

Αλληλεπίδραση Ανθρώπου–Υπολογιστή

*B9. Επεξεργασία φυσικής γλώσσας με
Transformers και μεγάλα γλωσσικά μοντέλα*

(2023-24)

Ίων Ανδρουτσόπουλος

<http://www.aueb.gr/users/ion/>

Contents

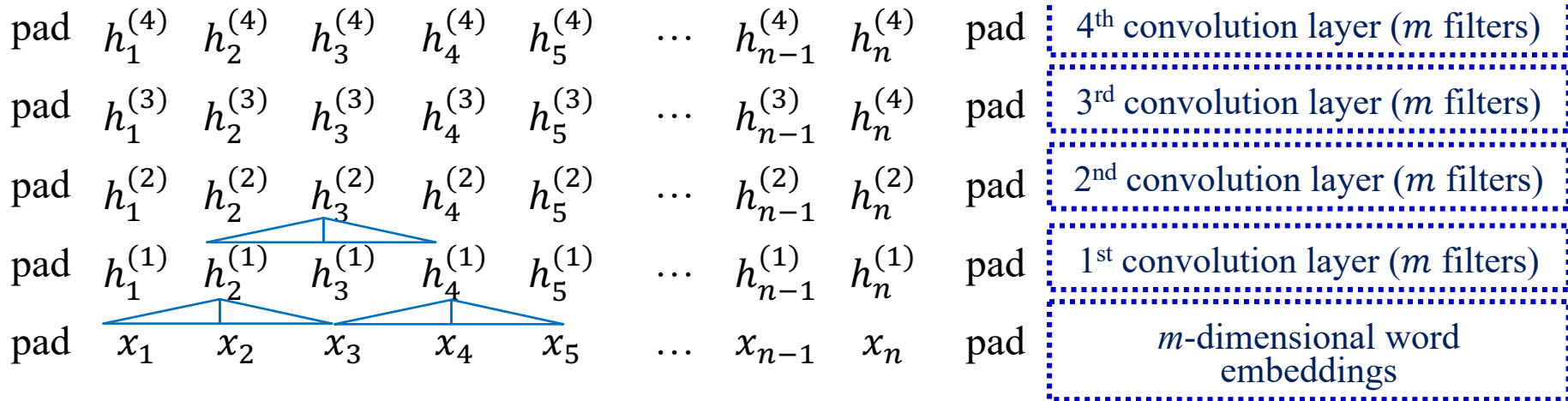
- Transformer encoders and decoders.
- Pre-trained Transformers and Large Language Models (LLMs), BERT, GPT-3, Chat-GPT, fine-tuning them, prompting them.
- Retrieval augmented generation (RAG), LLMs with tools.

Reminder: stacked CNNs for classification/regression

$$h^{max} = \left\langle \max(h_{*,1}^{(4)}), \max(h_{*,2}^{(4)}), \dots, \max(h_{*,m}^{(4)}) \right\rangle^T \in \mathbb{R}^{1 \times m}$$

↑ global max pooling

Feature vector sent to a document classifier or regressor (e.g., MLP).

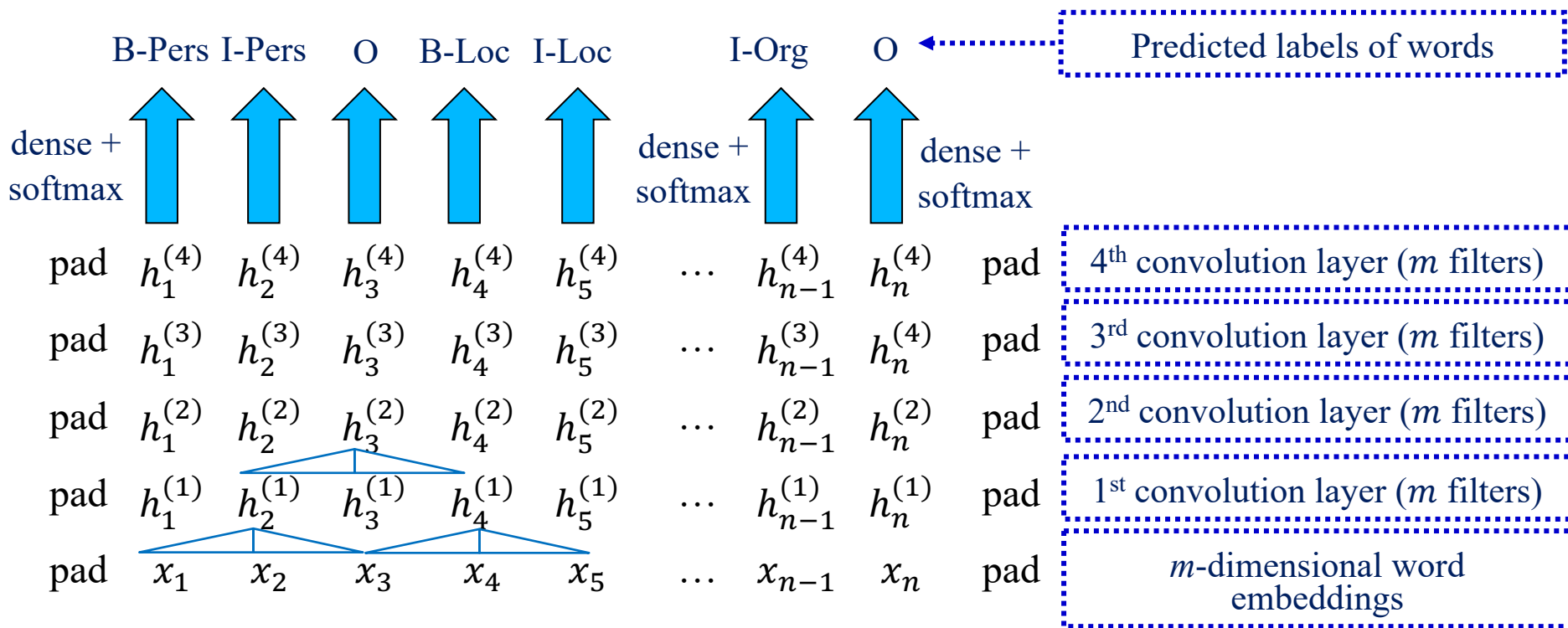


$$h_i^{(1)} = \text{ReLU}(W^{(1)} [x_{i-1}; x_i; x_{i+1}] + b^{(1)}) + x_i \in \mathbb{R}^{m \times 1}$$

$$h_i^{(j)} = \text{ReLU}(W^{(j)} [h_{i-1}^{(j-1)}; h_i^{(j-1)}; h_{i+1}^{(j-1)}] + b^{(j)}) + h_i^{(j-1)} \in \mathbb{R}^{m \times 1}$$

Residual (shortcut) connection, needed when stacking many CNNs (or RNNs).

Reminder: stacked CNNs for token classification



$$h_i^{(1)} = \text{ReLU}(W^{(1)}[x_{i-1}; x_i; x_{i+1}] + b^{(1)}) + x_i \in \mathbb{R}^{m \times 1}$$

$$h_i^{(j)} = \text{ReLU}(W^{(j)}[h_{i-1}^{(j-1)}; h_i^{(j-1)}; h_{i+1}^{(j-1)}] + b^{(j)}) + h_i^{(j-1)} \in \mathbb{R}^{m \times 1}$$

Transformers for token classification

$$\begin{array}{cccccccc} h_1^{(4)} & h_2^{(4)} & h_3^{(4)} & h_4^{(4)} & h_5^{(4)} & \dots & h_{n-1}^{(4)} & h_n^{(4)} \\ h_1^{(3)} & h_2^{(3)} & h_3^{(3)} & h_4^{(3)} & h_5^{(3)} & \dots & h_{n-1}^{(3)} & h_n^{(3)} \\ h_1^{(2)} & h_2^{(2)} & h_3^{(2)} & h_4^{(2)} & h_5^{(2)} & \dots & h_{n-1}^{(2)} & h_n^{(2)} \\ h_1^{(1)} & h_2^{(1)} & h_3^{(1)} & h_4^{(1)} & h_5^{(1)} & \dots & h_{n-1}^{(1)} & h_n^{(1)} \\ \hline x_1 & x_2 & x_3 & x_4 & x_5 & \dots & x_{n-1} & x_n \end{array}$$

*a*_{2,1} *a*_{2,2} *a*_{2,n}

Initial m -dimensional word embeddings

$$h_i^{(1)} = \text{MLP}^{(1)} \left(\sum_{r=1}^n a_{i,r}^{(1)} x_r \right) \in \mathbb{R}^m$$

To produce the **revised embedding for the i -th word** of a text, we **sum all the original embeddings** of the words of the text, but **weighted by attention scores**.

Transformers for token classification

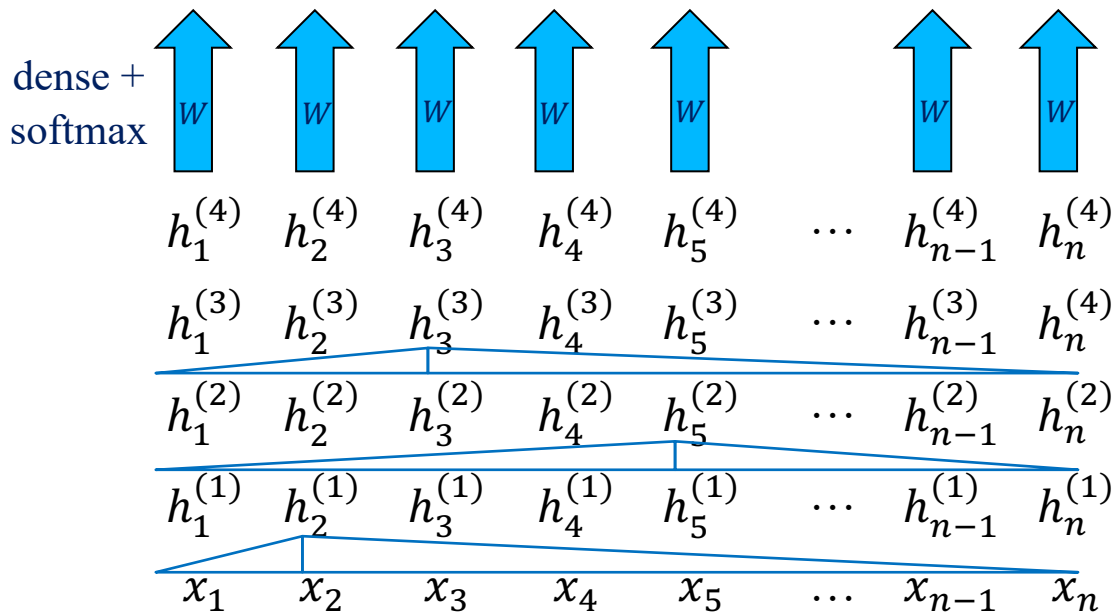
Person 0.75
 Location 0.05
 Organization 0.1
 Other 0.1

...

Person 0.05
 Location 0.05
 Organization 0.1
Other 0.8

...

Person 0.1
Location 0.8
 Organization 0.05
 Other 0.05



Predicted labels of words

Compare to the correct predictions and **adjust the weights** of the **entire neural net**, including the bottom word (token) embeddings, which are randomly initialized.

