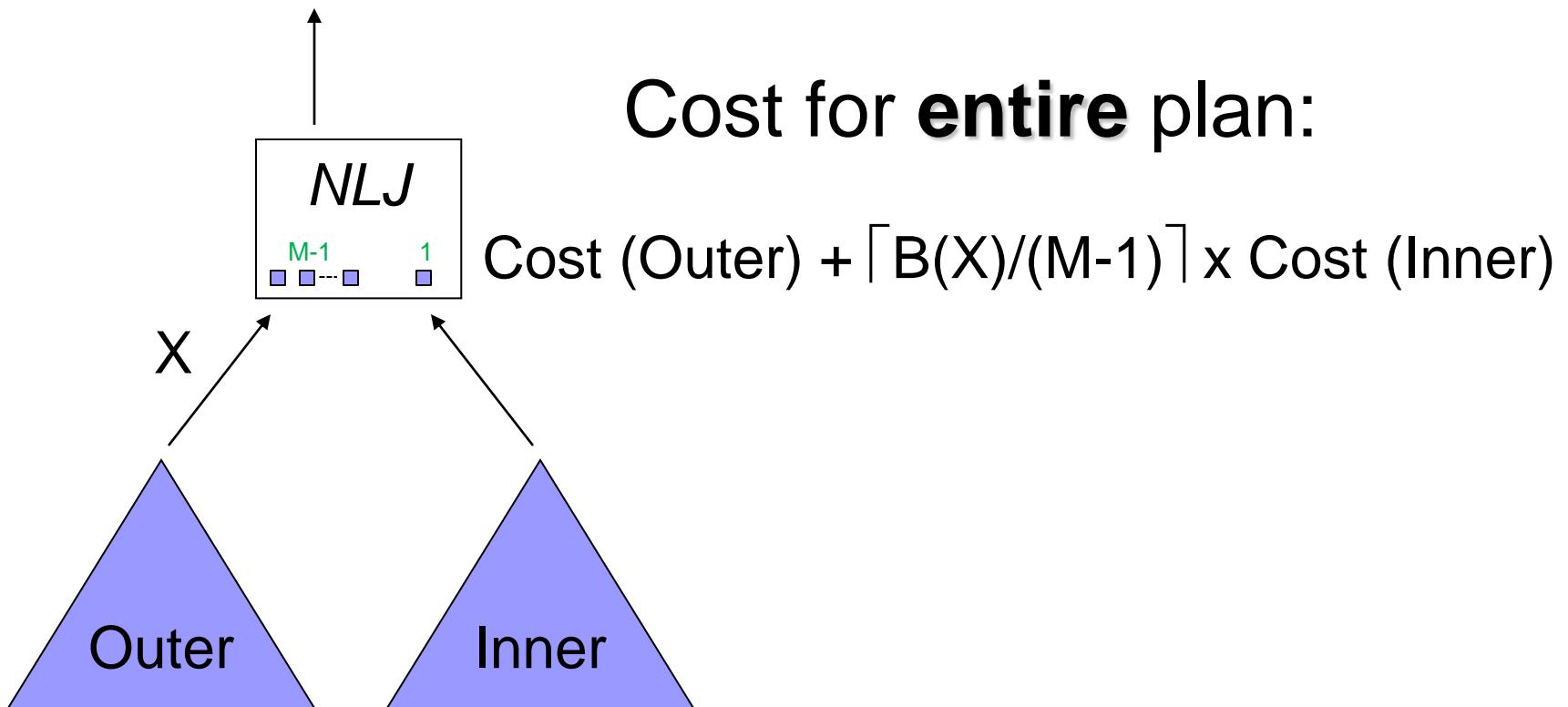
# Παράδειγμα

- $B(R) = 1000, T(R)=5000$
- $V(R,A) = 500$

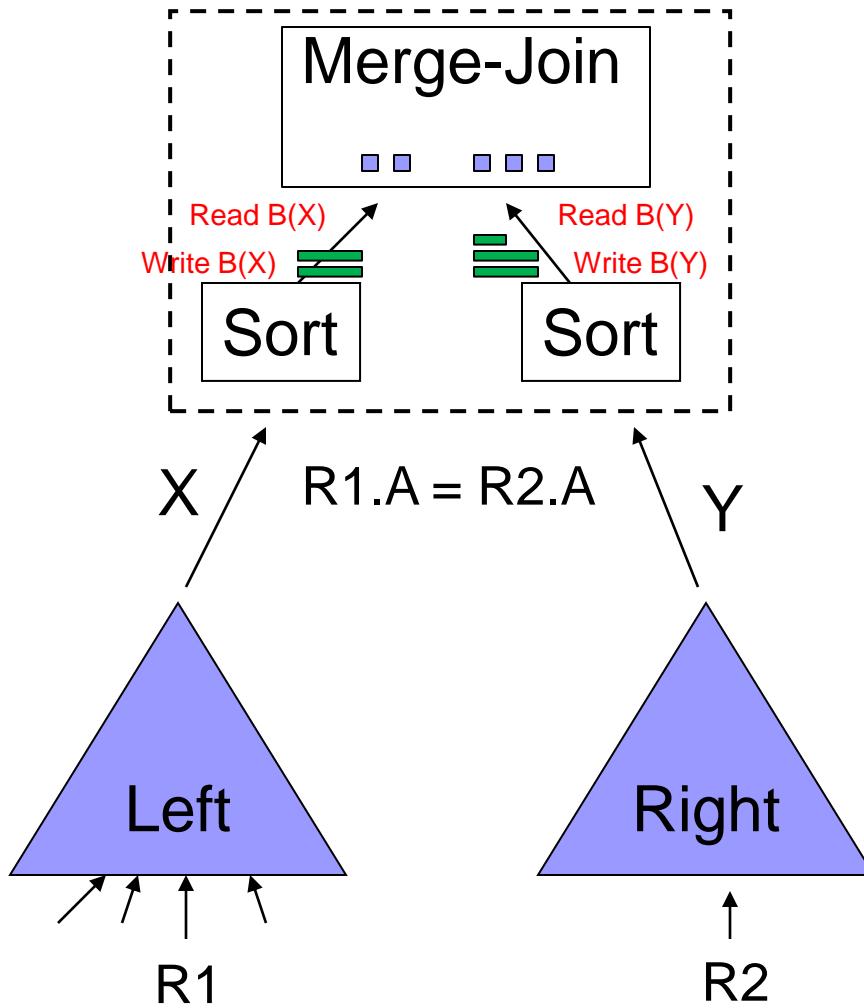
select \* from R where R.A=761;

- Cost(no-index) = 1000
- Cost(non-clustering index) = 10
- Cost(clustering index) = 2
- Size of the result in pages = 2

# Κόστος NLJ



# Κόστος Sort-Merge Join

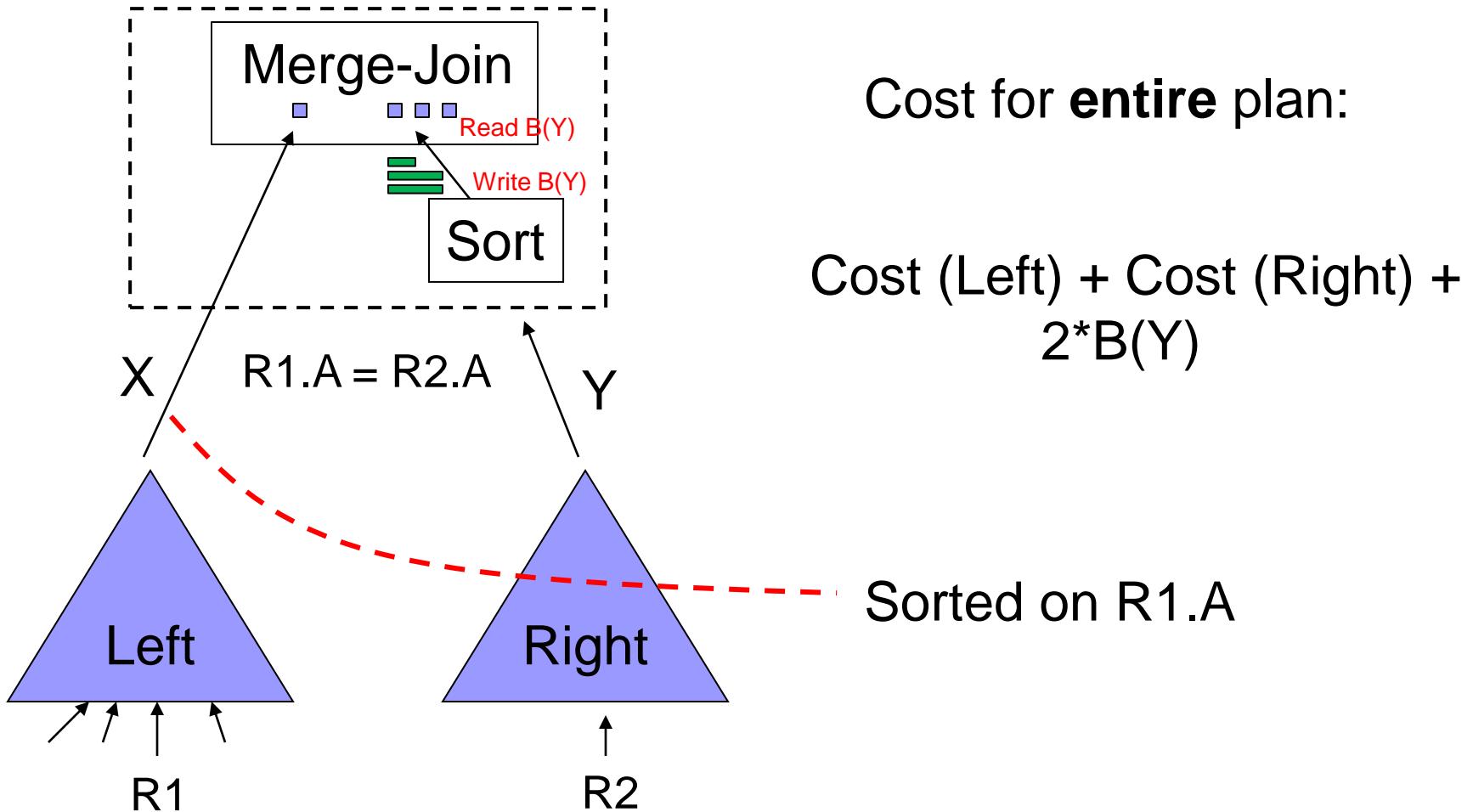


Cost for **entire** plan:

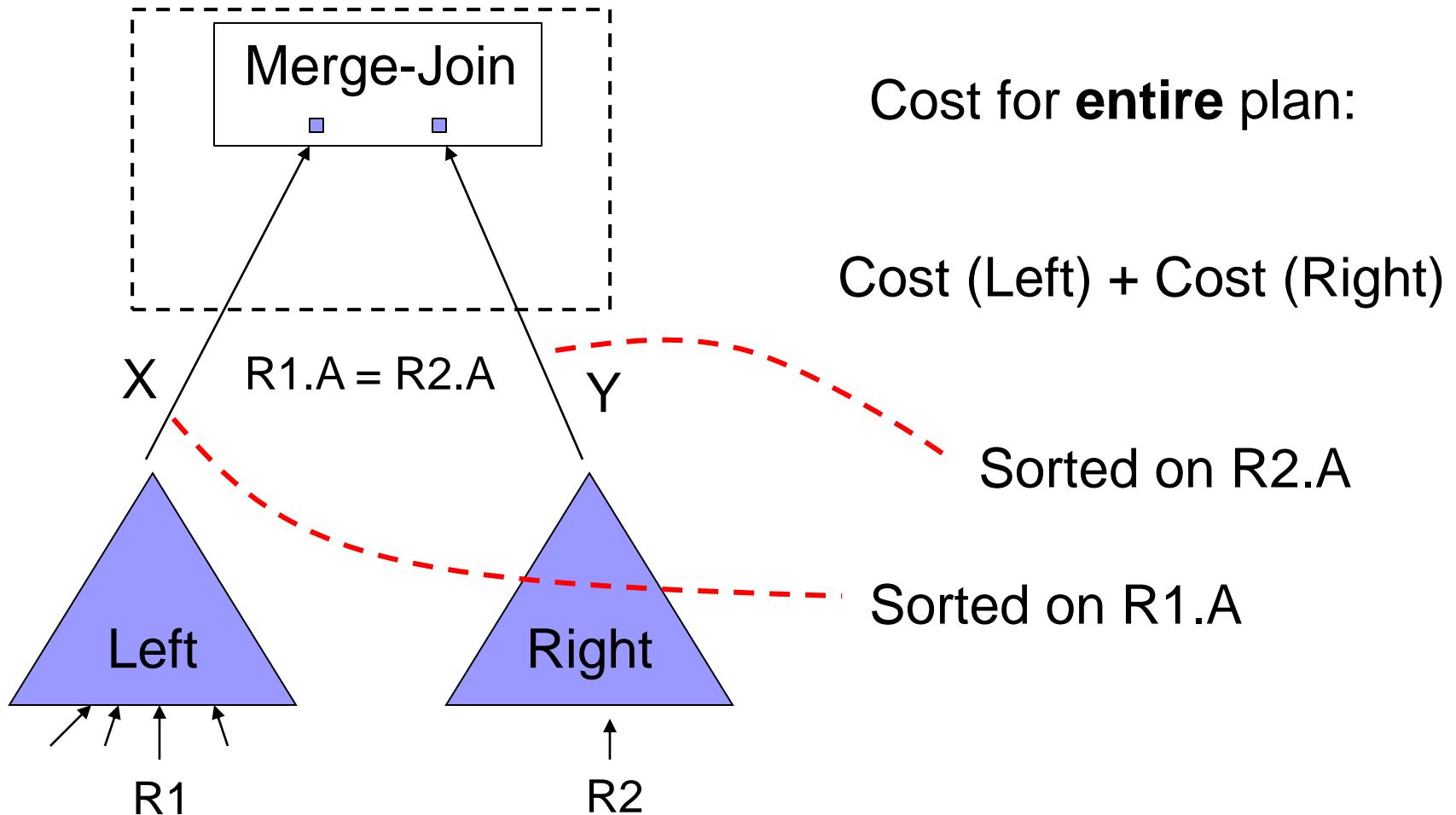
$$\text{Cost (Left)} + \text{Cost (Right)} + 2*(B(X) + B(Y))$$

**Εφόσον  $B(X)+B(Y) \leq M^2$**

# Κόστος Sort-Merge Join



# Κόστος Sort-Merge Join



# Sort-Merge Join

Το κόστος αλλάζει όταν η μία ή και οι δύο σχέσεις εισόδου είναι ταξινομημένες

# Παράδειγμα

- Σχέσεις  $R(a,b)$  και  $S(b,c,d)$ .
  - $T(R)=3000$ ,  $B(R)=30$  σελίδες
  - $T(S)=100$ ,  $B(S)=50$  σελίδες
  - $M=11$  σελίδες
- Επερώτηση:  
***SELECT a,d FROM R, S WHERE R.b=S.b***
- Κόστος SMJ, NLJ?

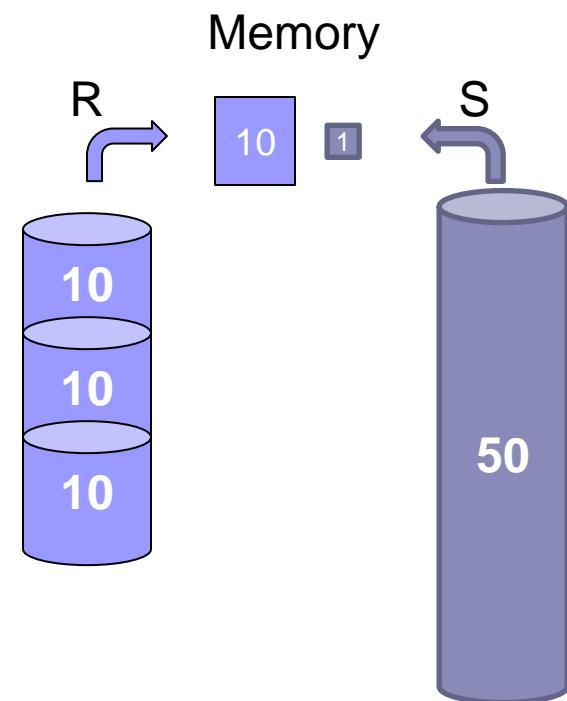
# Ανάλυση SMJ

```
SELECT a,d FROM R, S WHERE R.b=S.b
```

- Παρατηρώ:
  - Κάθε μία σχέση είναι μεγαλύτερη από τη διαθέσιμη μνήμη ( $M=11$ )
  - $B(R)+B(S) = 30+50 = 80 < 11^2$
- Επομένως θα τρέξει η αποδοτική έκδοση του αλγορίθμου σε 2 περάσματα
- Κόστος SMJ=  $3*(B(R)+B(S))=240$  σελίδες

# Ανάλυση NLJ

- NLJ: εξωτερική η R (γιατί?)
  - $M=11$
  - $B(R)=30$ ,  $B(S)=50$
  - Πόσες φορές θα διαβάσω την εσωτερική σχέση S?
    - $\lceil B(R)/(M-1) \rceil = 30/10 = 3$
  - Κόστος NLJ = 30 + 3 \* 50 = 180



# Ίδιο πρόβλημα για $M=8$

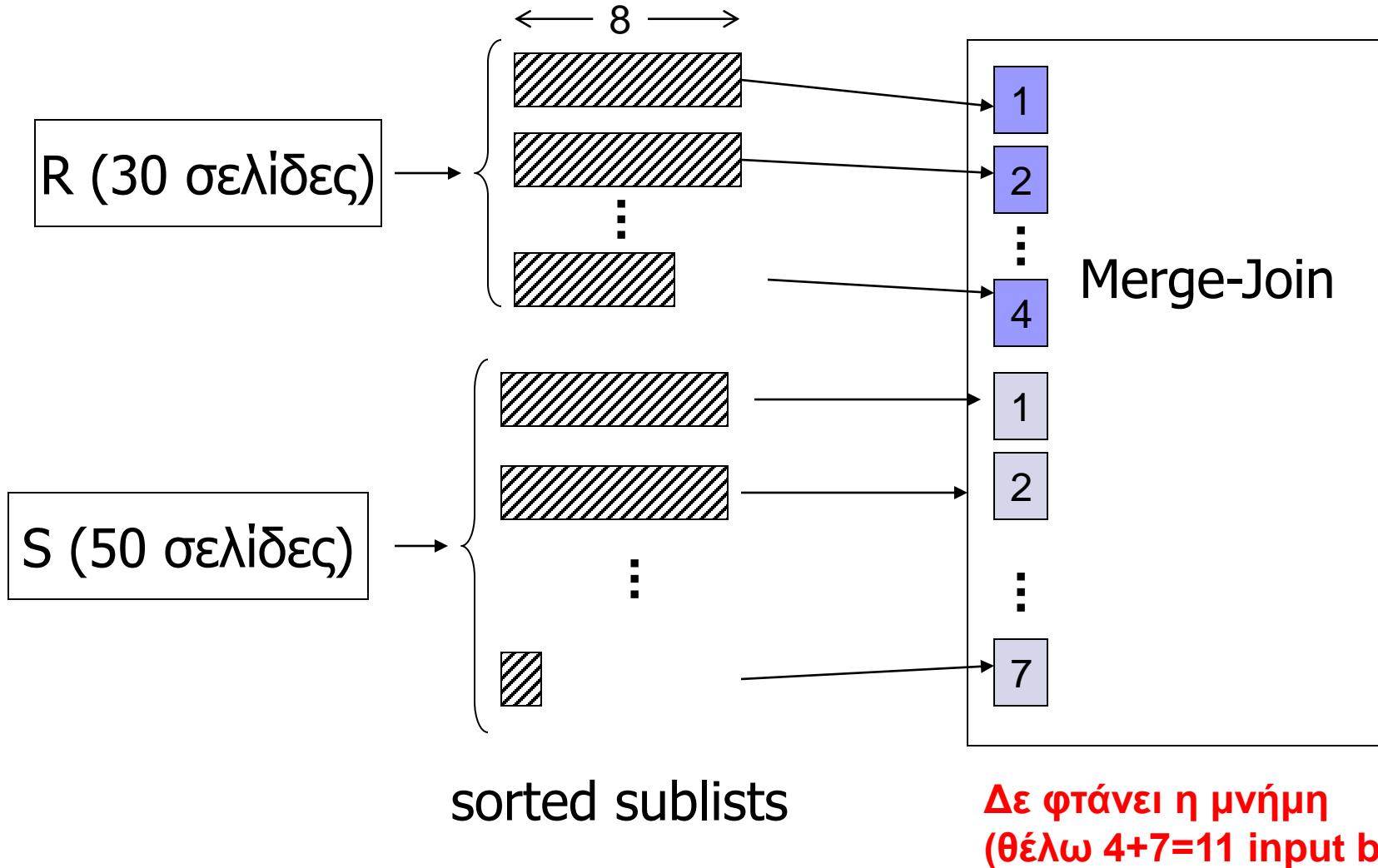
- Σχέσεις  $R(a,b)$  και  $S(b,c,d)$ .
  - $T(R)=3000$ ,  $B(R)=30$  σελίδες
  - $T(S)=100$ ,  $B(S)=50$  σελίδες
  - $M=8$  σελίδες
- Επερώτηση:  
***SELECT a,d FROM R, S WHERE R.b=S.b***
- Κόστος SMJ, NLJ?

