



# Ασκήσεις μελέτης B8

## Lab 8

Human-Computer Interaction, AUEB  
Εαρινό εξάμηνο 2022-2023

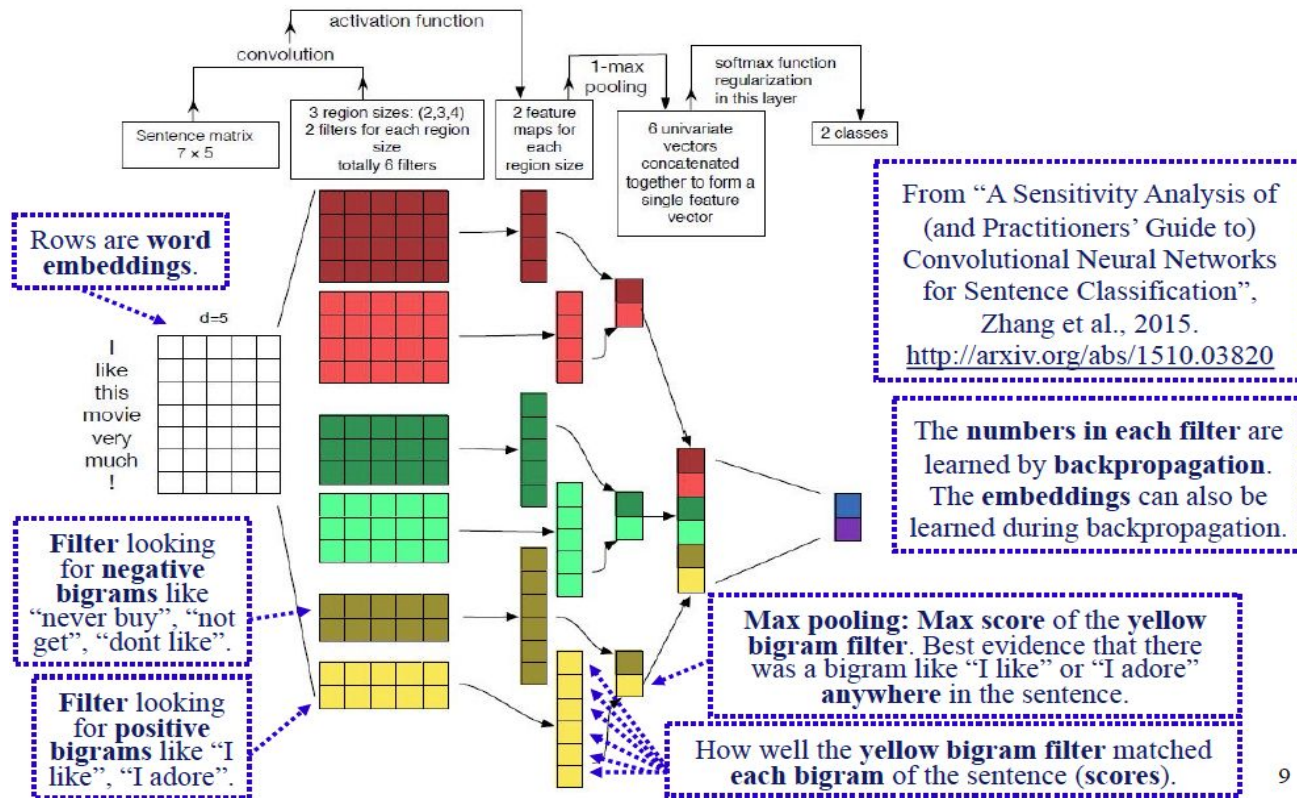
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## Άσκηση B8.1.

Γράψτε (όπως στις διαφάνειες 10–14) τις εξισώσεις του CNN της διαφάνειας 9.

Προσδιορίστε επίσης τις διαστάσεις όλων των εμπλεκόμενων πινάκων και διανυσμάτων.

# Convolutional Neural Networks





Απάντηση: The dimensionality of the word embeddings is  $d = 5$ . We can think of the two bigram filters as a matrix  $W^{(2)} \in \mathbb{R}^{2 \times 2d} = \mathbb{R}^{2 \times 10}$  and a bias terms vector  $b^{(2)} = \mathbb{R}^2$  (similarly to slide 12, where we have three bigram filters). Similarly, we can think of the two trigram filters as a matrix  $W^{(3)} \in \mathbb{R}^{2 \times 3d} = \mathbb{R}^{2 \times 15}$  and a bias terms vector  $b^{(3)} = \mathbb{R}^2$ ; and the two 4-gram filters as a matrix  $W^{(4)} \in \mathbb{R}^{2 \times 4d} = \mathbb{R}^{2 \times 20}$  and a bias terms vector  $b^{(4)} = \mathbb{R}^2$ .