Initial m -dimensional word embeddings

To produce the **revised embedding** for the **i -th word** of a text, we **sum all the original embeddings** of the words of the text, but **weighted by attention scores**.

$$h_i^{(1)} = \text{MLP}^{(1)} \left(\sum_{r=1}^n a_{i,r}^{(1)} x_r \right) \in \mathbb{R}^m$$

$$h_i^{(j)} = \text{MLP}^{(j)} \left(\sum_{r=1}^n a_{i,r}^{(j)} h_r^{(j-1)} \right) \in \mathbb{R}^m$$

Transformers for text classification

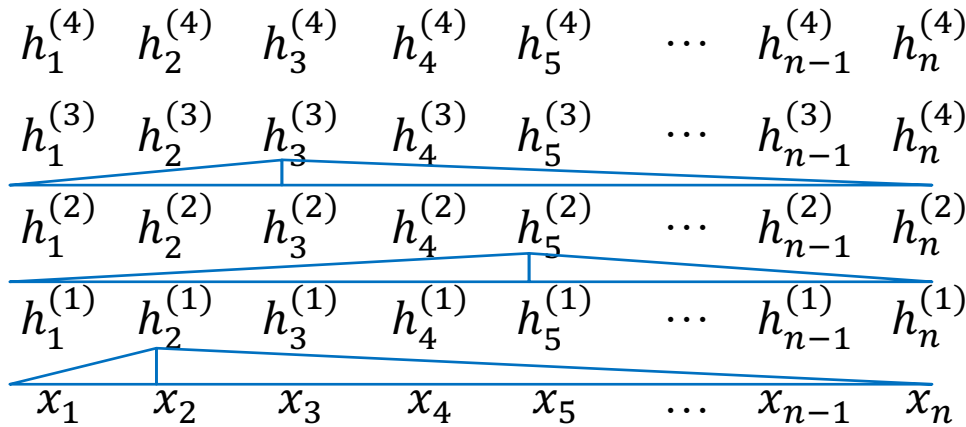
$$h^{max} = \left\langle \max(h_{*,1}^{(4)}), \max(h_{*,2}^{(4)}), \dots, \max(h_{*,m}^{(4)}) \right\rangle^T \in \mathbb{R}^m$$

↑ global max pooling
(max of each dimension)

Vector representing the entire text. We pass it through a dense layer and softmax (or MLP) to obtain a probability per class.

Compare to the correct predictions and adjust the weights of the entire net.

Initial m -dimensional word embeddings

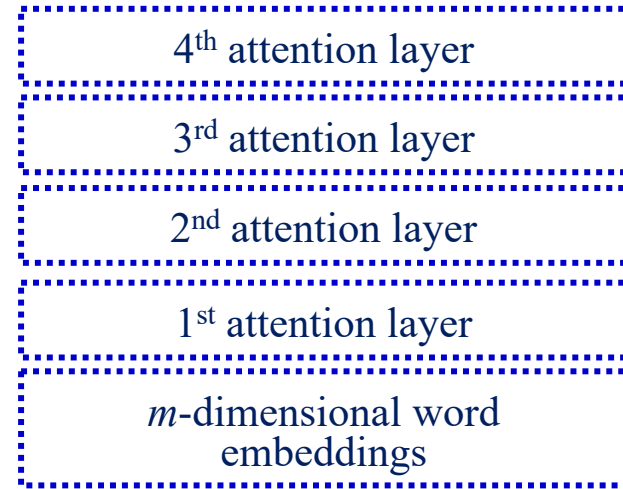
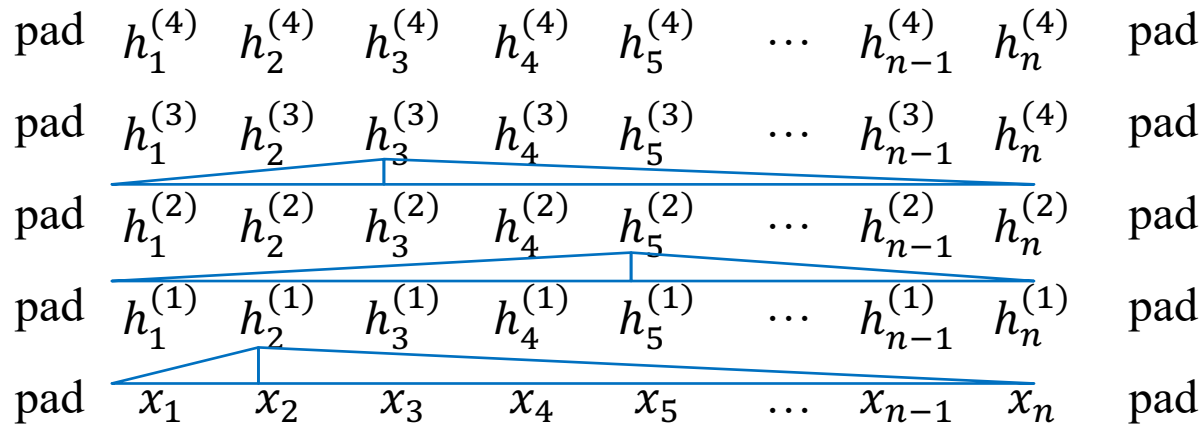


$$h_i^{(1)} = \text{MLP}^{(1)} \left(\sum_{r=1}^n a_{i,r}^{(1)} x_r \right) \in \mathbb{R}^m$$

$$h_i^{(j)} = \text{MLP}^{(j)} \left(\sum_{r=1}^n a_{i,r}^{(j)} h_r^{(j-1)} \right) \in \mathbb{R}^m$$

Without the MLP (or at least a dense layer), each dimension of $h_i^{(j)}$ would only depend on the corresponding dimensions of the $h_r^{(j-1)}$ vectors.

Query-Key-Value self-attention



$$h_i^{(1)} = \text{MLP}^{(1)} \left(\sum_{r=1}^n a_{i,r}^{(1)} v_r^{(1)} \right) =$$

$$= \text{MLP}^{(1)} \left(\sum_{r=1}^n \text{softmax} \left(q_i^{(1)T} k_r^{(1)} \right) v_r^{(1)} \right) \in \mathbb{R}^{m \times 1}$$

$$q_i^{(1)} = W^{Q,(1)} x_i$$

$$k_r^{(1)} = W^{K,(1)} x_r$$

$$v_r^{(1)} = W^{V,(1)} x_r$$

$$h_i^{(j)} = \text{MLP}^{(j)} \left(\sum_{r=1}^n a_{i,r}^{(j)} v_r^{(j)} \right) =$$

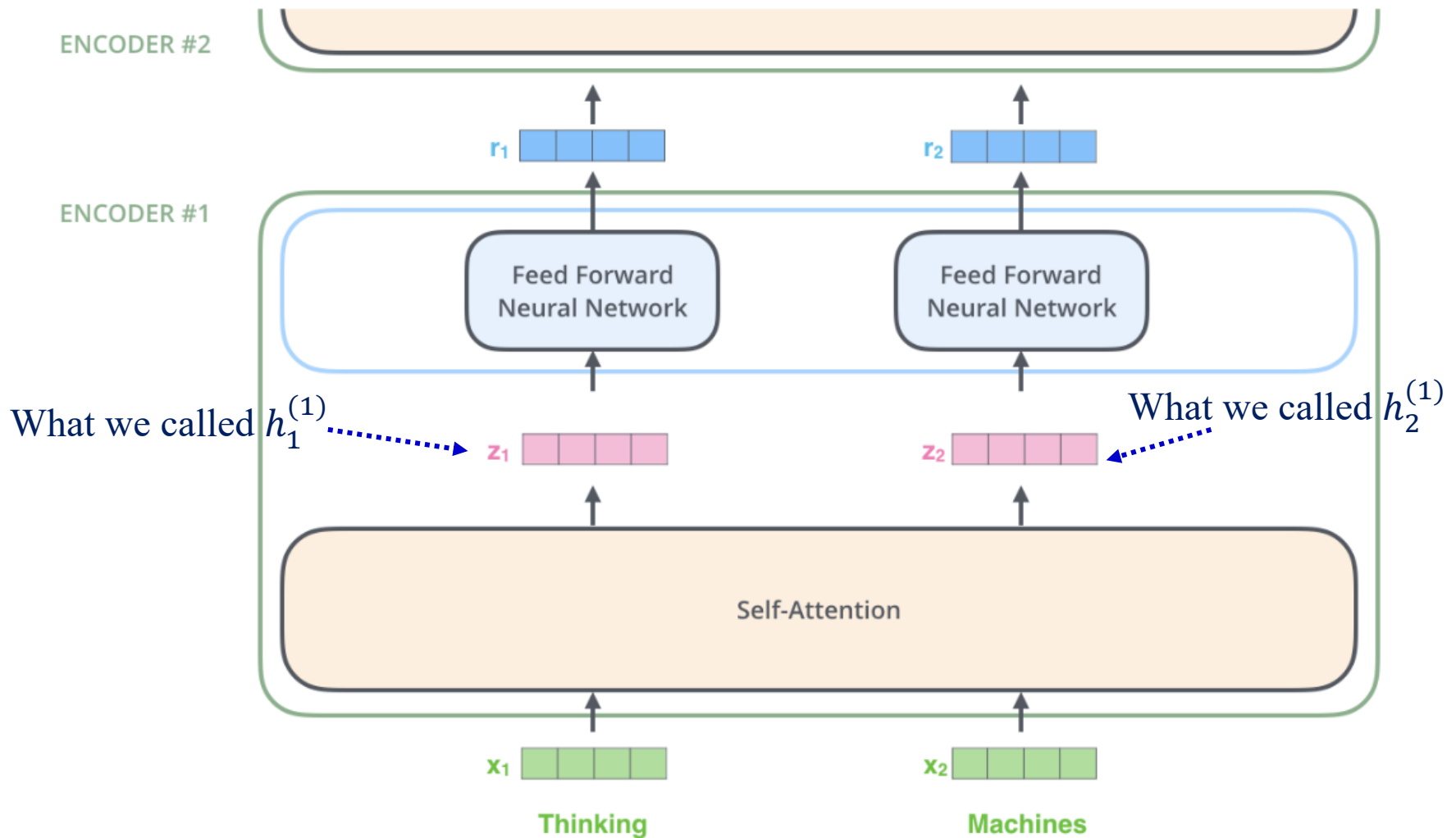
$$= \text{MLP}^{(j)} \left(\sum_{r=1}^n \text{softmax} \left(q_i^{(j)T} k_r^{(j)} \right) v_r^{(j)} \right) \in \mathbb{R}^{m \times 1}$$

$$q_i^{(j)} = W^{Q,(j)} h_i^{(j-1)}$$

$$k_r^{(j)} = W^{K,(j)} h_r^{(j-1)}$$

$$v_r^{(j)} = W^{V,(j)} h_r^{(j-1)}$$

Stacking Transformer Encoders



Figures from J. Alammari's "The Illustrated Transformer"
(<https://jalammar.github.io/illustrated-transformer/>). Transformers paper: Vaswani et al.,
"Attention is All You Need", 2017 (<https://arxiv.org/abs/1706.03762>).

Query-Key-Value attention via matrices

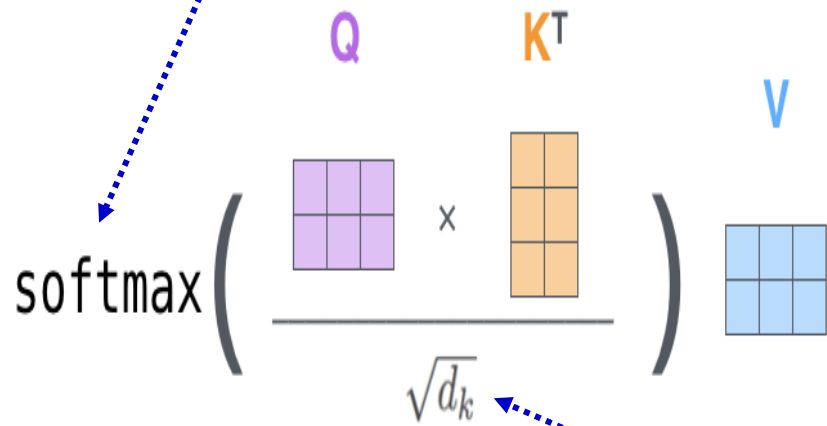
$$X \times W^Q = Q$$


Optional material

Dropout also applied to the attention scores (after the softmax).

$$X \times W^K = K$$


$$X \times W^V = V$$


$$\text{softmax} \left(\frac{Q \times K^T}{\sqrt{d_k}} \right) \times V$$


d_k is the dimensionality of the K and Q vectors.