# Ανάλυση SMJ ( $M=8$ )

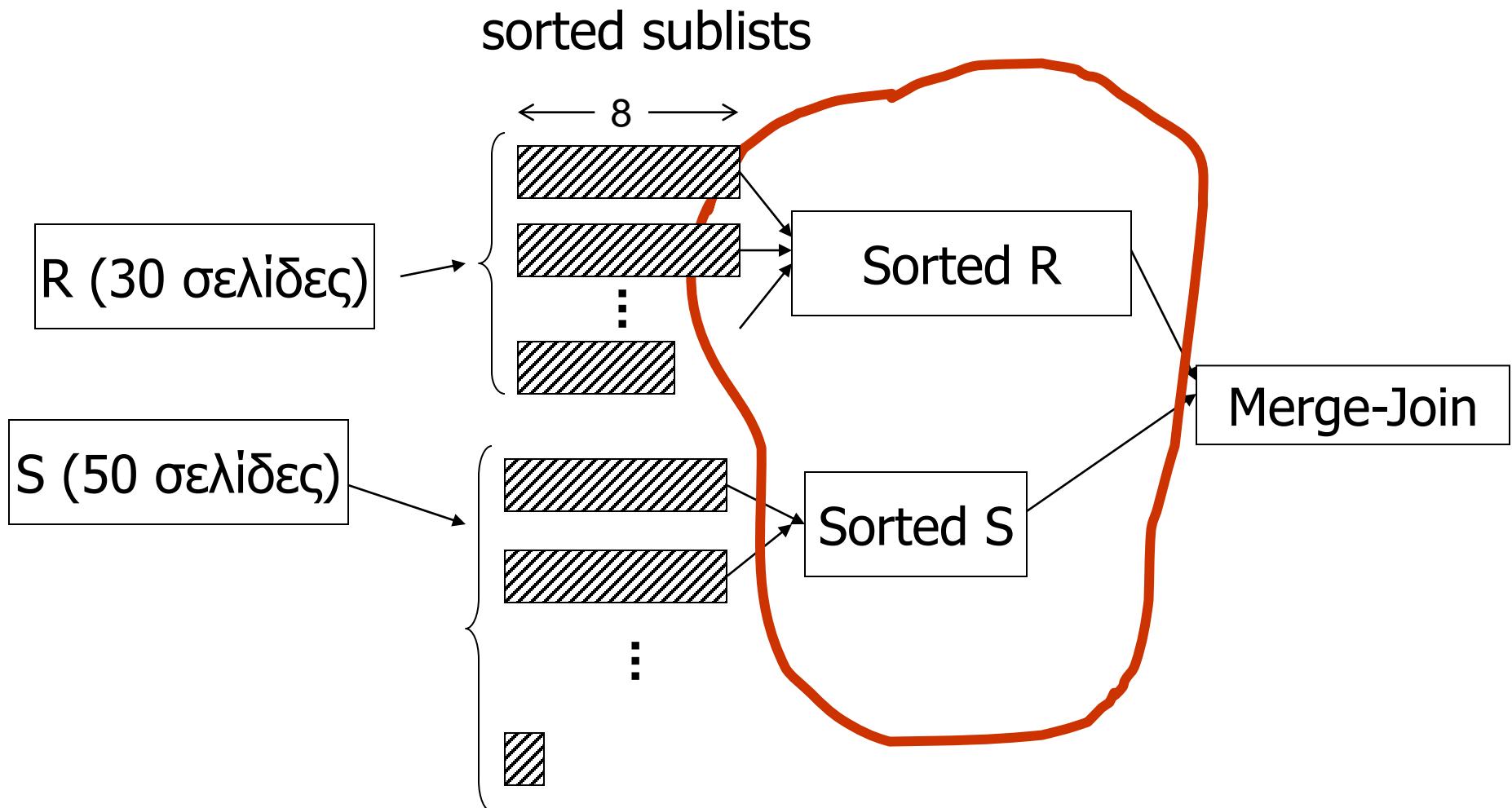
**SELECT a,d FROM R, S WHERE R.b=S.b**

- $B(R) > M$  ,  $B(S) > M$ 
  - Άρα τουλάχιστον 2 περάσματα
- $B(R)+B(S) = 80 > M^2 = 64$ 
  - Άρα δε μπορεί να εφαρμοστεί η αποδοτική έκδοση του αλγορίθμου
- Όμως  $B(R)=30 < 64$ ,  $B(S)=50 < 64$ 
  - Άρα μπορεί να εκτελεστεί η μη-αποδοτική έκδοση σε δύο περάσματα

# Αποδοτική έκδοση του αλγορίθμου Sort-Merge Join ( $M=8$ )?



# Εναλλακτική εκτέλεση SMJ



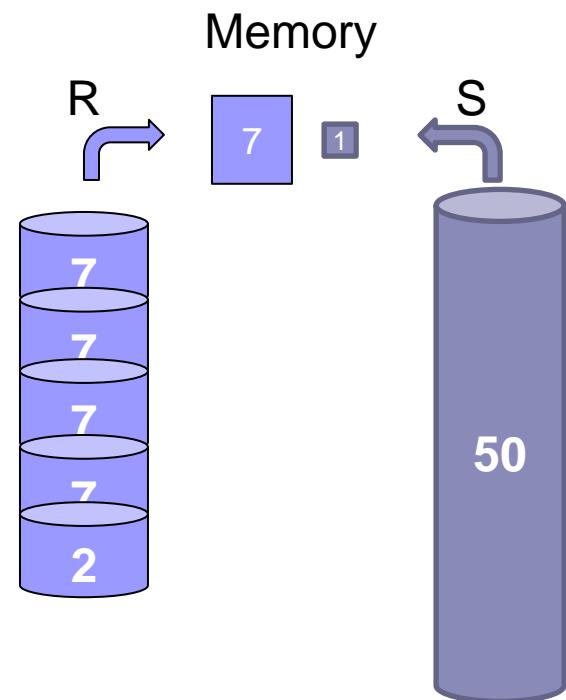
Για  $M=8$  θα δημιουργήσουμε τις ταξινομημένες R, S στο δίσκο και μετά θα γίνουν merge-join

# Ανάλυση SMJ για Μ=8

- $B(R) = 30 > 8, B(S) = 30 > 8$
- $B(R) = 30 < 64, B(S) = 50 < 64$
- Κόστος SMJ =  $5 * (30 + 50) = 400$

# Ανάλυση NLJ

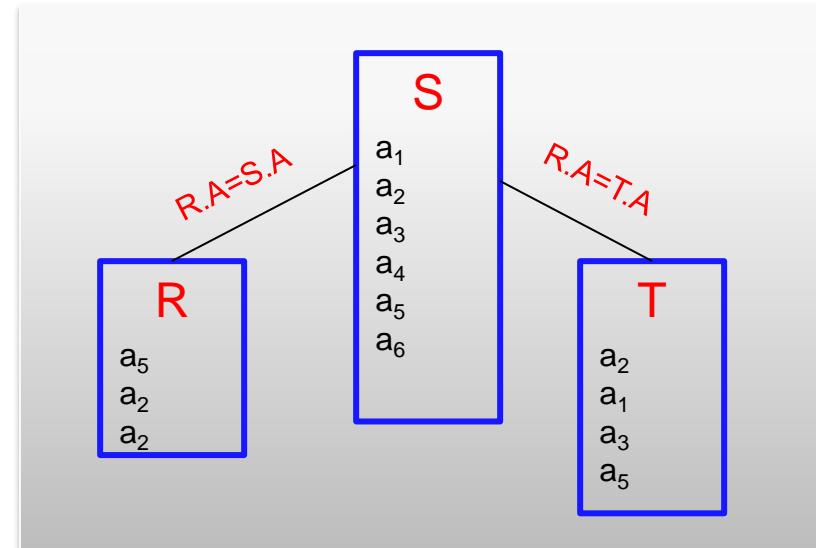
- NLJ: εξωτερική η R (γιατί?)
  - $M=8$
  - $\lceil B(R)/(M-1) \rceil = \lceil 30/7 \rceil = 5$
  - Κόστος NLJ =  $30 + 5 * 50 = 280$



# Άσκηση 2

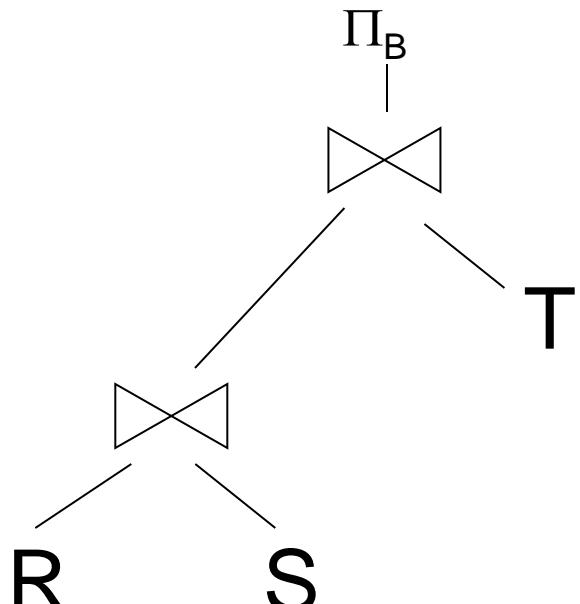
- Consider the following SQL query

```
select R.B  
from R,S,T  
where R.A=S.A and S.A=T.A
```



Schema: R(A,B), S(A,C), T(A,D)

# Δίνεται το παρακάτω λογικό πλάνο



select R.B  
from R,S,T  
where R.A=S.A and S.A=T.A

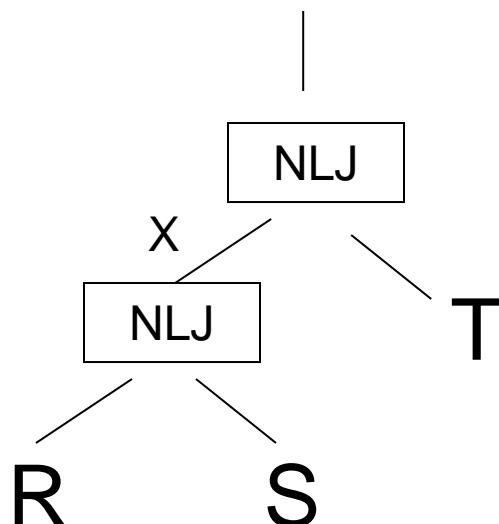
# ΣΤΑΤΙΣΤΙΚÁ

- $B(R) = 10, B(S) = 20, B(T) = 16$
- $B(R \text{ JOIN } S) = 19$
- $M = 14$
- Υποθέστε ότι η διαθέσιμη μνήμη  
ισομοιράζεται ανάμεσα στους φυσικούς  
τελεστές

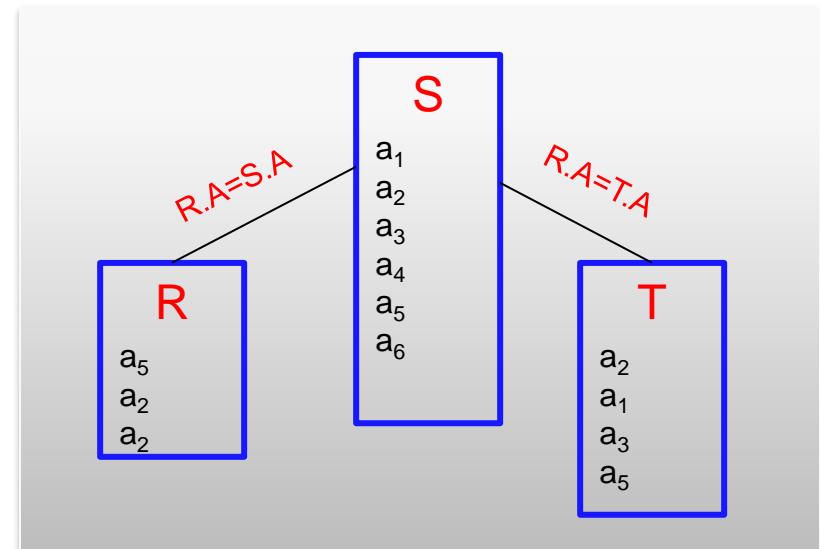
# Physical Plan P1

Υπόθεση: η μνήμη ισομοιράζεται ανάμεσα στα 2 NLJ στιγμιότυπα:  
 $M'=7$  σελίδες το κάθε ένα