The embeddings of each bigram of the input text can be thought of as a vector  $x^{(2)} \in \mathbb{R}^{2d}$ . Applying the two bigram filters to the  $i$ -th bigram  $x_i^{(2)}$  of the input text produces:

$$h_i^{(2)} = \text{ReLU} \left( W^{(2)} x_i^{(2)} + b^{(2)} \right) \in \mathbb{R}^2, \quad i = 1, \dots, 6$$

where we assumed that we use ‘narrow convolutions’, i.e., that the filters do not move out of the words of the input text (to partially overlap with padding tokens).

Max-pooling over  $h_1^{(2)}, \dots, h_6^{(2)}$  produces a vector:

$$h^{(2)} = \langle \max_i h_{i,1}^{(2)}, \max_i h_{i,2}^{(2)} \rangle^T \in \mathbb{R}^2$$

ΔΙΑΣΤΑΣΗ  $h^{(2)}$

- Input:  $n$  (number of words=7)
- Padding:  $p$  (=0)
- Stride:  $s$  (=1)
- Filter size:  $f$  (bi-gram=2)
- Output:  $\lfloor (n+2p-f)/s+1 \rfloor$



Similarly, applying the two trigram filters to the  $i$ -th trigram  $x_i^{(3)} \in \mathbb{R}^{3d}$  of the input text and the two 4-gram filters to the  $i$ -th 4-gram  $x_i^{(4)} \in \mathbb{R}^{4d}$  produces:

$$h_i^{(3)} = \text{ReLU}(W^{(3)}x_i^{(3)} + b^{(3)}) \in \mathbb{R}^2, \quad i = 1, \dots, 5$$

$$h_i^{(4)} = \text{ReLU}(W^{(4)}x_i^{(4)} + b^{(4)}) \in \mathbb{R}^2, \quad i = 1, \dots, 4$$

Max-pooling over  $h_1^{(3)}, \dots, h_5^{(3)}$  and over  $h_1^{(4)}, \dots, h_4^{(4)}$  produces:

$$h^{(3)} = \langle \max_i h_{i,1}^{(3)}, \max_i h_{i,2}^{(3)} \rangle^T \in \mathbb{R}^2$$

$$h^{(4)} = \langle \max_i h_{i,1}^{(4)}, \max_i h_{i,2}^{(4)} \rangle^T \in \mathbb{R}^2$$

The feature vector of the input text is the concatenation  $h = [h^{(2)}; h^{(3)}; h^{(4)}]^T \in \mathbb{R}^6$ .

We pass on  $h$  to a classifier, e.g., a logistic regression layer, i.e., a dense layer  $W^{(P)} \in \mathbb{R}^{|C| \times 6}$  with a bias vector  $b^{(P)} \in \mathbb{R}^{|C|}$  and a softmax activation function, to obtain a probability distribution  $\vec{o}$  over the classes  $c_1, \dots, c_{|C|} \in C$ :

$$\vec{o} = \langle P(c_1), \dots, P(c_{|C|}) \rangle^T = \text{softmax}(W^{(P)}h + b^{(P)})$$

ΔΙΑΣΤΑΣΗ  $h^{(3)}$

- Input: n (number of words=7)
- Padding: p (=0)
- Stride: s (=1)
- Filter size: f (tri-gram=3)
- Output:  $[(n+2p-f)/s+1]$