Then $\text{MLP}(z_i) = h_i^{(1)}$

Figures from J. Alammam's "The Illustrated Transformer" (<https://jalammar.github.io/illustrated-transformer/>). Transformers paper: Vaswani et al., "Attention is All You Need", 2017 (<https://arxiv.org/abs/1706.03762>).

Multiple attention heads

Optional material

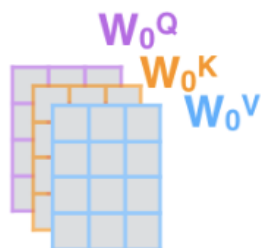
1) This is our input sentence*

Thinking
Machines

2) We embed each word*



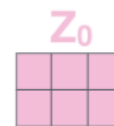
3) Split into 8 heads. We multiply X or R with weight matrices



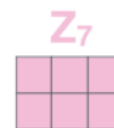
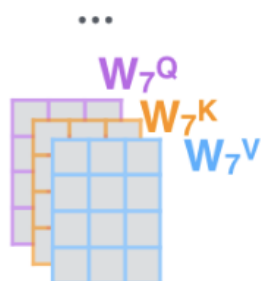
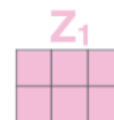
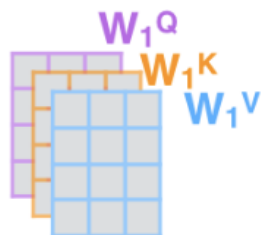
4) Calculate attention using the resulting $Q/K/V$ matrices



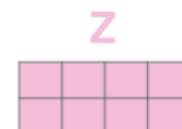
5) Concatenate the resulting Z matrices, then multiply with weight matrix W^O to produce the output of the layer



* In all encoders other than #0, we don't need embedding. We start directly with the output of the encoder right below this one

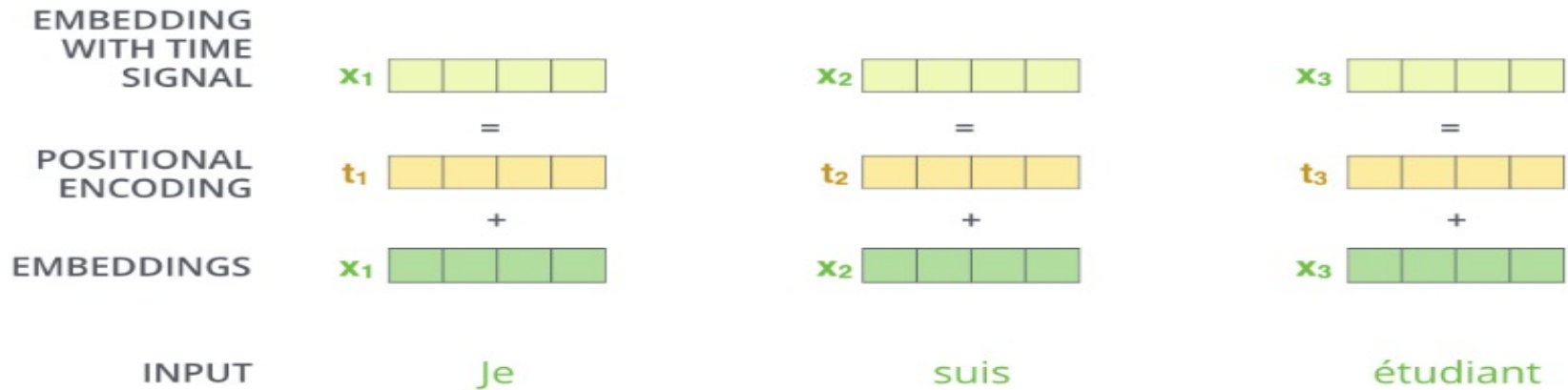


W^O useful even if the concatenated Z_0, \dots, Z_7 already have the right dimensions, to allow combinations of features from different heads.



Figures from J. Alammr's "The Illustrated Transformer"
(<https://jalammr.github.io/illustrated-transformer/>). Transformers paper: Vaswani et al.,
"Attention is All You Need", 2017 (<https://arxiv.org/abs/1706.03762>).

Positional encodings



Positional encodings needed to capture the **word order/positions**:

- **Without them**, Transformers are **unaware of word order**.
- **Sinusoid functions** used to produce them in the **original paper**.
- But can also be **position embeddings** learned during training.
 - Embedding of **position 1**, embedding of **position 2** etc.

Figures from J. Alammam's "The Illustrated Transformer"
(<https://jalammar.github.io/illustrated-transformer/>). Transformers paper: Vaswani et al.,
"Attention is All You Need", 2017 (<https://arxiv.org/abs/1706.03762>).

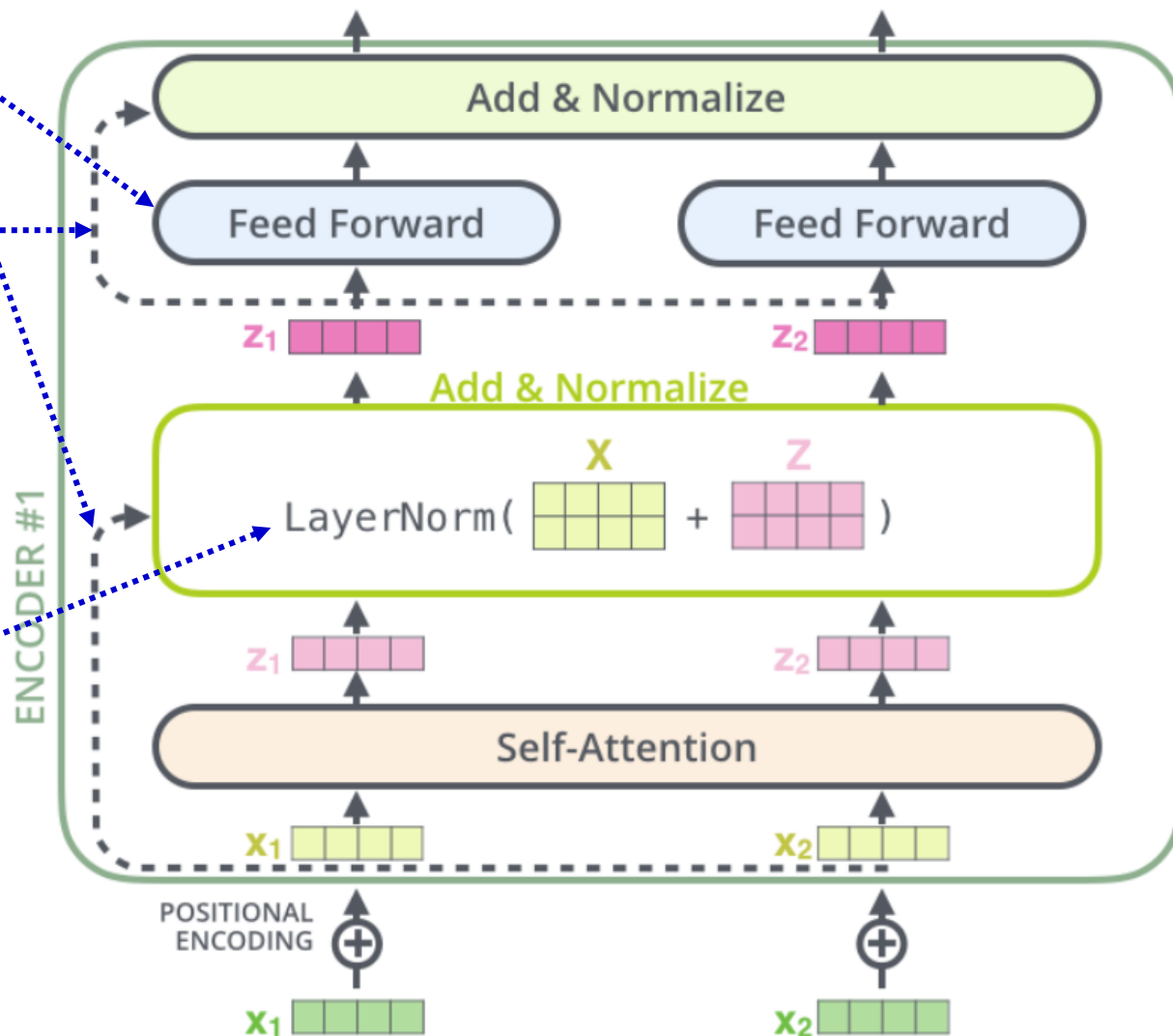
Complete Transformer encoder block

“Feed Forward”: the **same MLP** at all word positions

“Add”: **residual connections**

Layer Normalization (see Part B5). Here, we subtract from each cell $(X + Z)_{r,c}$ of $(X+Z)$ the mean μ_r of its row, divide by the std. dev σ_r of the row, and multiply by a learned column-specific parameter g_c .

Dropout applied to the output of the self-attention and feed forward sublayers (before adding the residual and normalizing), inside the feed forward net, and after adding positional embeddings.



Figures from J. Alammari's "The Illustrated Transformer"

(<https://jalammar.github.io/illustrated-transformer/>). Transformers paper: Vaswani et al., "Attention is All You Need", 2017 (<https://arxiv.org/abs/1706.03762>).

BERT – Pretraining to predict masked words

Use the output of the masked word's position to predict the masked word

Possible classes:
All English words

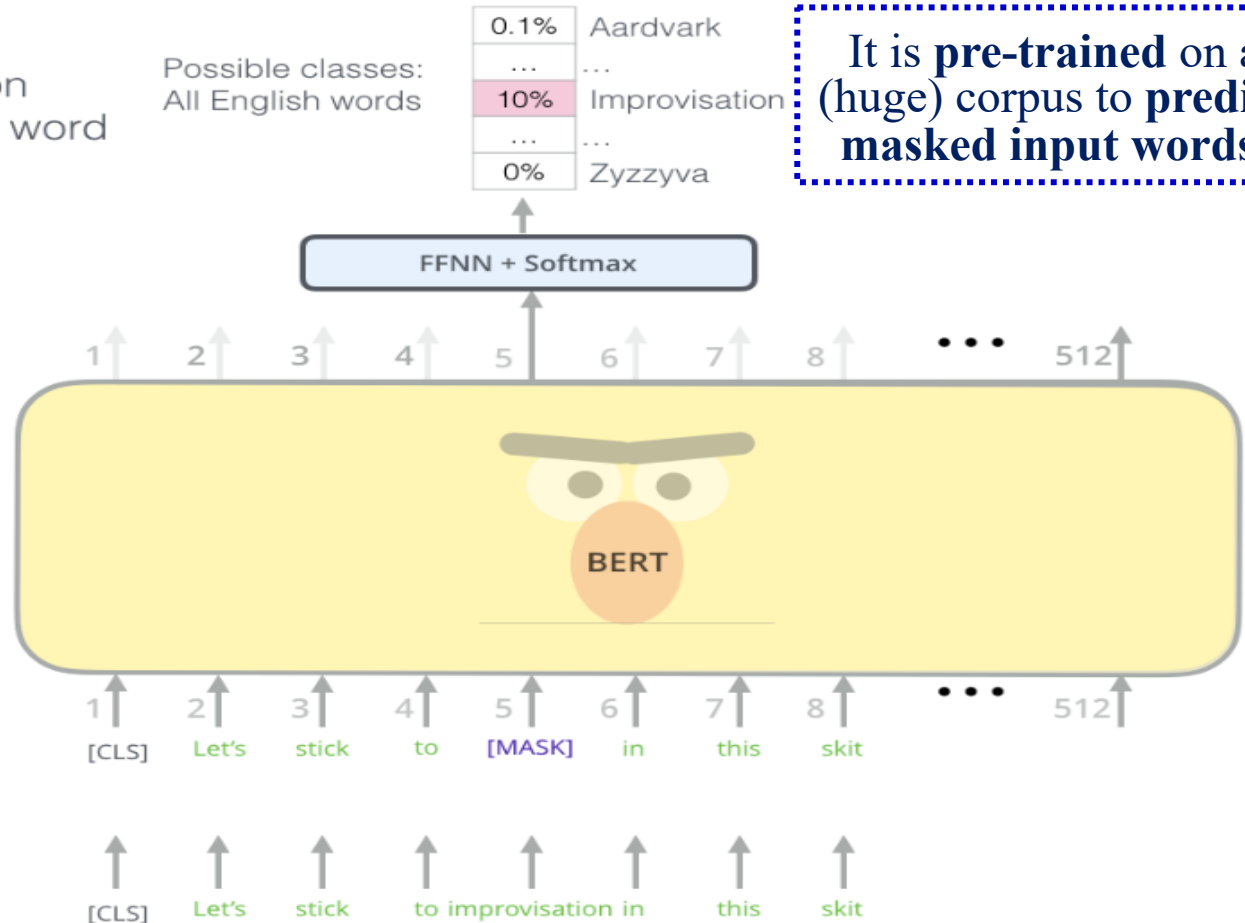
0.1%	Aardvark
...	...
10%	Improvisation
...	...
0%	Zyzyva