$$\text{Cost}(P1) = \text{Cost}(X) + \lceil B(X)/(M'-1) \rceil * B(T)$$



$$B(R)=10, B(S)=20, B(T)=16, B(X)=19$$



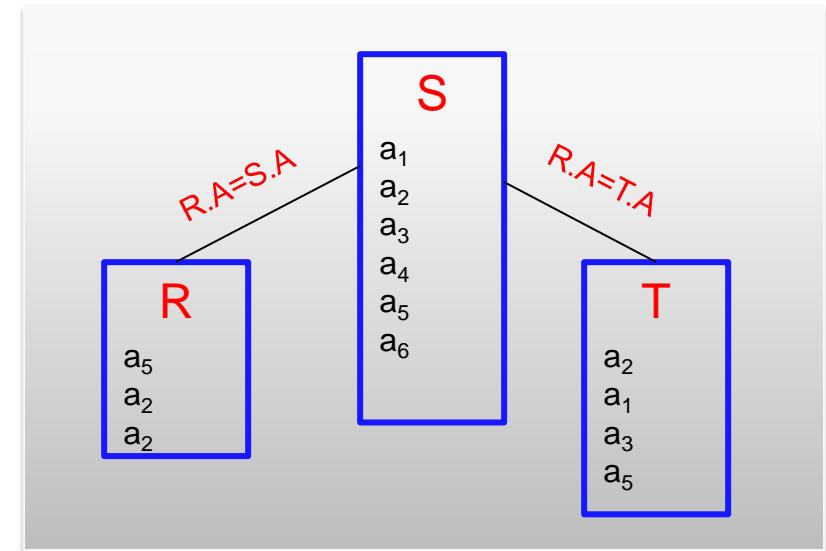
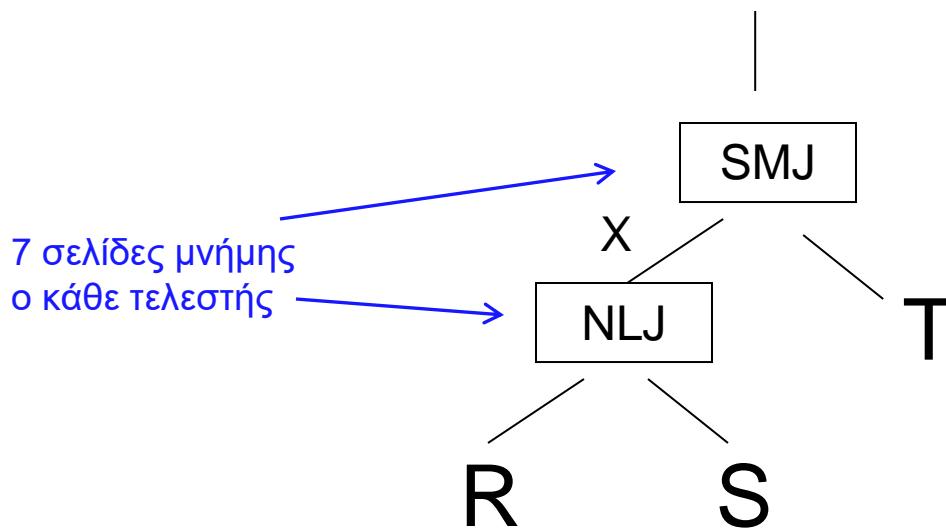
$$\begin{aligned}\text{Cost}(X) &= B(R) + \lceil B(R)/(M'-1) \rceil * B(S) \\ &= 10 + \lceil 10/6 \rceil * 20 \\ &= 10 + 2 * 20 = 50\end{aligned}$$

Επομένως:

$$\begin{aligned}\text{Cost}(P1) &= \text{Cost}(X) + \lceil B(X)/(M'-1) \rceil * B(T) \\ &= 50 + \lceil 19/6 \rceil * 16 \\ &= 50 + 4 * 16 \\ &= 114 \text{ I/Os}\end{aligned}$$

# Physical Plan P2

$$\text{Cost}(P2) = \text{Cost}(X) + 2 * B(X) + 3 * B(T)$$



$$\text{Cost}(X) = 50 \text{ (προηγούμενη διαφάνεια)}$$

Επομένως:

$$\text{Cost}(P2) = 50 + 2 * 19 + 3 * 16 = 136 \text{ I/Os}$$

Προσοχή: υποθέσαμε ότι τρέχει η αποδοτική έκδοση του SMJ σε δύο περάσματα διότι:

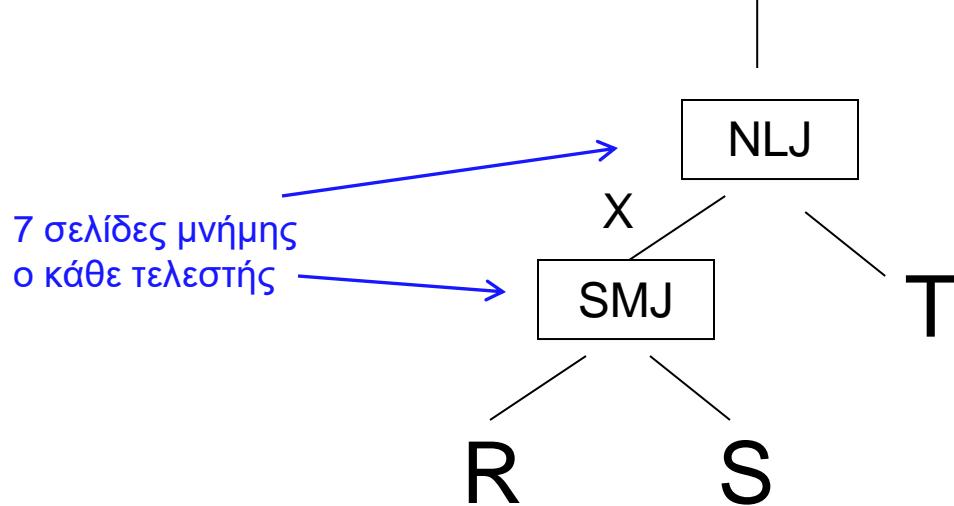
$$\begin{aligned} B(X) &> 7, B(T) > 7, \text{ και} \\ B(X) + B(T) &= 35 < 7^2 \end{aligned}$$

(ισοδύναμα το X θα δημιουργήσει 3 sublists (7+7+5) και το T άλλα 3 (7+7+2), δηλαδή 6 συνολικά τα οποία μπορούν να γίνουν merge μαζί στο δεύτερο βήμα)

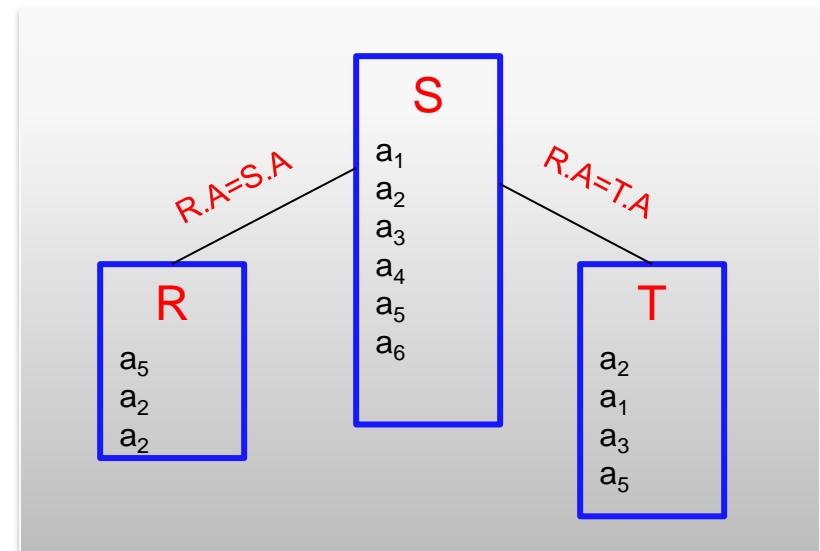
$$B(R)=10, B(S)=20, B(T)=16, B(X)=19$$

# Physical Plan P3

$$\text{Cost}(P3) = \text{Cost}(X) + \lceil B(X)/(M'-1) \rceil * B(T)$$



$$B(R)=10, B(S)=20, B(T)=16, B(X)=19$$



$$\text{Cost}(X) = 3 * (B(R) + B(S)) = 3 * 30 = 90$$

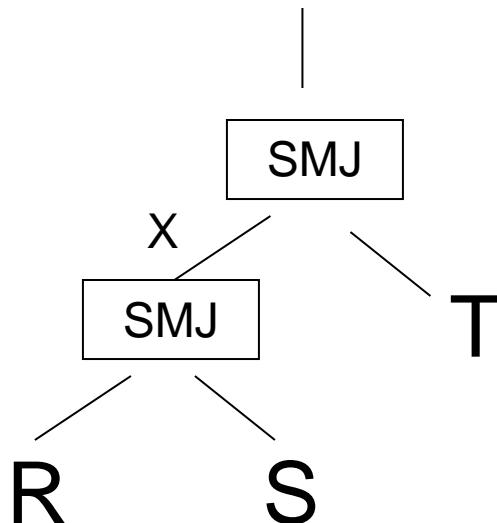
- Αποδοτική έκδοση SMJ διότι  $B(R)=10 > 7$ ,  $B(S)=20 > 7$  και  $10+20 < 7^2$
- Ισοδύναμα το R θα δημιουργήσει 2 sublists ( $7+3$ ) και το S 3 ( $7+7+6$ ), δηλαδή 6 συνολικά τα οποία μπορούν αν γίνουν merge μαζί στο δεύτερο βήμα  $M'=7$

Επομένως:

$$\begin{aligned}
 \text{Cost}(P3) &= \text{Cost}(X) + \lceil B(X)/(M'-1) \rceil * B(T) \\
 &= 90 + \lceil 19/6 \rceil * 16 \\
 &= 90 + 4 * 16 = 154 \text{ I/Os}
 \end{aligned}$$

# Physical Plan P4

**Cost(P3)=Cost(X)+3\*B(T) ΠΡΟΣΟΧΗ!**



$\text{Cost}(X)=3*(10+20)=90$   
(βλ. προηγούμενη διαφάνεια)

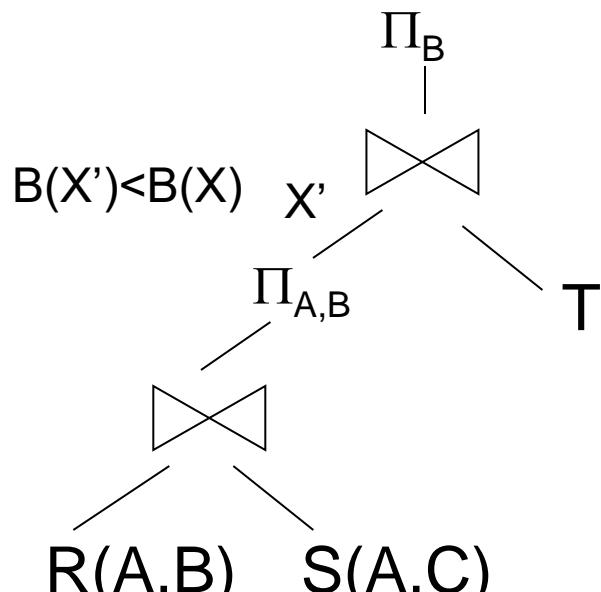
Επομένως:  
 $\text{Cost}(P3)=90+3*16=138 \text{ I/Os}$   
διότι  $7 < B(T)=16 < 7^2$   
(η T θα ταξινομηθεί τμηματικά σε 3  
sublists  $(7+7+2)$  θα οποία θα γίνουν  
merge-join με την ήδη ταξινομημένη X)

$$B(R)=10, B(S)=20, B(T)=16, B(X)=19$$

# There are more plans...

- Plans that use indexes (NLJ)
- Change the order of the joins!!!
  - S JOIN R JOIN T
  - T JOIN R JOIN S
  - ...