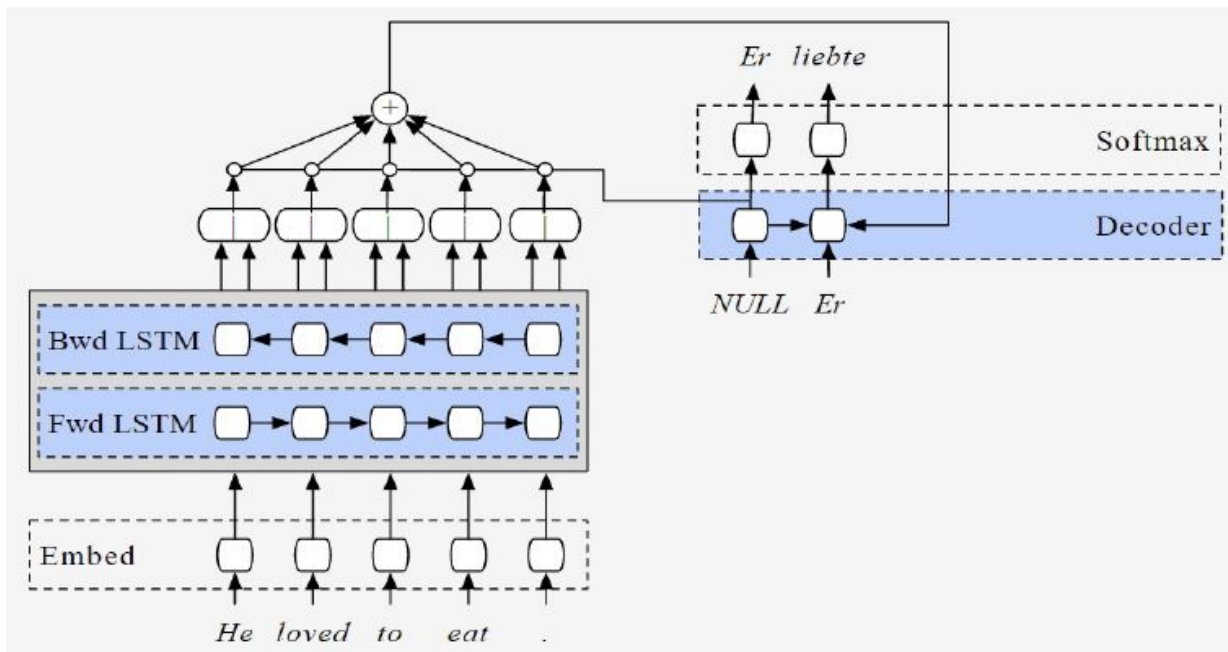
ΔΙΑΣΤΑΣΗ  $h^{(4)}$

- Input: n (number of words=7)
- Padding: p (=0)
- Stride: s (=1)
- Filter size: f (4-gram=4)
- Output:  $[(n+2p-f)/s+1]$



## Άσκηση Β8.2.

Consider the following LSTM-based machine translation model (see also exercise 4 of section B6).

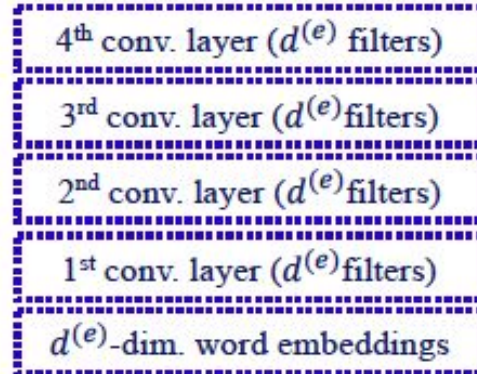
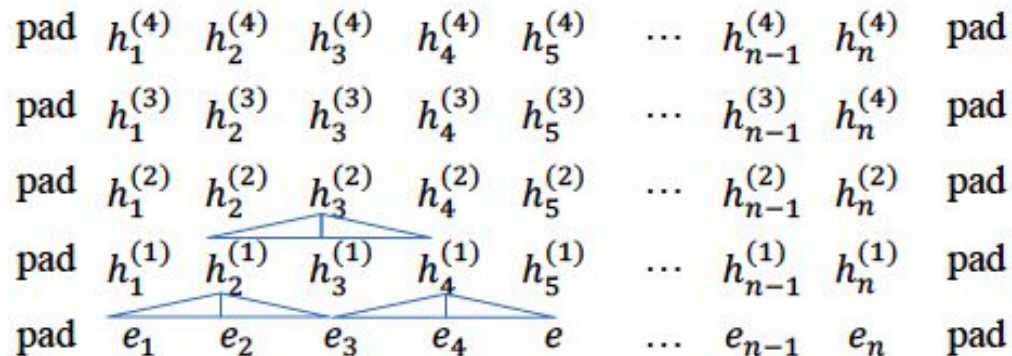




## Άσκηση Β8.2.

We wish to replace the BiLSTM encoder of the model above by the stacked CNN-based encoder with trigram filters illustrated below, retaining the encoder-decoder attention and the LSTM decoder of the original model.

### Stacked CNN encoder





## Άσκηση B8.2.

Let  $V, V'$  be the vocabularies of the source language (English) and target language (German), respectively. Each training instance is a pair consisting of (i) a sequence of one-hot vectors:

$$x_1, x_2, x_3, \dots, x_n \in \{0, 1\}^{|V|}$$

corresponding to an English sentence (each vector shows the position of the corresponding word in  $V$ ) and (ii) a sequence of one-hot vectors:

$$y_1, y_2, y_3, \dots, y_m \in \{0, 1\}^{|V'|}$$

corresponding to a German sentence that is the correct (gold) translation of the English one (each vector shows the position of the corresponding word in  $V'$ ). For simplicity, we assume all the English sentences are  $n$  words long, and all the German sentences are  $m$  words long.