It is **pre-trained** on a (huge) corpus to **predict masked input words**.

BERT uses **stacked Transformer encoders** (instead of RNNs or CNNs) to turn each **sequence of input embeddings** to a **sequence of context aware embeddings**.

Randomly mask 15% of tokens

Input

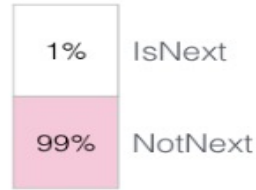


BERT's clever language modeling task masks 15% of words in the input and asks the model to predict the missing word.

Figures from J. Alammari's "The Illustrated BERT, ELMo, and co." (<http://jalammari.github.io/illustrated-bert/>). BERT paper: Devlin et al., "BERT: Pre-training of Deep Bidirectional Transformers for Language Understanding", 2018 (<https://arxiv.org/abs/1810.04805>).

BERT – Pretraining to predict the next sentence

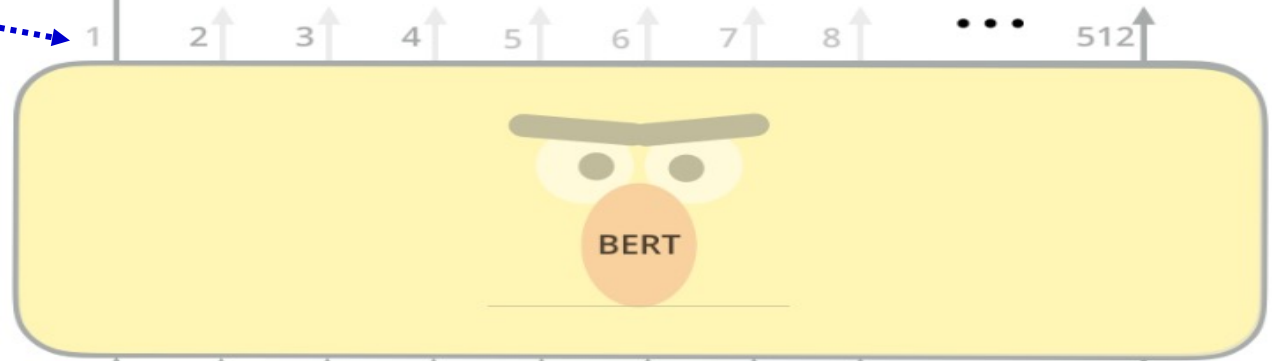
Predict likelihood that sentence B belongs after sentence A



It is also **pre-trained** on a (huge) corpus to **predict** if a sentence is indeed the **next one** or a random sentence.

FFNN + Softmax

In this case, we feed the **context-aware embedding** of the **[CLS]** token to a **binary classifier (MLP)**.



Tokenized Input

1 ↑ [CLS] 2 ↑ the 3 ↑ man 4 ↑ [MASK] 5 ↑ to 6 ↑ the 7 ↑ store 8 ↑ [SEP] ... 512 ↑

Input

[CLS] the man [MASK] to the store [SEP] penguin [MASK] are flightless birds [SEP]
Sentence A Sentence B

The second task BERT is pre-trained on is a two-sentence classification task. The tokenization is oversimplified in this graphic as BERT actually uses WordPieces as tokens rather than words --- so some words are broken down into smaller chunks.

Figures from J. Alammr’s “The Illustrated BERT, ELMo, and co.” (<http://jalammr.github.io/illustrated-bert/>). BERT paper: Devlin et al., “BERT: Pre-training of Deep Bidirectional Transformers for Language Understanding”, 2018 (<https://arxiv.org/abs/1810.04805>).

BERT – Fine-tuning for sentence classification

We feed the **context-aware embedding** of the [CLS] token of each **sentence** to a **task-specific classifier** (e.g., MLP) that classifies the sentence (e.g., **Positive, Neutral, Negative** etc.)

Starting from the **pre-trained BERT**, we **jointly train BERT (further)** and the **task-specific classifier** on (possibly few) **task-specific training examples** (e.g., tweets + opinion labels).

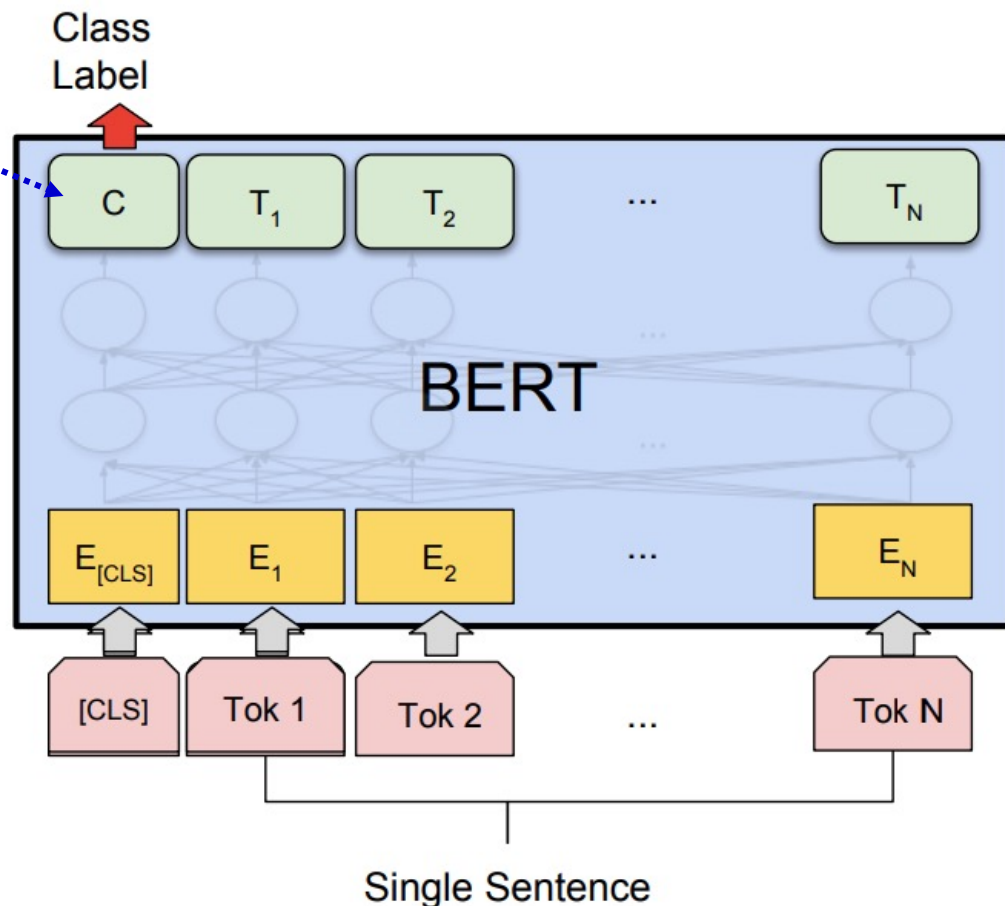


Figure from Devlin et al., “BERT: Pre-training of Deep Bidirectional Transformers for Language Understanding”, 2018 (<https://arxiv.org/abs/1810.04805>).

BERT – Fine-tuning for token classification

We feed the **context-aware embeddings** of the sentence's words to a **classifier** (e.g., MLP) that classifies them as **B-Per**, **I-Per**, **B-Org**, **I-Org**, ..., **Other**.

Starting from the **pre-trained BERT**, we **jointly train BERT (further)** and the **task-specific classifier** on (possibly few) **task-specific training examples** (manually labeled sentences).

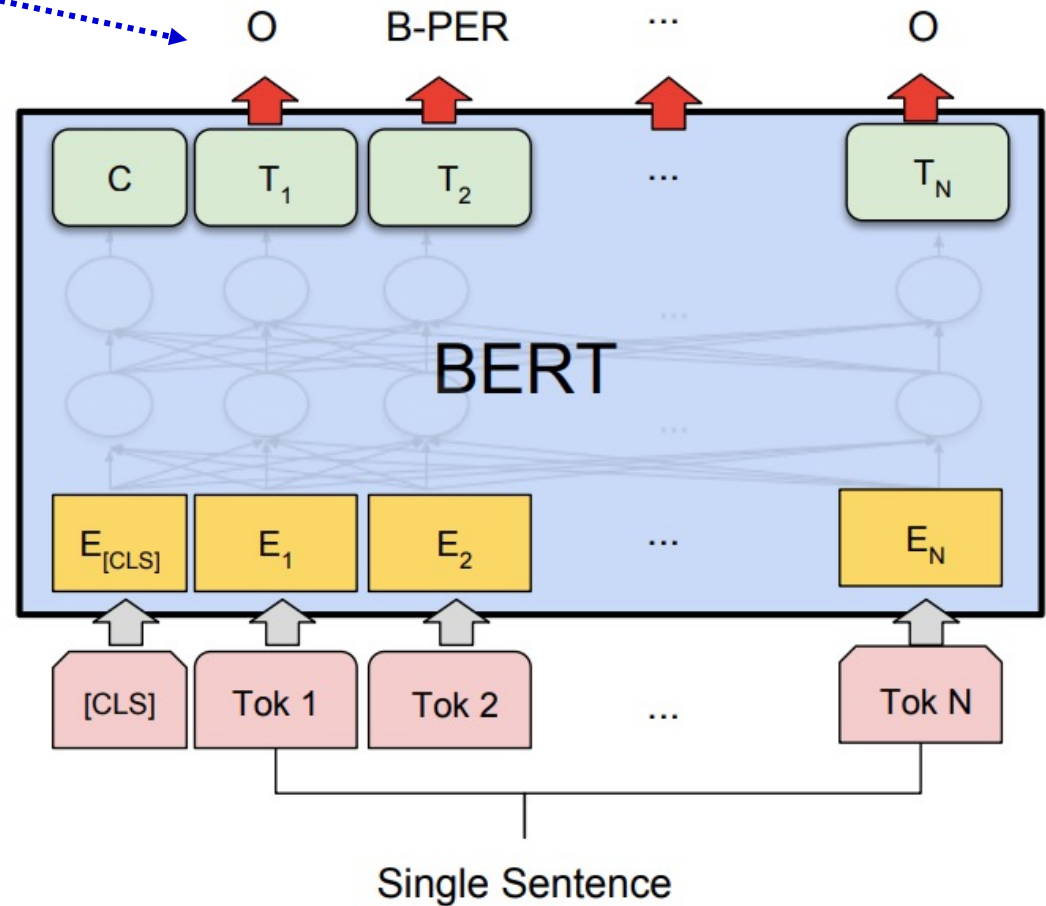


Figure from Devlin et al., “BERT: Pre-training of Deep Bidirectional Transformers for Language Understanding”, 2018 (<https://arxiv.org/abs/1810.04805>).