# Καλύτερο λογικό πλάνο;



select R.B  
from R,S,T  
where R.A=S.A and S.A=T.A

# Query Optimization Problem

Pick the best plan from the space of physical plans

# Cost-Based Optimization

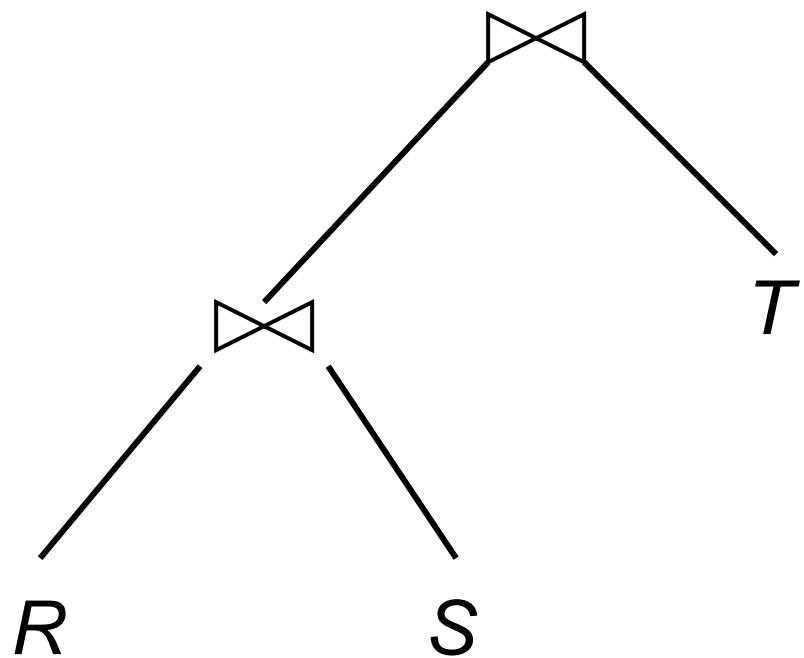
- Prune the space of plans using heuristics
- Estimate cost for remaining plans
- Pick the plan with least cost

Focus on queries with joins

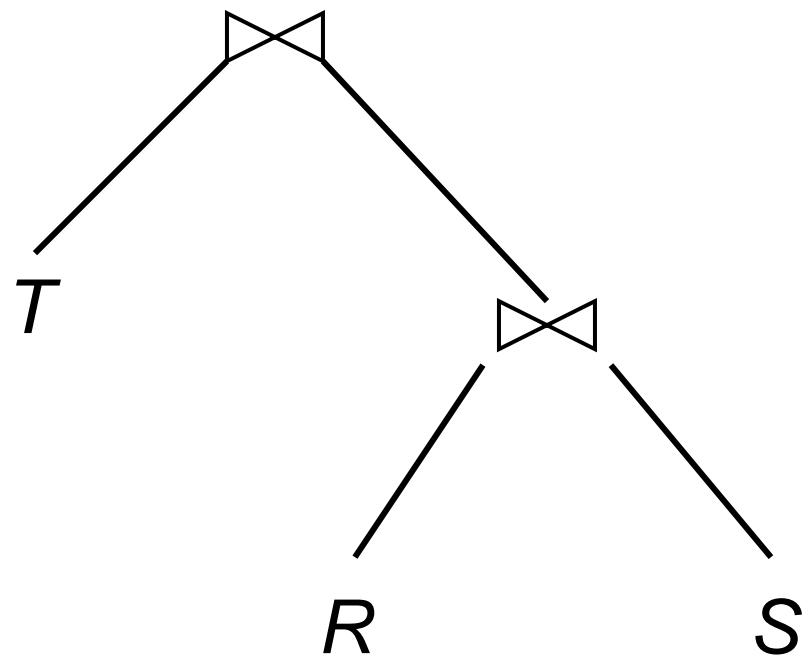
# Heuristics for pruning plan space

- Predicates as early as possible
- Avoid plans with cross products
- Only **left-deep** join trees

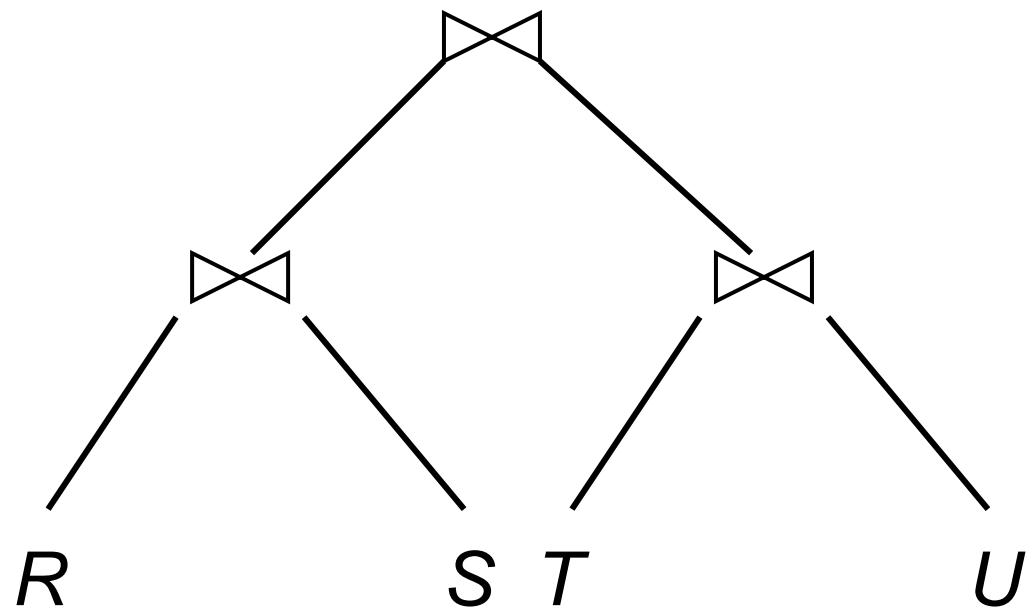
# Left-deep Tree



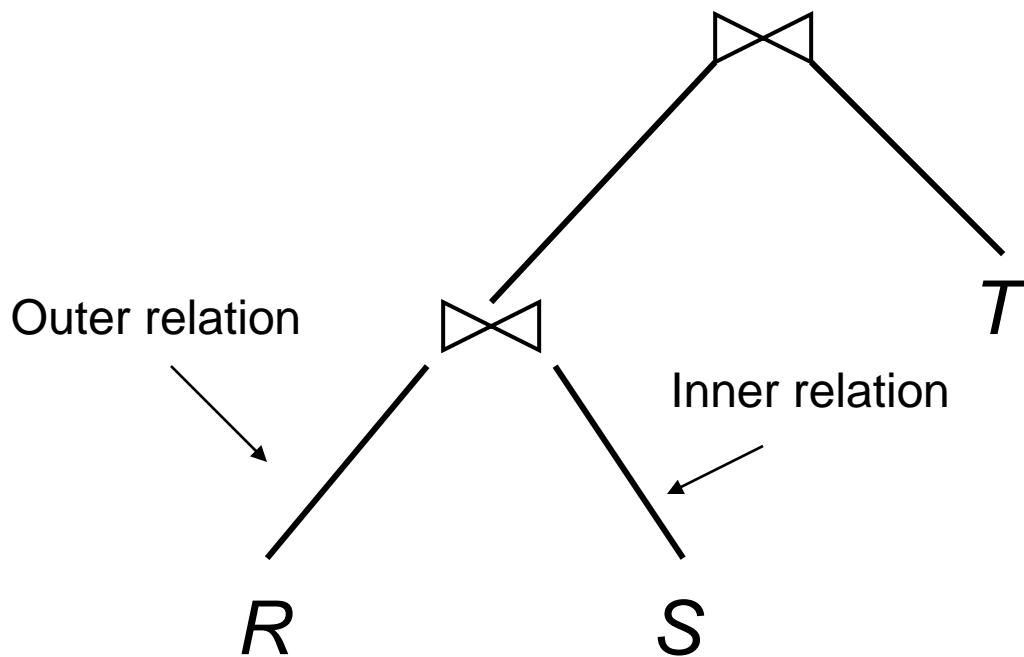
# Right-deep Tree



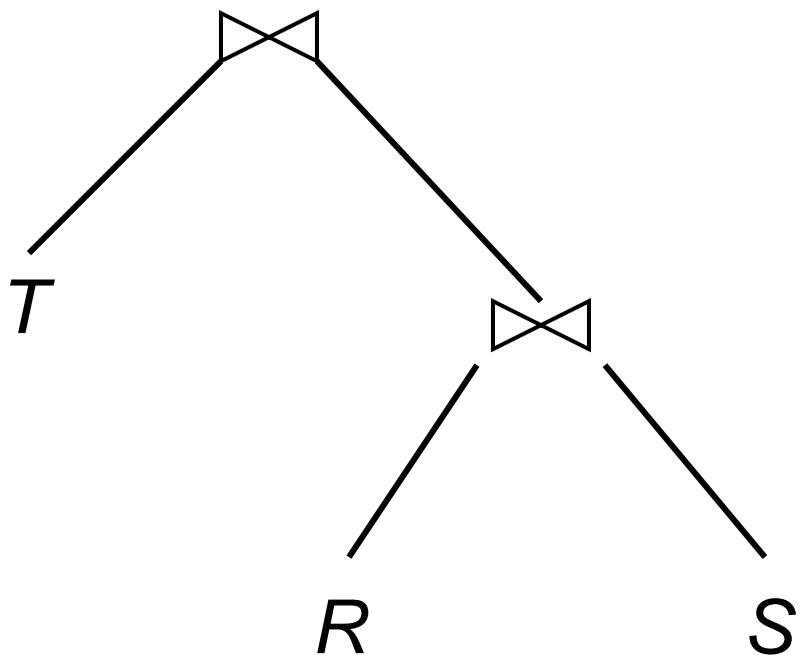
# Bushy Tree



# Consider left-deep tree & our join algorithms



# And now?



# Selinger Algorithm

- *Dynamic Programming* based
  - General algorithmic paradigm
  - Exploits “principle of optimality”

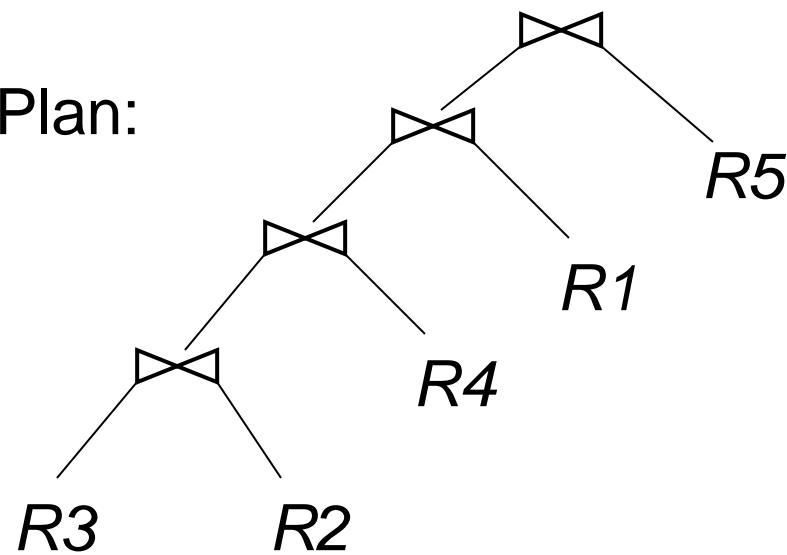
# Principle of Optimality

Optimal for “whole” made up from  
optimal for “parts”