Let  $E \in \mathbb{R}^{d^{(e)} \times |V|}$  and  $E' \in \mathbb{R}^{d^{(e)} \times |V'|}$  contain the word embeddings of the source and target language, respectively. Notice that word embeddings have  $d^{(e)}$  dimensions in both languages, and that all the convolution layers of the CNN encoder also use  $d^{(e)}$  filters.

The following formulae describe how the new model works and how the loss ( $L$ ) is computed, given a training instance. **Fill in the blanks (they have been filled in in red in the solution).** The notation  $[\dots; \dots]$  denotes concatenation and  $f, g$  denote activation functions.



## Απάντηση:

**Encoder:** ( $i \in \{1, 2, 3, \dots, n\}$ ,  $l \in \{2, 3, 4\}$ )

$e_i = E x_i \in \mathbb{R}^{d^{(e)}}$  (To embedding της σωστής αγγλικής λέξης στη θέση  $i$ .)

(Assume that  $e_0 = e_{n+1}$  is always an all-zeros embedding of the padding token.)

$$h_i^{(1)} = \text{ReLU}(W^{(1)}[e_{i-1}; e_i; e_{i+1}] + b^{(1)}) + e_i \in \mathbb{R}^{d^{(e)}}$$

$$W^{(1)} \in \mathbb{R}^{d^{(e)} \times 3 \cdot d^{(e)}}$$

$$b^{(1)} \in \mathbb{R}^{d^{(e)}}$$

$$h_i^{(l)} = \text{ReLU}(W^{(l)}[h_{i-1}^{(l-1)}; h_i^{(l-1)}; h_{i+1}^{(l-1)}] + b^{(l)}) + h_i^{(l-1)} \in \mathbb{R}^{d^{(e)}}$$

$$W^{(l)} \in \mathbb{R}^{d^{(e)} \times 3 \cdot d^{(e)}}$$

$$b^{(l)} \in \mathbb{R}^{d^{(e)}}$$





## Απάντηση:

**Decoder:** ( $i \in \{1, 2, 3, \dots, n\}$ ,  $j \in \{1, 2, 3, \dots, m\}$ )

$$t_j = E' y_j \in \mathbb{R}^{d^{(e)}} \quad (\text{To embedding της σωστής γερμανικής λέξης στη θέση } j.)$$

$$z_j = \text{LSTM}(z_{j-1}, [t_{j-1}; c_j]) \in \mathbb{R}^{d^{(e)}} \quad z_0 \in \mathbb{R}^{d^{(e)}}, t_0 \in \mathbb{R}^{d^{(e)}}$$

$$\tilde{a}_{i,j} = v^T \cdot f(W^{(a)} [h_i^{(4)}; z_{j-1}]) + b^{(a)} \in \mathbb{R}$$

$$W^{(a)} \in \mathbb{R}^{d^{(a)} \times 2 \cdot d^{(e)}}$$

$$b^{(a)} \in \mathbb{R}^{d^{(a)}}, v \in \mathbb{R}^{d^{(a)}}$$

$$a_{i,j} = \frac{\exp(\tilde{a}_{i,j})}{\sum_{i'} \exp(\tilde{a}_{i',j})}$$

$$c_j = g(\sum_i a_{i,j} h_i^{(4)} + b^{(c)}) \in \mathbb{R}^{d^{(e)}}$$

$$b^{(c)} \in \mathbb{R}^{d^{(e)}}$$



## Απάντηση:

$$\tilde{o}_j = W^{(o)} z_j + b^{(o)} \in \mathbb{R}^{|V'|}$$

$$W^{(o)} \in \mathbb{R}^{|V'| \times d^{(e)}}$$

$$b^{(o)} \in \mathbb{R}^{|V'|}$$

$$o_{j,k} = \frac{\exp(\tilde{o}_{j,k})}{\sum_{k=1}^{|V'|} \exp(\tilde{o}_{j,k})}$$

(Πόσο πιθανό θεωρεί το μοντέλο η  $k$ -στή λέξη του γερμανικού λεξιλογίου να είναι η σωστή για την  $j$ -στή θέση της μετάφρασης.)

$$r_j = \operatorname{argmax}_l y_{j,l}$$

(Σύμφωνα με το 1-hot  $y_j$ , η σωστή λέξη στην  $j$ -στή θέση της μετάφρασης βρίσκεται στη θέση  $r_j$  του γερμανικού λεξιλογίου.)

$$L = -\sum_j \log o_{j,r_j}$$

(Ελαχιστοποιώντας το  $L$ , μεγιστοποιούμε την πιθανότητα που δίνει το μοντέλο στις σωστές λέξεις, σε όλες τις θέσεις της μετάφρασης.)