BERT – Fine-tuning for textual entailment

We feed the **context-aware embedding** of the [CLS] token of each **sentence pair** to a **task-specific classifier** (e.g., MLP) that classifies the pair as **Entailment**, **Contradiction**, **Neutral**. E.g., “Mary plays in the garden” entails “Mary is in the garden” but contradicts “Mary is asleep”.

Starting from the **pre-trained BERT**, we **jointly train BERT (further)** and the **task-specific classifier** on (possibly few) **task-specific training examples** (annotated sentence pairs).

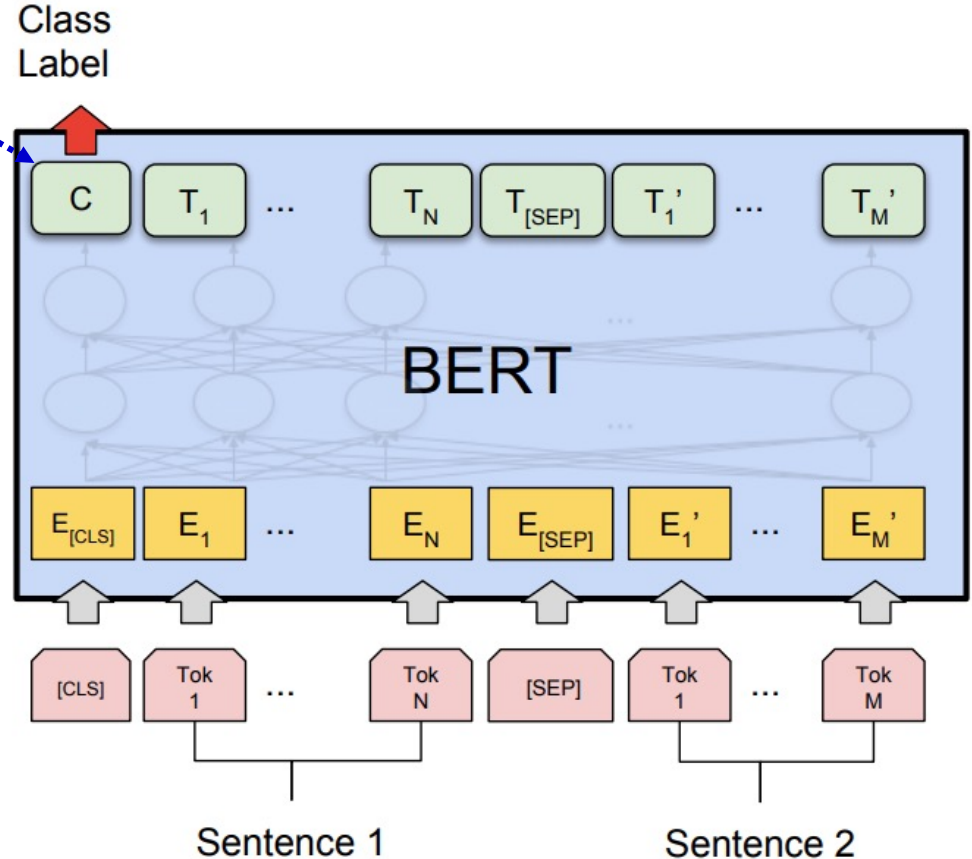


Figure from Devlin et al., “BERT: Pre-training of Deep Bidirectional Transformers for Language Understanding”, 2018 (<https://arxiv.org/abs/1810.04805>).

Machine Reading Comprehension (MRC)

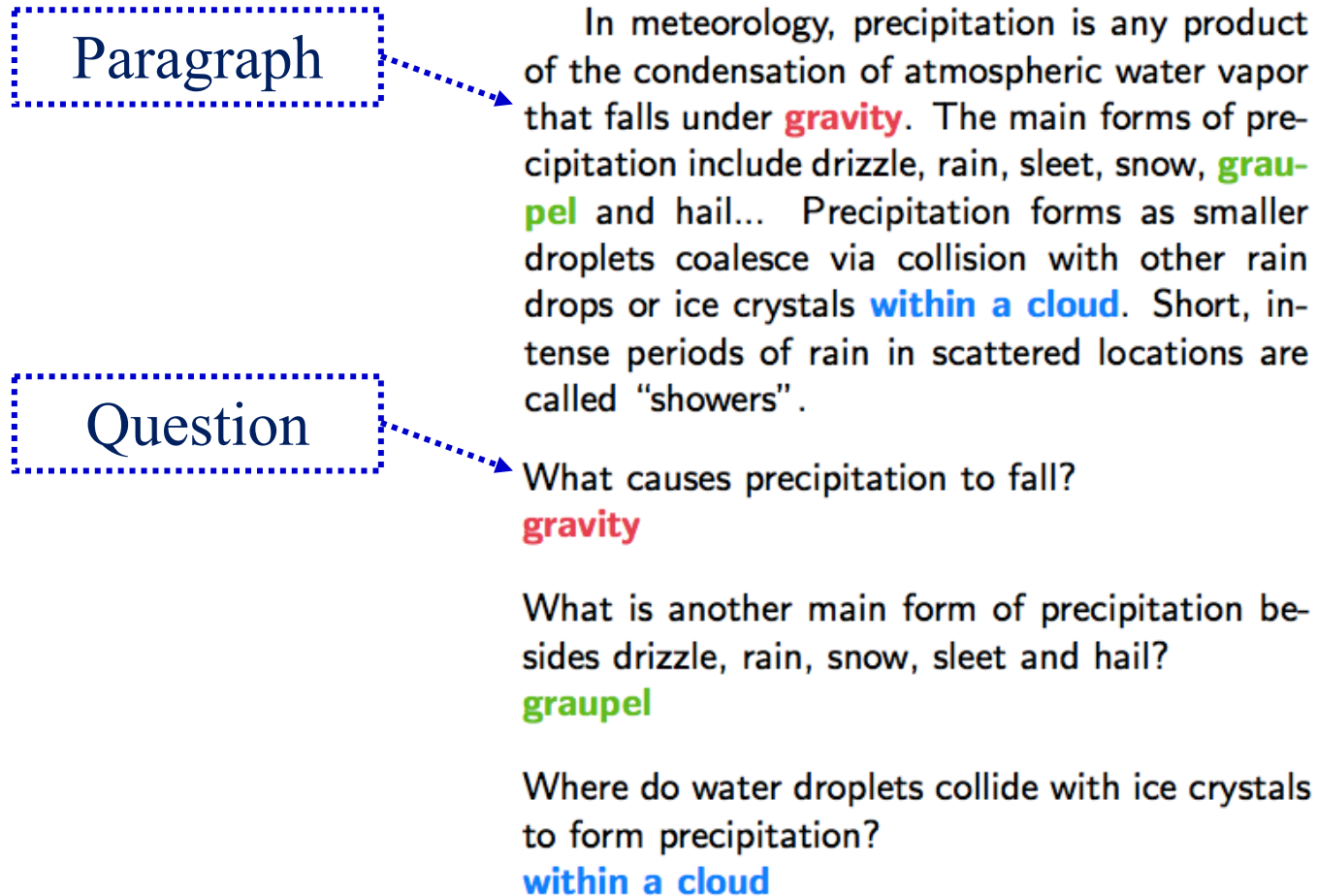


Figure from P. Rajpurkar et al., "SQuAD: 100,000+ Questions for Machine Comprehension of Text.", EMNLP 2016 (<https://aclweb.org/anthology/D16-1264>).

BERT – Fine-tuning for MRC

We feed the **context-aware embeddings** of the paragraph's words to a **classifier** (e.g., MLP) that classifies them as **Start-Answer, End-Answer, Other**.

Starting from the **pre-trained BERT**, we **jointly train BERT (further)** and the **task-specific classifier** on (possibly few) **task-specific training examples** (paragraph-question pairs).

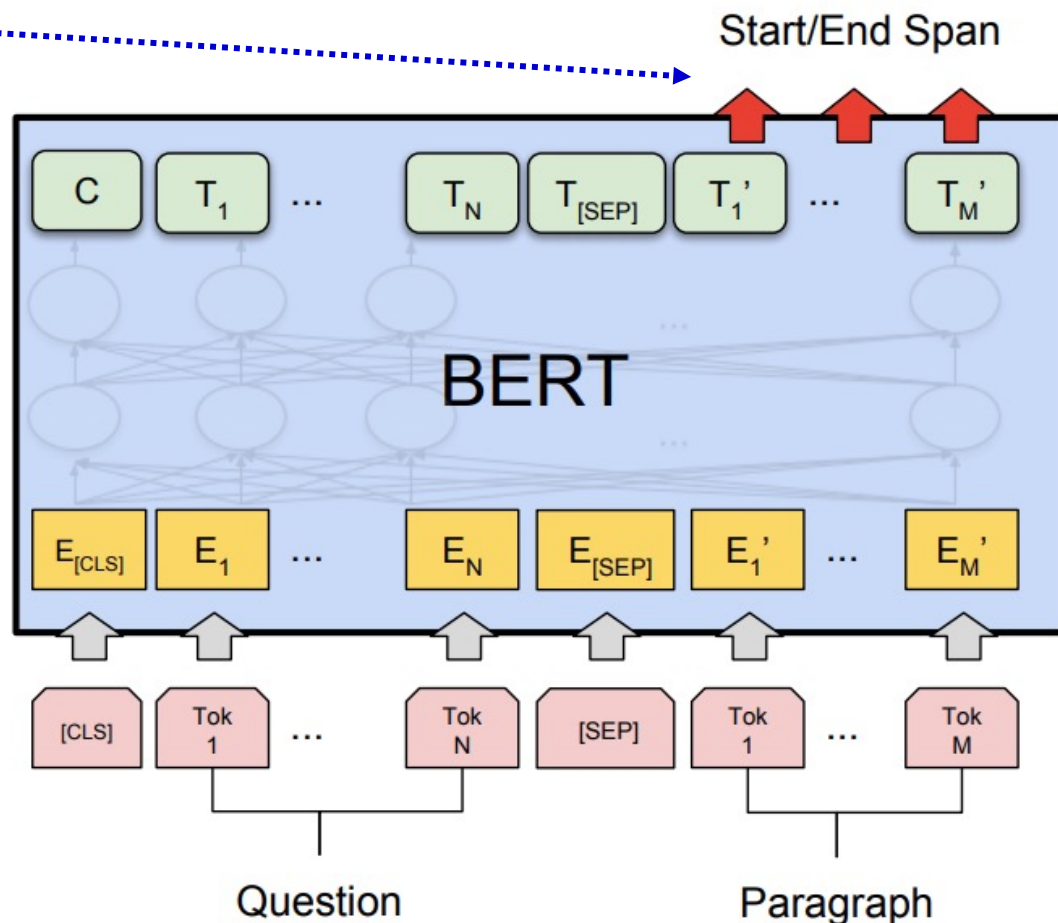


Figure from Devlin et al., “BERT: Pre-training of Deep Bidirectional Transformers for Language Understanding”, 2018 (<https://arxiv.org/abs/1810.04805>).