# Principle of Optimality

Query:  $R1 \bowtie R2 \bowtie R3 \bowtie R4 \bowtie R5$

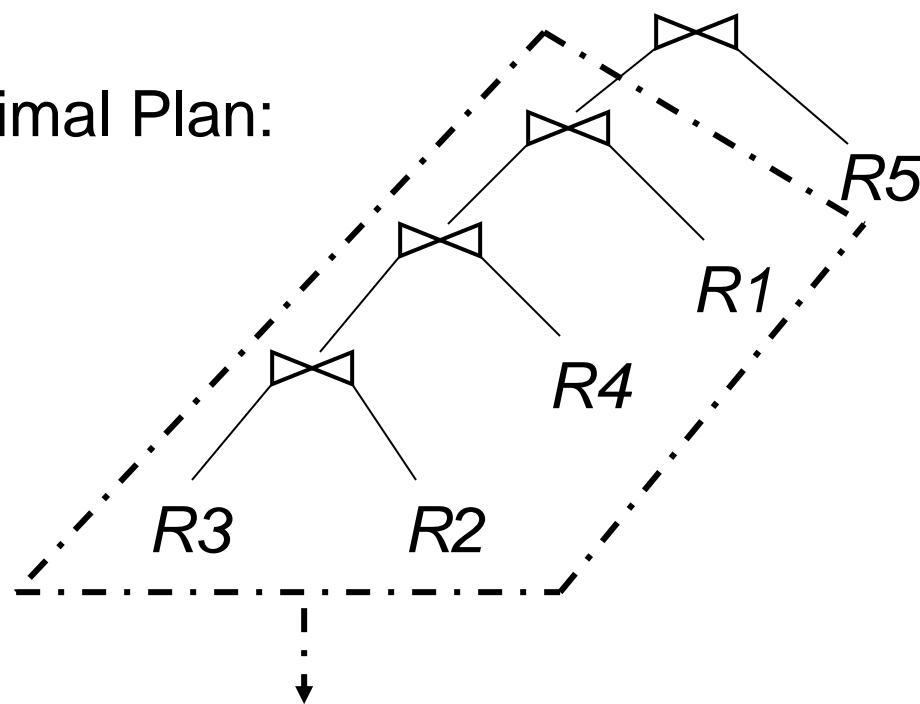
Optimal Plan:



# Principle of Optimality

Query:  $R1 \bowtie R2 \bowtie R3 \bowtie R4 \bowtie R5$

Optimal Plan:

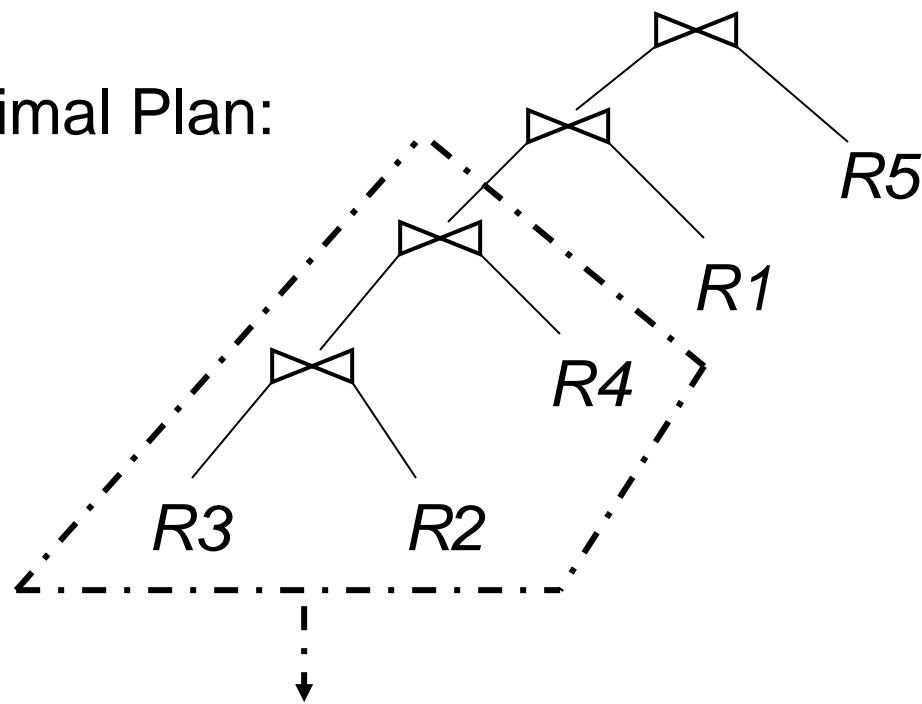


Optimal plan for joining  $R3, R2, R4, R1$

# Principle of Optimality

Query:  $R1 \bowtie R2 \bowtie R3 \bowtie R4 \bowtie R5$

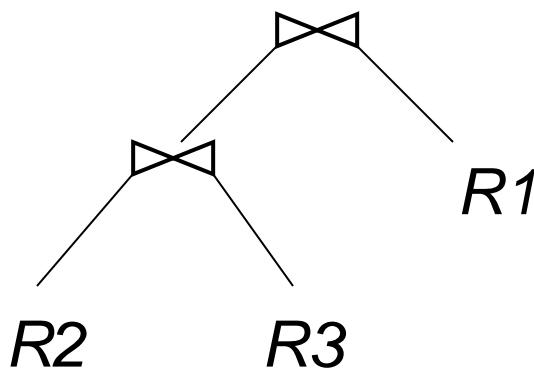
Optimal Plan:



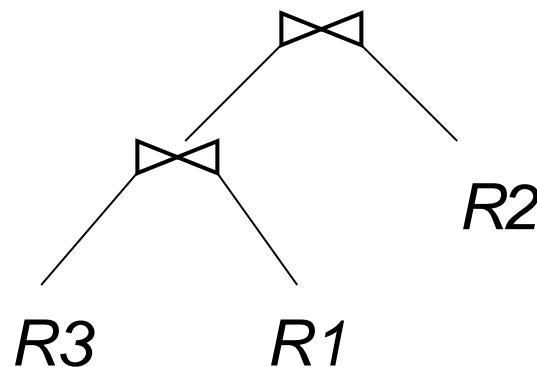
Optimal plan for joining  $R3, R2, R4$

# Exploiting Principle of Optimality

Query:  $R1 \bowtie R2 \bowtie \dots \bowtie Rn$

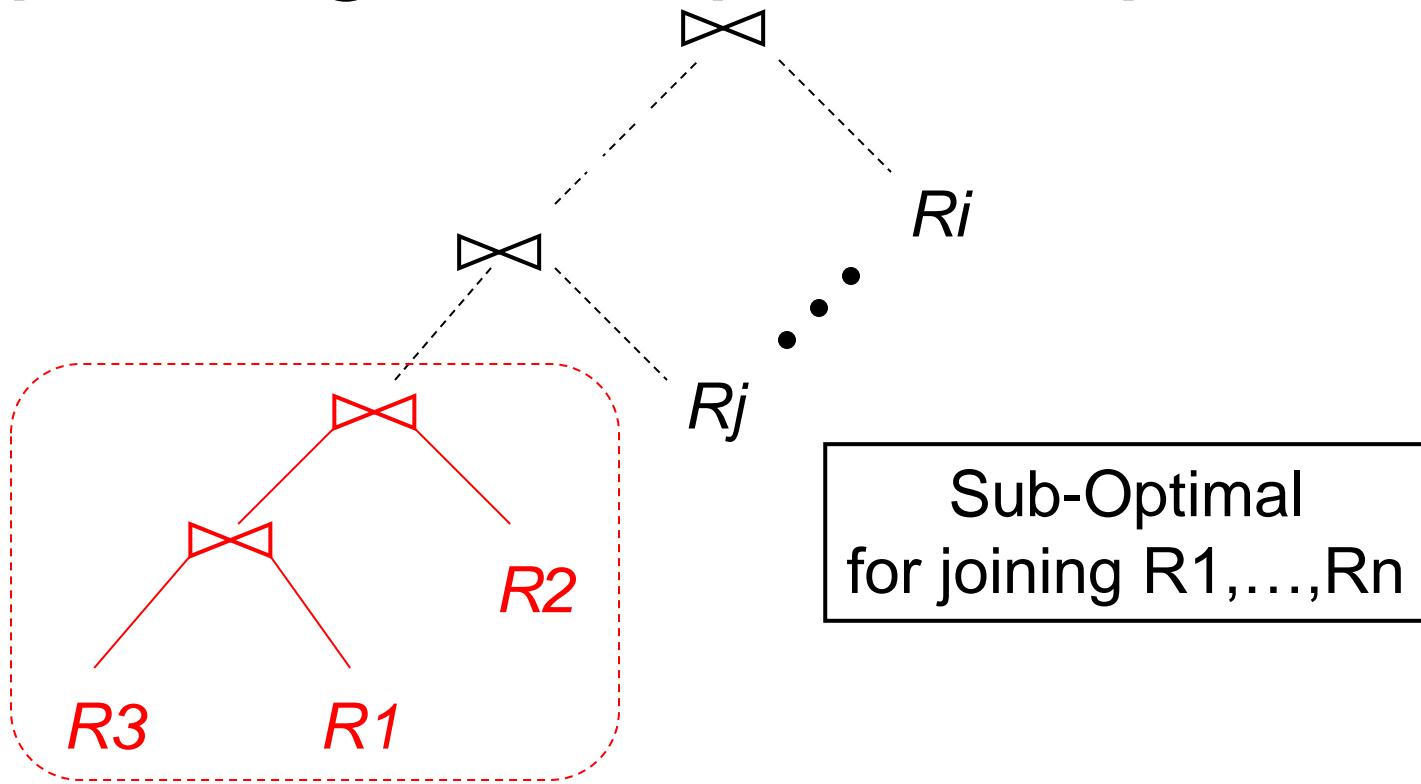


Optimal  
for joining  $R1, R2, R3$



Sub-Optimal  
for joining  $R1, R2, R3$

# Exploiting Principle of Optimality



Remove  $(n-3)!$  plans from consideration

# Notation

$\text{OPT}(\{R1, R2, R3\})$ :

Cost of optimal plan to join  $R1, R2, R3$

$\text{COST}(\{X, Y\})$ =Cost of joining X and Y

# Selinger Algorithm:

$\text{OPT}(\{R_1, R_2, R_3\})$ :

$$(R_1 \bowtie R_2) \bowtie R_3$$

$$\text{Min} \left\{ \begin{array}{l} \text{OPT}(\{R_1, R_2\}) + \text{COST}(\{\{R_1, R_2\}, R_3\}) \\ \text{OPT}(\{R_1, R_3\}) + \text{COST}(\{\{R_1, R_3\}, R_2\}) \\ \text{OPT}(\{R_2, R_3\}) + \text{COST}(\{\{R_2, R_3\}, R_1\}) \end{array} \right.$$

# Selinger Algorithm:

$\text{OPT}(\{R_1, R_2, R_3\})$ :