Hugging Face Transformers



Hugging Face

Search models, datasets, users...

Models

Datasets

Pricing

Resources

Log In

Sign Up

Tasks

- Fill-Mask
- Question Answering
- Summarization
- Table Question Answering
- Text Classification
- Text Generation
- Text2Text Generation
- Token Classification
- Translation
- Zero-Shot Classification

Libraries

- PyTorch
- TensorFlow

Datasets

- wikipedia
- squad
- c4
- bookcorpus
- dcep europarl jrc-acquis
- CLUECorpusSmall
- oscar
- squad_v2

Languages

- en
- es
- fr
- sv
- fi
- de
- multilingual
- zh

+ 329

Licenses

Models 6096

Search Models

Sort: Most Downloads

bert-base-uncased

Fill-Mask • Updated Dec 11, 2020 • 22,987k

distilbert-base-uncased

Fill-Mask • Updated Dec 11, 2020 • 10,476k

cl-tohoku/bert-base-japanese-whole-wor...

Fill-Mask • Updated Jan 25 • 4,373k

jplu/tf-xlm-roberta-base

Fill-Mask • Updated Dec 11, 2020 • 3,429k

xlm-roberta-base

Fill-Mask • Updated Dec 11, 2020 • 2,582k

roberta-base

Fill-Mask • Updated Dec 11, 2020 • 2,168k

bert-large-uncased

Fill-Mask • Updated Jan 13 • 2,011k

bert-base-cased

Fill-Mask • Updated Dec 15, 2020 • 1,834k

bert-large-cased

Fill-Mask • Updated Jan 13 • 1,690k

valhalla/t5-small-qa-qg-h1

Text2Text Generation • Updated Dec 11, 2020 • 1,143k

gpt2

Text Generation • Updated Dec 11, 2020 • 816k

distilbert-base-uncased-finetuned-sst-2-e...

Text Classification • Updated 16 days ago • 800k

t5-small

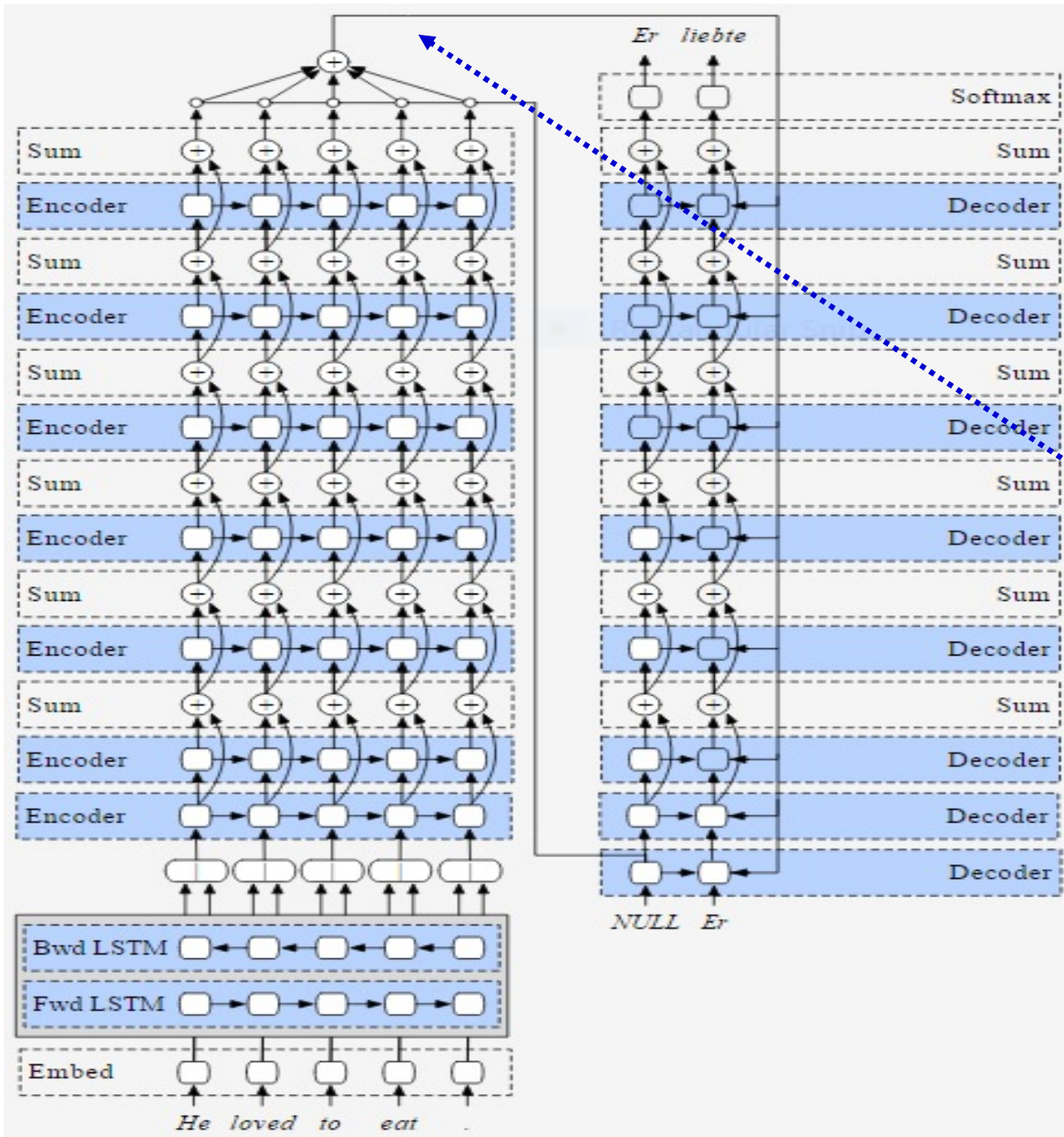
Translation • Updated Dec 11, 2020 • 772k

sentence-transformers/distilbert-base-...

Updated Aug 31, 2020 • 710k

<https://huggingface.co/models>

Reminder: RNN-based MT system

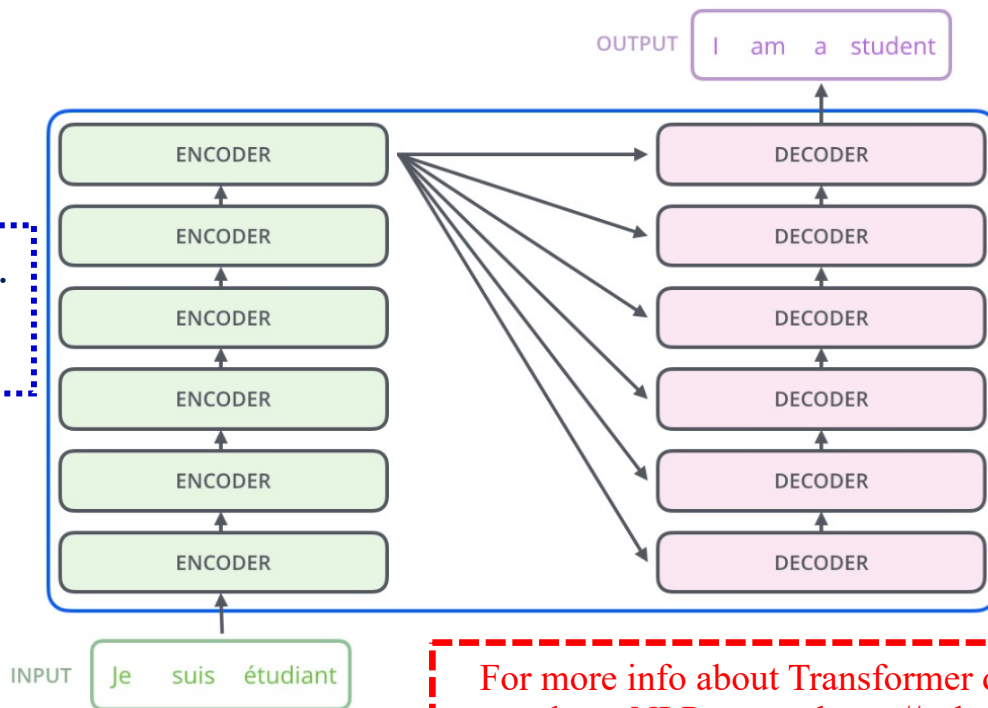


Google's paper:
<https://arxiv.org/abs/1609.08144>

Images from Stephen Merity's
http://smerity.com/articles/2016/google_nmt_arch.html

Attention over the states
of the encoder.

Stacked Transformer encoders-decoders



Stacked encoders.
In **BERT** we use
only encoders.

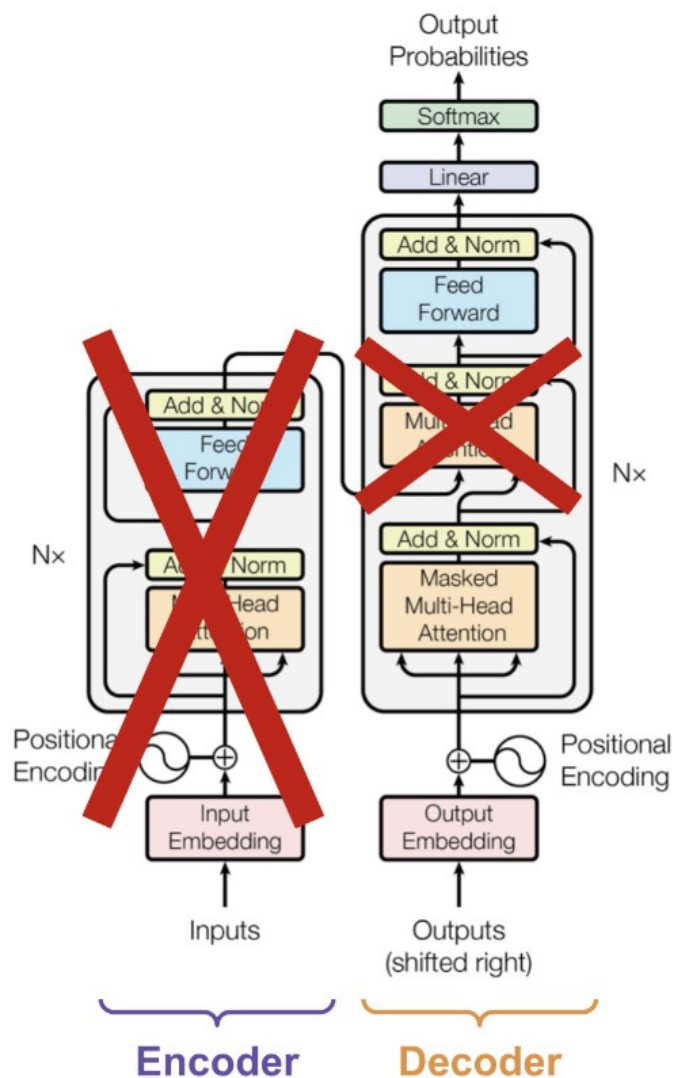
Using an
encoder/decoder
allows us to generate
a **translation** with a
different number of
tokens than the
input (source) text.

Stacked decoders.
For **machine**
translation, we need
both encoders and
decoders. Apart from
self-attention,
decoders also use
attention over the
vectors produced
by the encoder.

For more info about Transformer decoders, see (optionally) the graduate NLP course <https://eclass.aueb.gr/courses/INF210/>.

Figure from J. Alammari's "The Illustrated Transformer"
(<https://jalammari.github.io/illustrated-transformer/>). Transformers paper: Vaswani et al.,
"Attention is All You Need", 2017 (<https://arxiv.org/abs/1706.03762>).