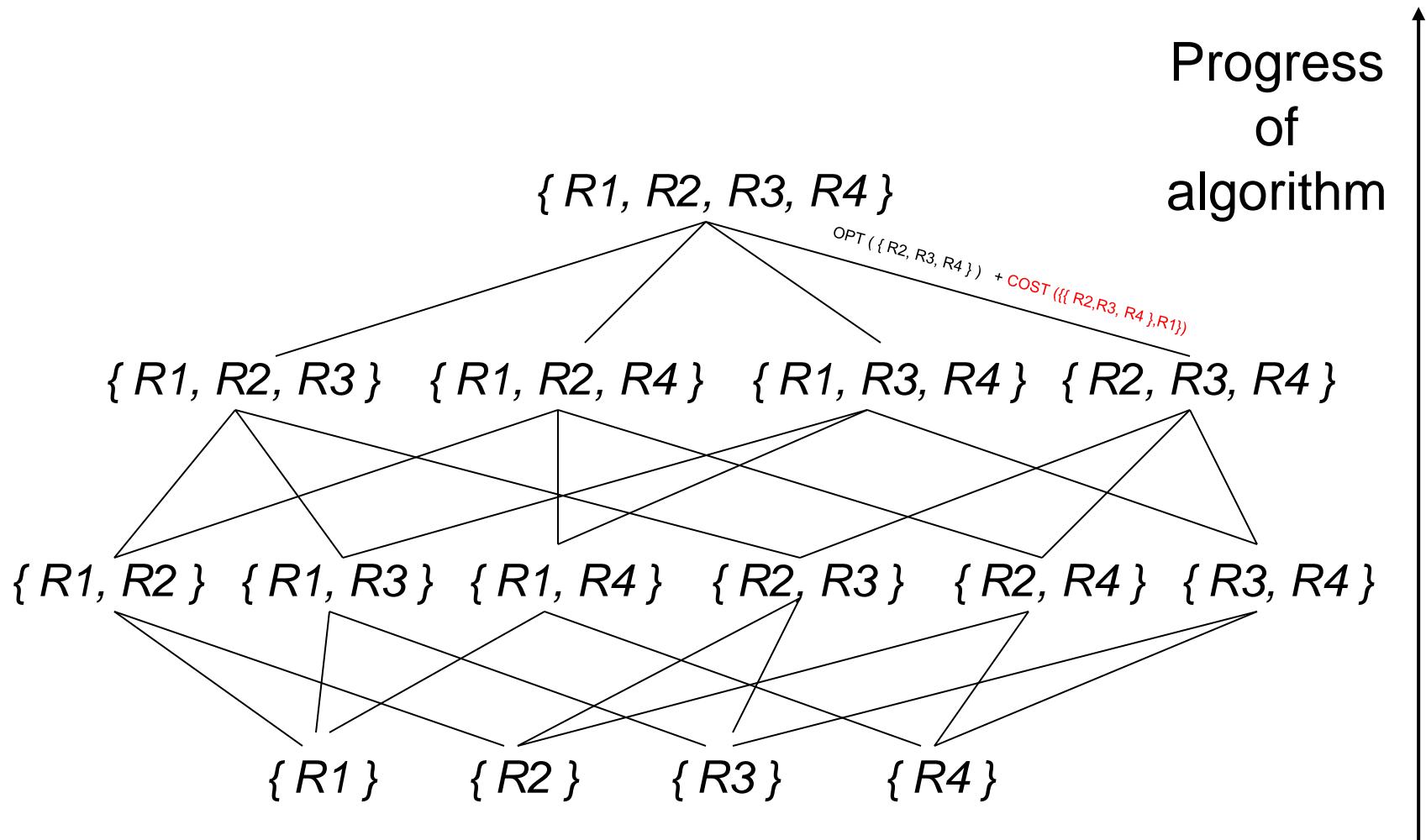
$$(R_1 \bowtie R_2) \bowtie R_3$$

$$\text{Min} \left\{ \begin{array}{l} \text{OPT}(\{R_1, R_2\}) + \text{COST}(\{\{R_1, R_2\}, R_3\}) \\ \text{OPT}(\{R_1, R_3\}) + \text{COST}(\{\{R_1, R_3\}, R_2\}) \\ \text{OPT}(\{R_2, R_3\}) + \text{COST}(\{\{R_2, R_3\}, R_1\}) \end{array} \right.$$

Αναδρομικός υπολογισμός      Υπολογίζεται αναλυτικά

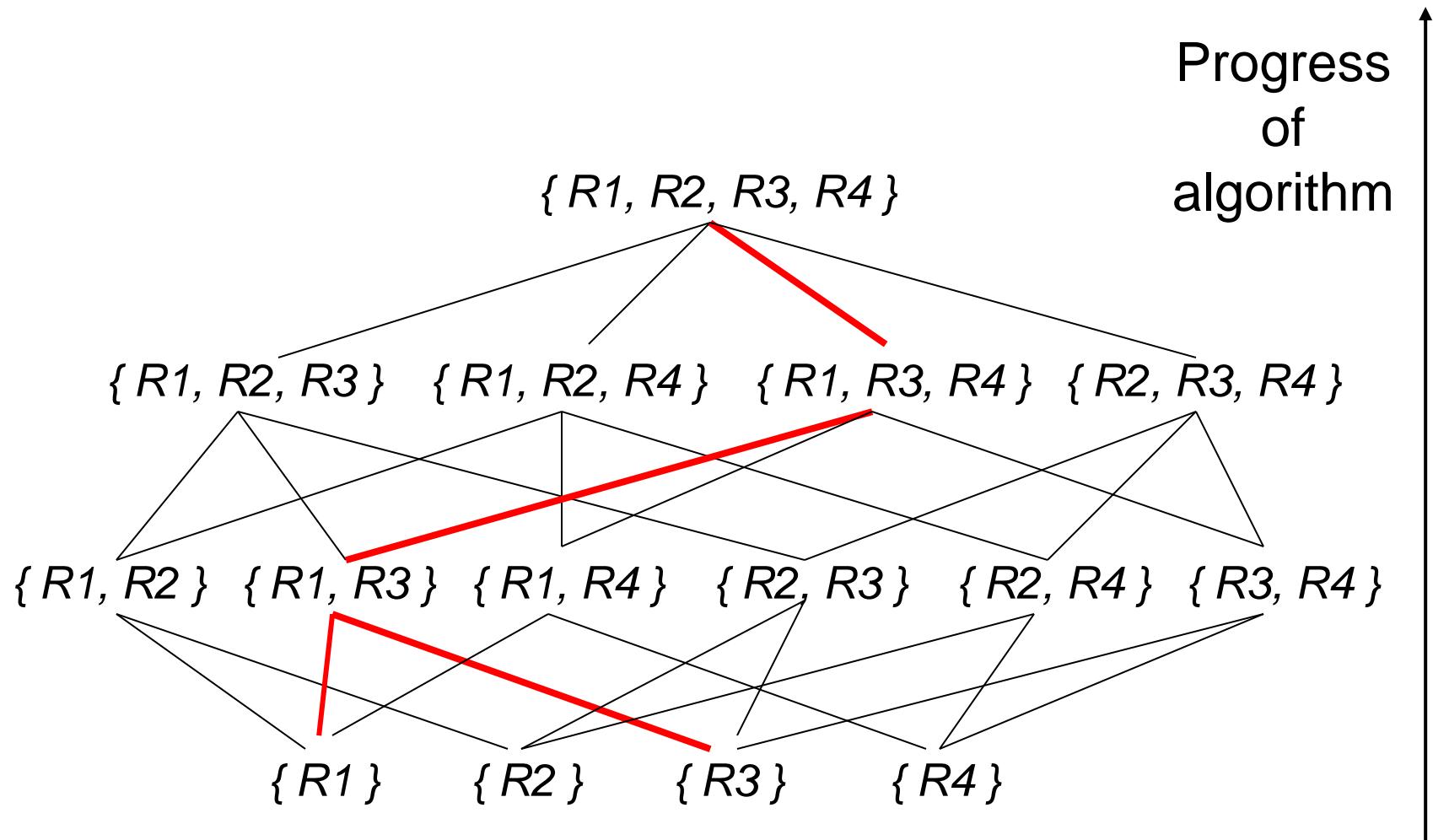
# Selinger Algorithm:

Query:  $R1 \bowtie R2 \bowtie R3 \bowtie R4$



# Selinger Algorithm:

Query:  $R_1 \bowtie R_2 \bowtie R_3 \bowtie R_4$

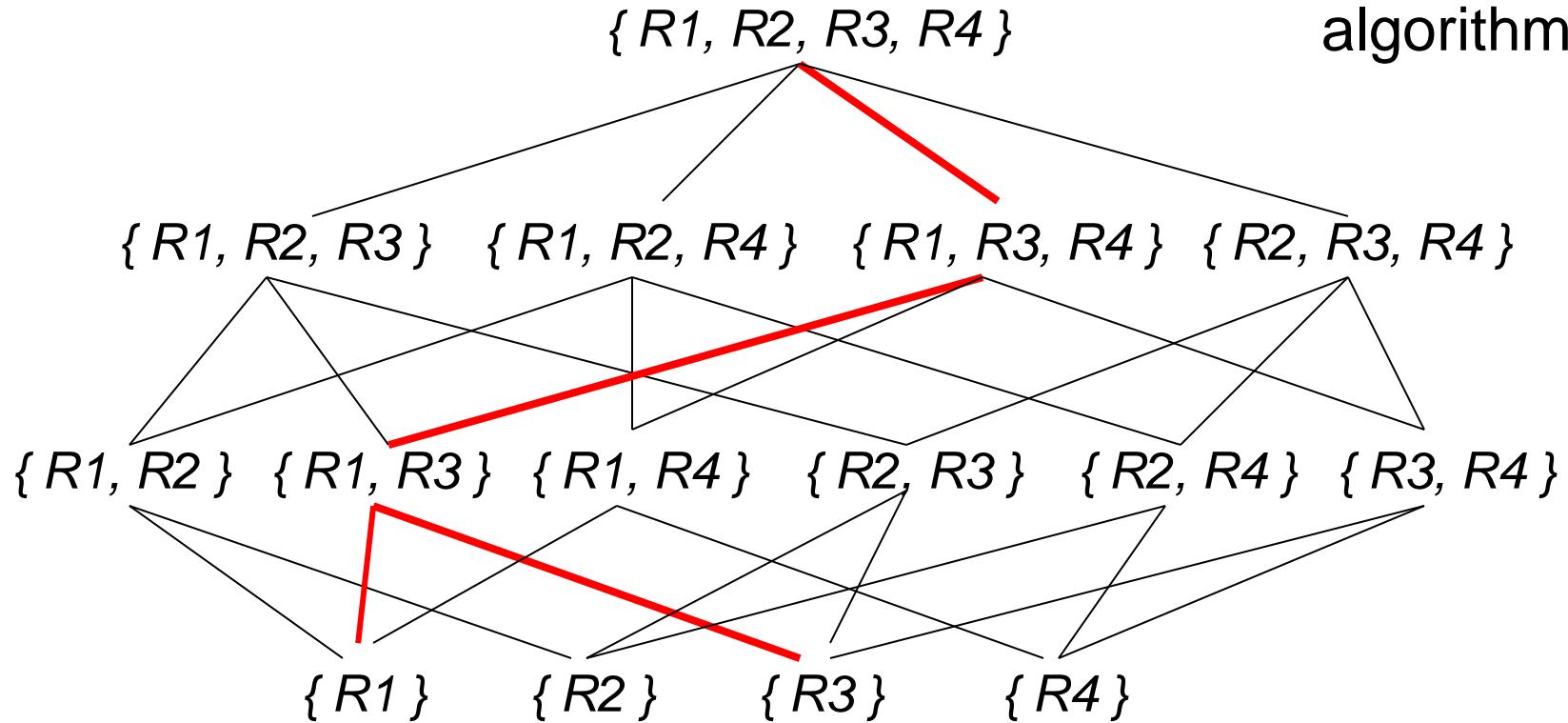


# Selinger Algorithm:

Query:  $R1 \bowtie R2 \bowtie R3 \bowtie R4$

*Optimal Plan:  $((R1 \bowtie R3) \bowtie R4) \bowtie R2$*

Progress  
of  
algorithm

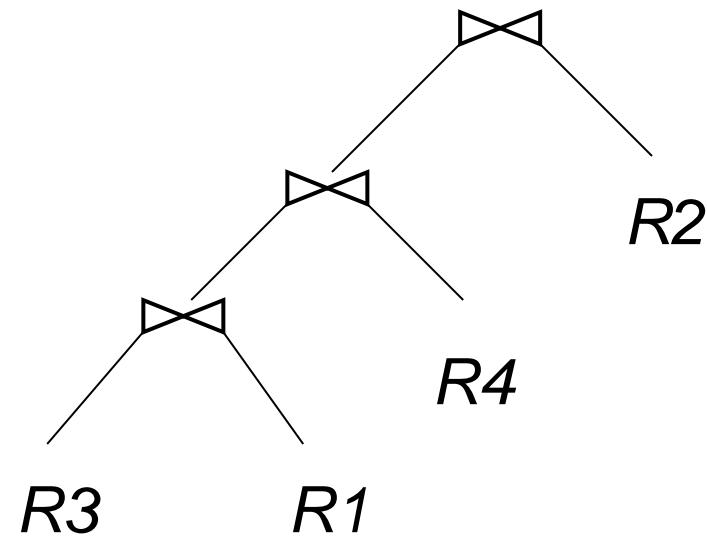


# Selinger Algorithm:

Query:  $R1 \bowtie R2 \bowtie R3 \bowtie R4$

---

Optimal plan:

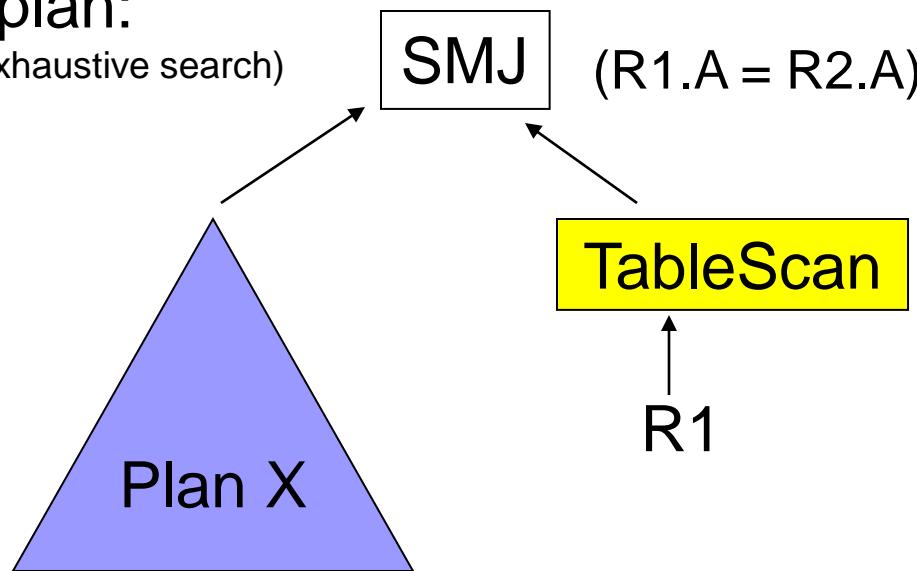


# Principle of Optimality?

Query:  $R1 \bowtie R2 \bowtie R3 \bowtie R4 \bowtie R5$

Optimal plan:

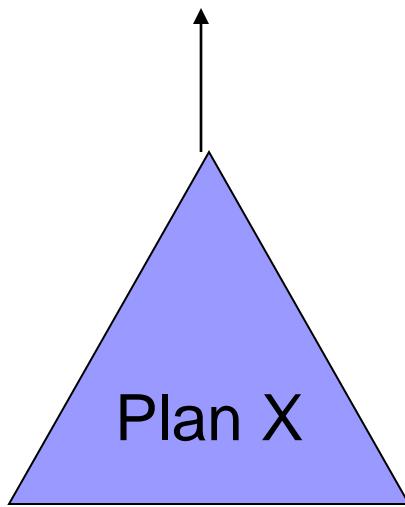
(found using exhaustive search)



Is Plan X the optimal plan for joining R2,R3,R4,R5?

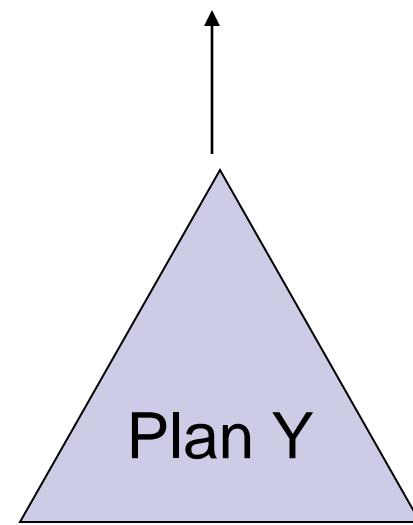
# Violation of Principle of Optimality

(sorted on R2.A)



Suboptimal plan for joining  
R2,R3,R4,R5

(unsorted on R2.A)



Optimal plan for joining  
R2,R3,R4,R5

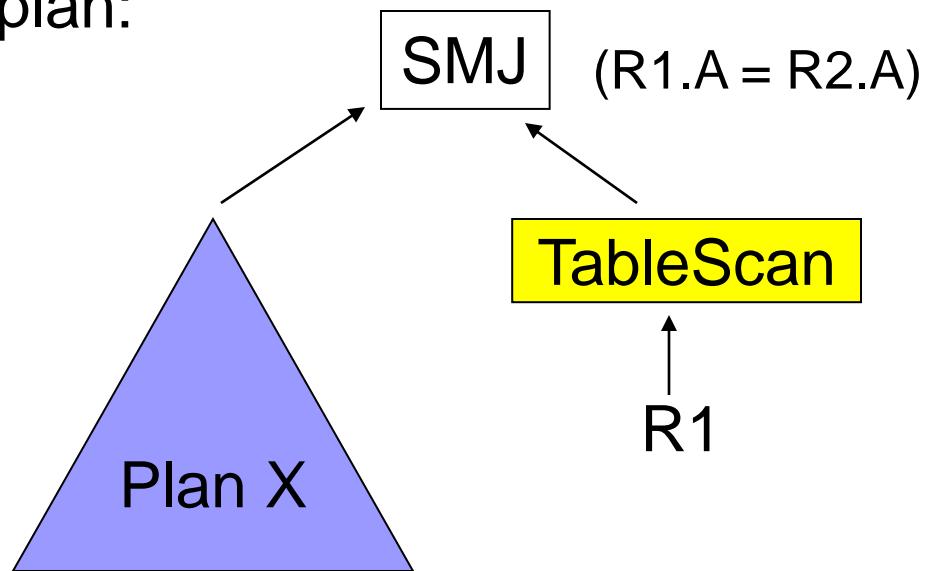
# Consider

- $\text{Cost}(X) = 100$
- $B(R1)=100$
- $\text{Cost}(Y) = B(Y) = 90 < \text{Cost}(X)$

# Case 1: Use Plan X

Query:  $R1 \bowtie R2 \bowtie R3 \bowtie R4 \bowtie R5$

Optimal plan:



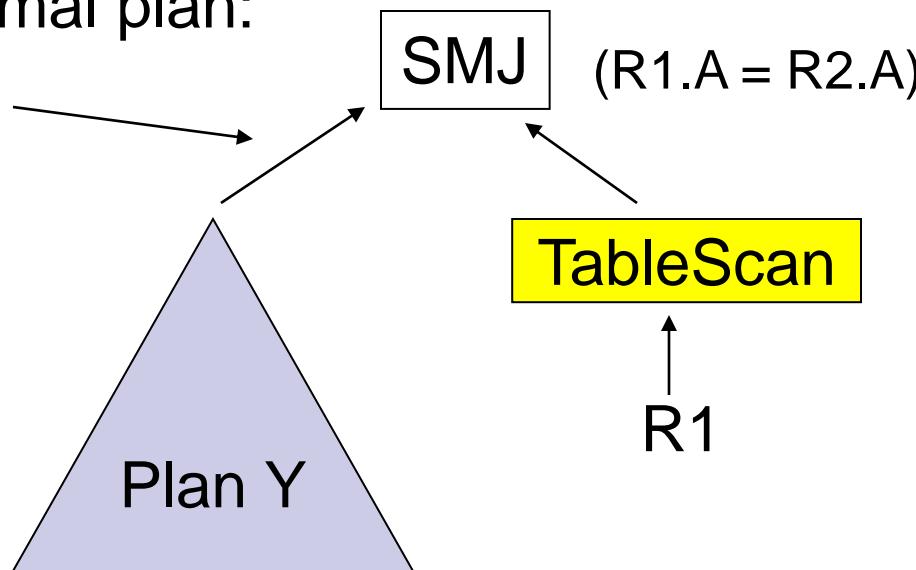
Total Cost = Cost(X)+Cost(R1)+2\*B(R1)=100+100+200=400

# Case 2: Use optimal plan Y

Query:  $R1 \bowtie R2 \bowtie R3 \bowtie R4 \bowtie R5$

Sub-optimal plan:

unsorted

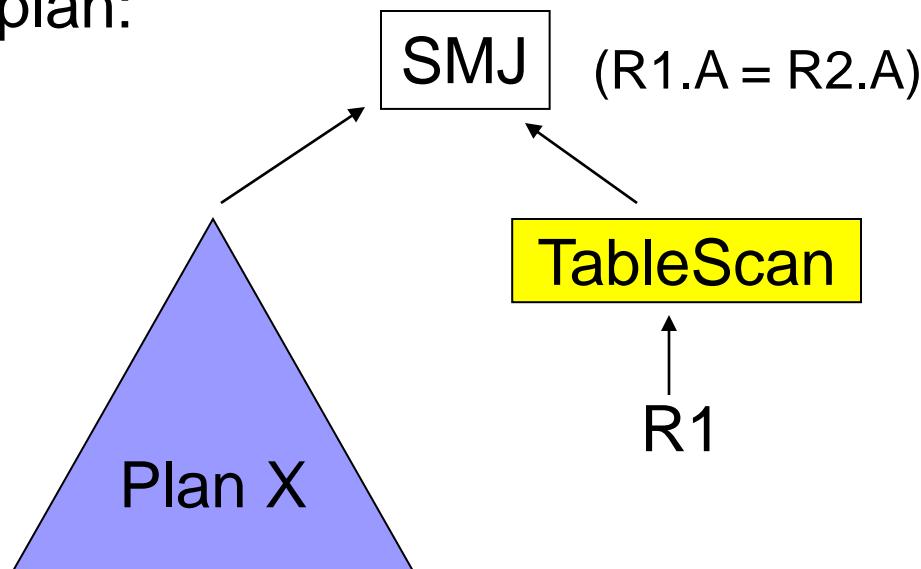


$$\begin{aligned}\text{Total Cost} &= \text{Cost}(Y) + \text{Cost}(R1) + 2 * (\mathbf{B}(Y) + \mathbf{B}(R1)) = \\ &= 90 + 100 + 2 * 190 = 570\end{aligned}$$

# Principle of Optimality?

Query:  $R1 \bowtie R2 \bowtie R3 \bowtie R4 \bowtie R5$

Optimal plan:



Can we assert anything about plan X?

# Weaker Principle of Optimality

If plan X produces output sorted on R2.A then  
plan X is the **optimal plan** for joining R2,R3,R4,R5  
that produces output sorted on R2.A

If plan X produces output unsorted on R2.A then  
plan X is the **optimal plan** for joining R2, R3, R4, R5

# Note

- This is a problem of us not stating the Dynamic Programming problem correctly
  - Answer depends of **how** individual parts have been solved
- Solution?
  - Make sorted-ness part of the “state”

# Interesting Order

- An attribute is an **interesting order** if:
  - Participates in a join predicate
  - Occurs in the Group By clause
  - Occurs in the Order By clause

# Interesting Order: Example

Select \*

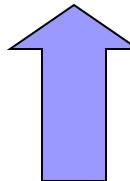
From R1(A,B), R2(A,B), R3(B,C)

Where R1.A = R2.A and R2.B = R3.B

Interesting Orders: R1.A, R2.A, R2.B, R3.B

# Modified Selinger Algorithm

$\{R_1, R_2, R_3\}$



$\{R_1, R_2\}$

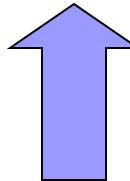
$\{R_1, R_2\}(A)$

$\{R_1, R_2\}(B)$

$\{R_2, R_3\}$

$\{R_2, R_3\}(A)$

$\{R_2, R_3\}(B)$



$\{R_1\}$

$\{R_1\}(A)$

$\{R_2\}$

$\{R_2\}(A)$

$\{R_2\}(B)$

$\{R_3\}$

$\{R_3\}(B)$

# Notation

$\{R1, R2\} (C)$

Optimal way of joining  $R1$ ,  $R2$  so that output is sorted on attribute  $R2.C$

# Modified Selinger Algorithm

```
Select  *
From  R1(A,B), R2(A,B), R3(B,C)
Where R1.A = R2.A and R2.B = R3.B
```