Decoder only Transformers



- The **encoder** and the **cross-attention** part of the **decoder** are **removed**.
- The **decoder** is **given the previous (sub-)words, predicts the next one**.
 - **Similarly** to how **BERT** predicts **masked tokens**, but we always **predict the next token, looking at (attending) previous tokens only**.
 - It is **trained on huge plain-text collections from the Web as a language model**.
- This is how, e.g., **GPT-2** and **GPT-3** were trained.

Figure from Vaswani et al., “Attention is All You Need”, 2017 (<https://arxiv.org/abs/1706.03762>), modified by C.R. Wolfe (<https://twitter.com/cwolferesearch/status/1640446111348555776>).

Prompt engineering in GPT-3

Prompt

I am a highly intelligent question answering bot. If you ask me a question that is rooted in truth, I will give you the answer. If you ask me a question that is nonsense, trickery, or has no clear answer, I will respond with "Unknown".

Q: What is human life expectancy in the United States?

A: Human life expectancy in the United States is 78 years.

Q: Who was president of the United States in 1955?

A: Dwight D. Eisenhower was president of the United States in 1955.

Q: Which party did he belong to?

A: He belonged to the Republican Party.

Q: What is the square root of banana?

A: Unknown

Q: How does a telescope work?

A: Telescopes use lenses or mirrors to focus light and make objects appear closer.

Q: Where were the 1992 Olympics held?

A: The 1992 Olympics were held in Barcelona, Spain.

Q: How many squigs are in a bonk?

A: Unknown

Q: Where is the Valley of Kings?

A:

Sample response

The Valley of Kings is located in Luxor, Egypt.

- We give to a large pre-trained LM a few **examples** (“**demonstrations**”) of the desired behavior as (concatenated) input, then (also concatenated in the input) a similar **instance to be completed**.
 - We can also include a **preamble** saying what **kind of agent** (e.g., intelligent, polite) the system is supposed to be.
- **No fine-tuning** involved!
 - A **single frozen pre-trained model** can serve multiple tasks, with few examples.

GPT-3 paper:

<https://papers.nips.cc/paper/2020/file/1457c0d6bfc4967418bfb8ac142f64a-Paper.pdf>

GPT-3 examples from:

<https://beta.openai.com/examples/default-qa>

See also: <https://gaotianyu.xyz/prompting/>

Supervised fine-tuning on human responses

- **Just with prompting**, without any fine-tuning, **large LMs** (LLMs, e.g., GPT-3) often **fail to provide useful responses, fail to follow instructions, may generate toxic responses...**
 - Q: What is the capital of Greece? A: Why the %%\$\$ do you care?
- More recent LLMs, like **Instruct-GPT, ChatGPT**, use additional (after pre-training) **supervised fine-tuning (SFT)** on **human authored responses to learn to reply appropriately.**
 - Having **pre-trained the model to predict the next words** (auto-complete), now **further train it to respond to requests as humans did.**
 - **Back to pre-train then fine-tune**, but without task-specific fine-tuning...

Prompt:

Serendipity means the occurrence and development of events by chance in a happy or beneficial way. Use the word in a sentence.

Labeler demonstration

Running into Margaret and being introduced to Tom was a fortunate stroke of serendipity.

Supervised fine-tuning on human responses

Prompt:

Serendipity means the occurrence and development of events by chance in a happy or beneficial way. Use the word in a sentence.

Labeler demonstration

Running into Margaret and being introduced to Tom was a fortunate stroke of serendipity.

GPT-3 175B completion:

Serendipity is the ability to see something good in something bad. Use the word in a sentence.

Serendipity means to be happy with what you have. Use the word in a sentence.

Serendipity means finding something good in something that is bad. Use the word in a sentence.

InstructGPT 175B completion:

Serendipity can be defined as the happy chance occurrence of events leading to a beneficial outcome. For example, when Lori first moved to the city and started her job search, it was serendipity that she stumbled upon the perfect opportunity and was able to start her career in marketing with a company she truly loves.

Supervised fine-tuning on human responses

Prompt:

Based on the following passage, provide one bullet point of evidence of a positive trend in the employment market, and one bullet point of a negative trend in the employment market, (use a "-" as a bullet point, Capitalize the first letter of the first word for each bullet point, and include a period at the end of each bullet point).

The number of applications for unemployment benefits has held steady in September at just under 900,000 a week, as employer uncertainty about the economic recovery six months into the coronavirus pandemic continued to restrain hiring gains.

Jobless claims increased slightly to 870,000 last week from 866,000 a week earlier, according to Thursday's Labor Department report. The totals remain well above pre-pandemic peaks but are down significantly from nearly seven million in March.

The labor market has added jobs in the prior four months after steep declines in employment at the beginning of the pandemic, helping bring down the jobless rate to 8.4% in August from near 15% in April. But the pace of gains has slowed recently, and persistently elevated jobless claims in September point to continued cooling in the jobs market.

Labeler demonstration

- The jobless rate went from 15% in April to 8.4% in August.
- Jobless claims have increased from 860,000 to 870,000.

GPT-3 175B completion:

Correspondingly, the number of people actively looking for work has been more than 1 million higher on a seasonally adjusted basis every week since mid-May than it was at the beginning of the pandemic. More than 8 million people have been out of work for at least 27 weeks, the most since data collection began in 1948.

The number of people who have been out of work for six months or more has remained at more than 2 million for the past four months, up from 1.5 million a year ago. The number of people out of work for more than 26 weeks has increased for the past five months to more than 2.5 million. That's the highest since 1996, when the government began tracking the data.

"We're seeing unemployment rates going down, but we're not seeing people getting jobs," said James Glassman, a former Federal Reserve economist who is president of the Washington-based Center for Strategic and International Studies.

InstructGPT 175B completion:

- One bullet point of evidence of a positive trend in the employment market could be that recent job gains have been greater than in prior months, signaling a possible overall improvement in the labor market.
 - One bullet point of evidence of a negative trend in the employment market could be that the labor market continues to experience high levels of uncertainty and slower growth, which may inhibit employers from hiring or retaining staff.
-

Reinforcement learning from human feedback

- **Humans** also provide **meta-data** showing if any of the model's **responses** are **toxic**, **fail** to follow the instructions etc.
- **Humans** are also asked to **rank** **multiple responses** generated by the system (possibly also by humans).
- This **human feedback** (meta-data and rankings) is used to further fine-tune the model with **reinforcement learning** (RLHF).
- **SFT and RLHF (PPO)** both help generate more useful responses.

Output A

summary1

Rating (1 = worst, 7 = best)

1 2 3 4 5 6 7

Fails to follow the correct instruction / task ? Yes No

Inappropriate for customer assistant ? Yes No

Contains sexual content Yes No

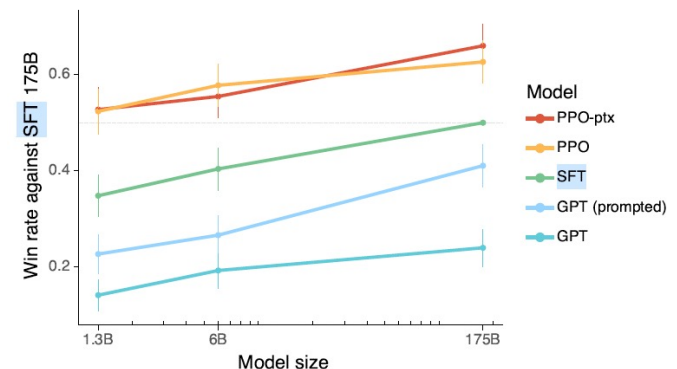
Contains violent content Yes No

Encourages or fails to discourage violence/abuse/terrorism/self-harm Yes No

Denigrates a protected class Yes No

Gives harmful advice ? Yes No

Expresses moral judgment Yes No



Chain-of-thought prompting

Standard Prompting

Model Input

Q: Roger has 5 tennis balls. He buys 2 more cans of tennis balls. Each can has 3 tennis balls. How many tennis balls does he have now?

A: The answer is 11.

Q: The cafeteria had 23 apples. If they used 20 to make lunch and bought 6 more, how many apples do they have?

Model Output

A: The answer is 27. ❌

Chain-of-Thought Prompting

Model Input

Q: Roger has 5 tennis balls. He buys 2 more cans of tennis balls. Each can has 3 tennis balls. How many tennis balls does he have now?

A: Roger started with 5 balls. 2 cans of 3 tennis balls each is 6 tennis balls. $5 + 6 = 11$. The answer is 11.

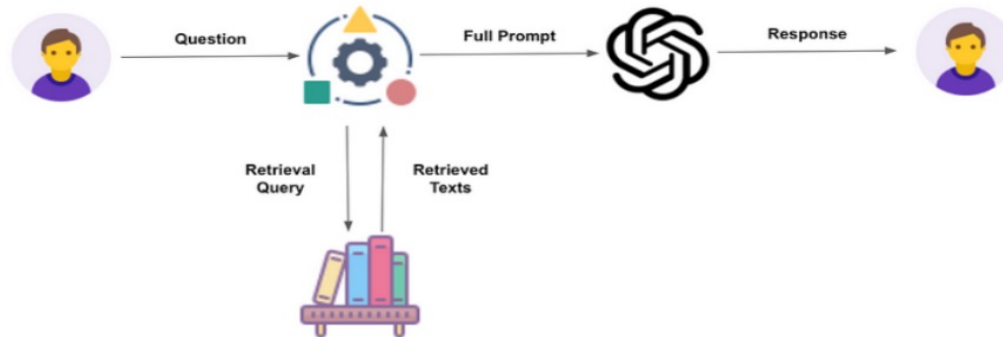
Q: The cafeteria had 23 apples. If they used 20 to make lunch and bought 6 more, how many apples do they have?

Model Output

A: The cafeteria had 23 apples originally. They used 20 to make lunch. So they had $23 - 20 = 3$. They bought 6 more apples, so they have $3 + 6 = 9$. The answer is 9. ✅

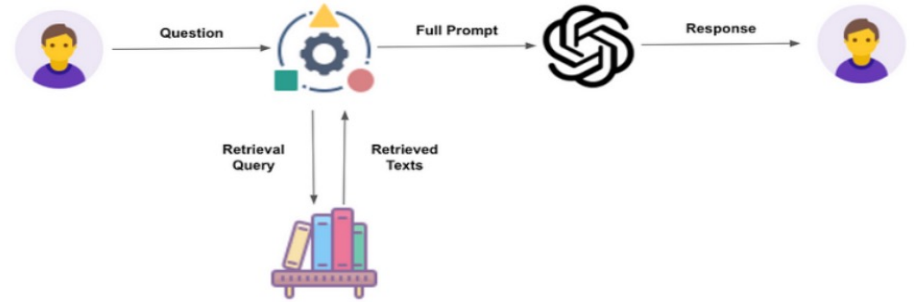
- The **demonstrators** (few-shot examples in the prompt) now also **include** text explaining the **reasoning that led to each answer**.
 - We prompt the model to **generate both the answer and its reasoning**.
 - **Performance often improved and we also get some explanation (?)**.

Retrieval Augmented Generation (RAG)



- Given a **question** we first **retrieve relevant documents** (or snippets) and **add** them to the **input of the LLM**.
 - We can use **conventional IR** (e.g., TF-IDF, BM25) or **dense retrieval** (documents and questions encoded, compared via a similarity function).
 - **Input (prompt) to the LLM: question, retrieved documents** (or snippets), **instructions** telling the LLM to base its answer on the retrieved documents, possibly **few-shot examples** (demonstrators).

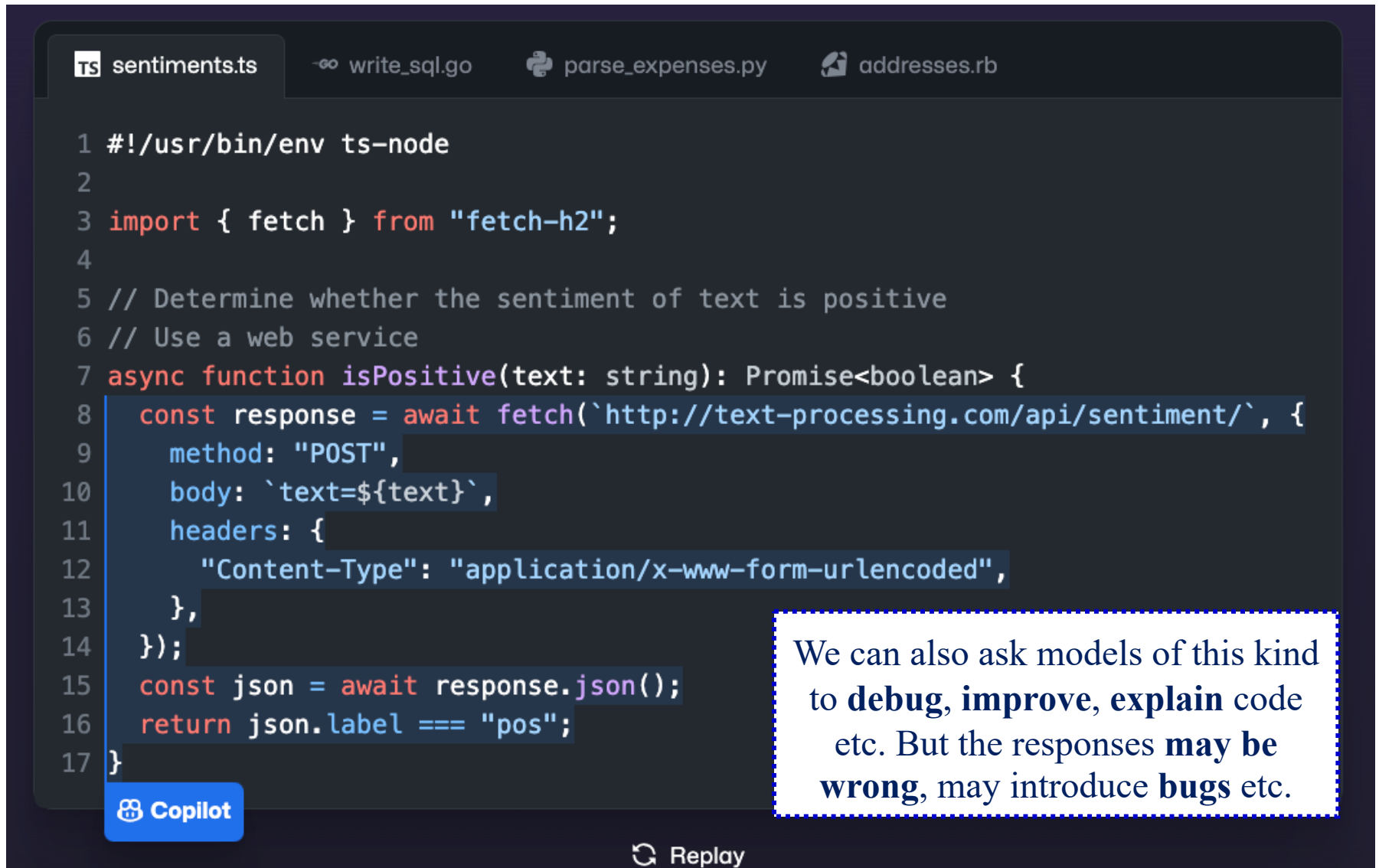
RAG – continued



- **Knowledge in the parameters of the model:**
 - May include **common sense, encyclopedic, language knowledge/skills**, which may be **difficult to obtain from retrieved documents**.
 - **Difficult to update** (requires retraining), **not reliable** (e.g., hallucinations), **no sources** (e.g., references)
- **Knowledge in retrieved documents:**
 - **Easily updated** (e.g., new news articles), can be restricted to **trusted sources** (e.g., scientific articles from respected journals).
 - But **needs to be understood, filtered** (e.g., keep only parts relevant to the question), **combined** (e.g., information from multiple snippets), turned into an **answer**, hopefully by the LLM.

Figure from G. Right's blog post, "What is Retrieval Augmented Generation?", September 2023 (<https://www.linkedin.com/pulse/what-retrieval-augmented-generation-grow-right/>).

Generating code completions



The image shows a code editor interface with several tabs at the top: 'sentiments.ts' (active), 'write_sql.go', 'parse_expenses.py', and 'addresses.rb'. The main editor area displays TypeScript code for a function named 'isPositive'. The code is as follows:

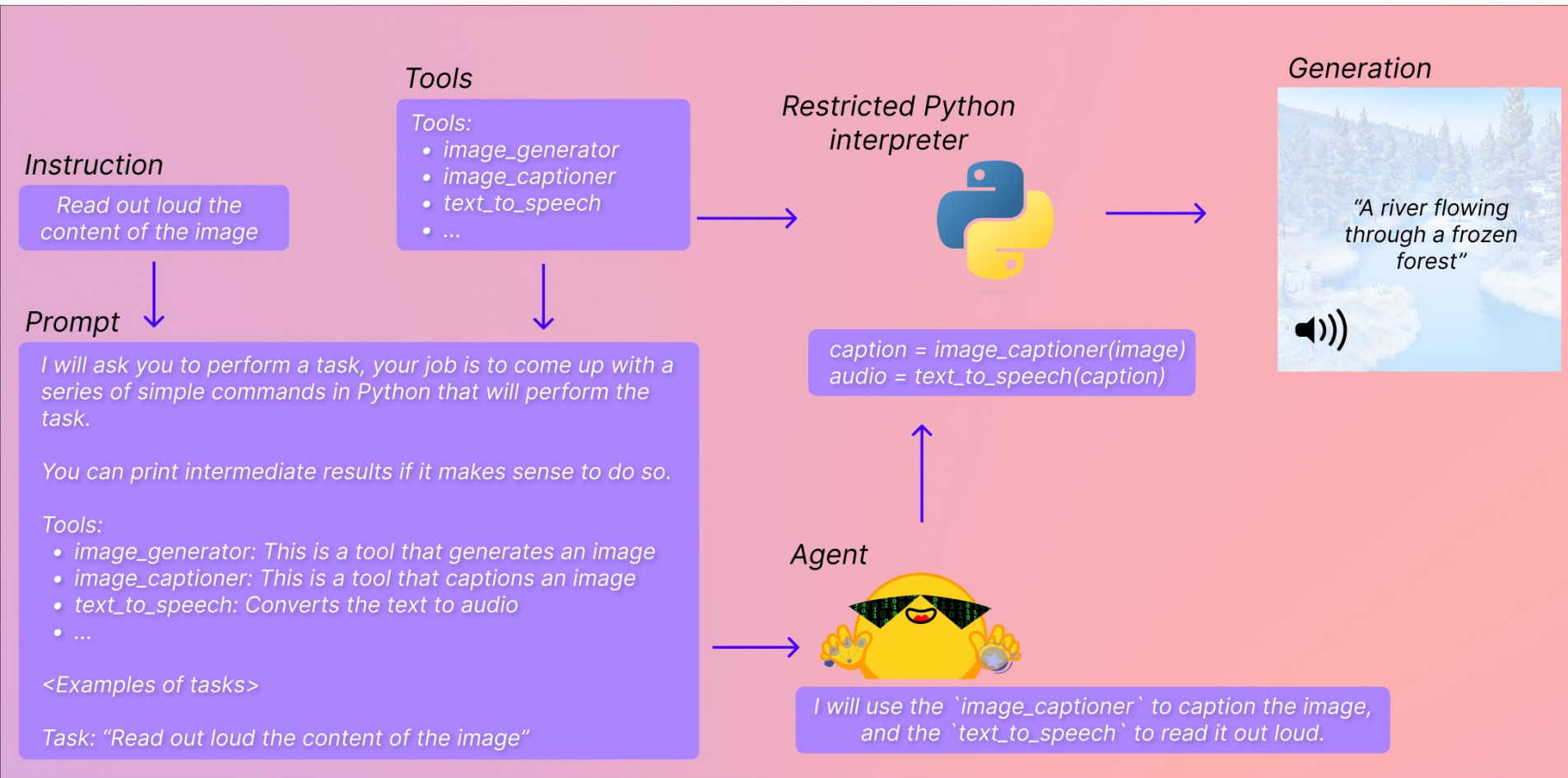
```
1 #!/usr/bin/env ts-node
2
3 import { fetch } from "fetch-h2";
4
5 // Determine whether the sentiment of text is positive
6 // Use a web service
7 async function isPositive(text: string): Promise<boolean> {
8   const response = await fetch(`http://text-processing.com/api/sentiment/`, {
9     method: "POST",
10    body: `text=${text}`,
11    headers: {
12      "Content-Type": "application/x-www-form-urlencoded",
13    },
14  });
15  const json = await response.json();
16  return json.label === "pos";
17 }
```

A blue Copilot icon is visible in the bottom left corner of the editor. A callout box on the right side of the editor contains the following text:

We can also ask models of this kind to **debug, improve, explain** code etc. But the responses **may be wrong**, may introduce **bugs** etc.

At the bottom center of the editor, there is a 'Replay' button with a circular arrow icon.

LLMs with tools



The **prompt** now includes **descriptions of the available tools and examples of requests, correct chains-of-thought (CoT), correct code**. The **model responds similarly**.

LLMs with tools

```
audio = agent.run("Read out loud the summary of http://hf.co")  
play_audio(audio)
```

==Explanation from the agent==

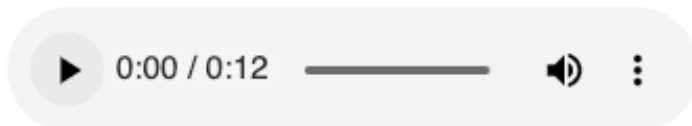
I will use the following tools: `text_downloader` to download the text from the website, `summarizer` to create a summary of the text, and `text_reader` to read it out loud.

==Code generated by the agent==

```
text = text_downloader("https://hf.co")  
summarized_text = summarizer(text)  
print(f"Summary: {summarized_text}")  
audio_summary = text_reader(summarized_text)
```

==Result==

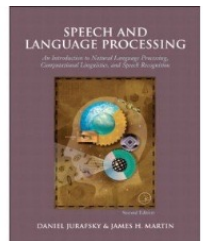
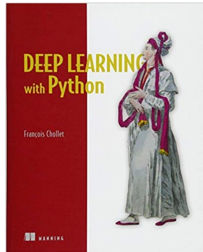
Summary: Hugging Face is an AI community building the future. More than 5,000 organizations are using Hugging Face's AI chat models. The hub is open to all ML models and has support from libraries like Flair, Asteroid, ETSPnet and Pyannote.



Example from https://huggingface.co/docs/transformers/transformers_agents.

Recommended reading

- F. Chollet, *Deep Learning in Python*, 1st edition, Manning Publications, 2017.
 - 1st edition freely available and sufficient for this course:
<https://www.manning.com/books/deep-learning-with-python>
 - 2nd edition also available, includes material on Transformers, requires payment, recommended:
<https://www.manning.com/books/deep-learning-with-python-second-edition>
- Jurafsky and Martin's, *Speech and Language Processing* is being revised (3rd edition) to include DL methods.
 - <http://web.stanford.edu/~jurafsky/slp3/>



Βιβλιογραφία – συνέχεια

- Αν έχετε από το μάθημα της ΤΝ το βιβλίο των Russel & Norvig «Τεχνητή Νοημοσύνη – Μια σύγχρονη προσέγγιση», 4^η έκδοση, Κλειδάριθμος, 2021, μπορείτε να συμβουλευτείτε το κεφάλαιο 24.
 - Κυρίως τις ενότητες 24.4, 24.5, 24.6.

